

## COMPLETIONS OF ORDERED SETS

BY  
B. T. BALLINGER

**Introduction.** Completions of categories were studied by Lambek in [3], using the contravariant Hom functor to embed a small category  $C$  into the functor category  $(C^*, S)$ , where  $C^*$  is the opposite category of  $C$ , and  $S$  is the category of sets. Three completions of  $C$  were considered; the completion  $(C^*, S)$ , the full subcategory  $(C^*, C)_{\text{inf}} \subseteq (C^*, S)$  whose objects consist of all inf-preserving functors, and the full sub-category  $B \subseteq (C^*, S)_{\text{inf}}$  consisting of all subobjects of products of representable functors of the form  $\text{Hom}_C(-, C)$ ,  $C$  an object of  $C$ .

If a quasi-ordered set  $A$  is viewed as a category in the usual way, the Hom sets become objects of the complete category  $2$ , and there is a natural embedding  $h: A \rightarrow (A^*, 2)$ . This allows us to form completions of  $A$  analogous to those in [3] by replacing  $S$  by  $2$ . The completions we obtain in this way are also order-theoretic completions, and the purpose of this paper is to compare these completions with those given by Banaschewski in [1]. In particular, we find that the completion  $(A^*, 2)$  is the largest sup-dense completion of  $A$ . The completion  $(A^*, 2)_{\text{inf}}$  cannot in general be compared with the ideal completion of  $A$ ; however, if  $(A^*, 2)_\omega$  denotes those order-preserving functions which preserve finite infima, then the ideal completion of  $A$  is contained in  $(A^*, 2)_\omega$ . If  $A$  is a lattice, then  $(A^*, 2)_\omega$  is the ideal completion of  $A$ . Finally, the Dedekind completion of  $A$  is the subset  $B \subseteq (A^*, 2)$  consisting of all (categorical) products of functions of the form  $h(a)$ , where  $h: A \rightarrow (A^*, 2)$  and  $a \in A$ .

This paper is a refinement of part of my master's thesis. I would like to thank Professor J. Lambek, the director of my research, for his stimulation and encouragement. I am also indebted to the referee for suggesting much needed improvements in the presentation of this paper.

**Preliminaries.** A *quasi-ordered set* (abbreviated q.o. set) is a pair  $(A, \leq)$  where  $A$  is a set and  $\leq$  is a reflexive, transitive binary relation on  $A$ . If  $\leq$  is also anti-symmetric,  $(A, \leq)$  is called a *partially ordered set* (p.o. set). When we say that a set  $A$  is a q.o. set, we mean of course that there is a binary relation  $\leq$  on  $A$  such that  $(A, \leq)$  is a q.o. set. If  $A$  and  $B$  are q.o. sets, a function  $f: A \rightarrow B$  is called *order-preserving* if  $a \leq a'$  in  $A$  implies  $f(a) \leq f(a')$  in  $B$ . An order-preserving function  $f: A \rightarrow B$  is called an *embedding* if  $a \leq a'$  in  $A$  if and only if  $f(a) \leq f(a')$  in  $B$ , and a

---

Received by the editors May 11, 1970 and, in revised form, February 18, 1971.

*strong embedding* if in addition  $f$  is a monomorphism. Observe that if  $f: A \rightarrow B$  is an embedding and  $B$  is a p.o. set, then  $f$  is a strong embedding if and only if  $A$  is a p.o. set. For any q.o. set  $A$  there is an embedding  $u: A \rightarrow P(A)$ , where  $P(A)$  is the power set of  $A$  with inclusion order, and  $u(a) = \{x \in A \mid x \leq a\}$ . A *completion* of a q.o. set  $A$  is a pair  $(C, e)$  where  $C$  is a complete p.o. set (i.e. every subset of  $C$  has a supremum) and  $e: A \rightarrow C$  is an embedding. A subset  $B$  of a p.o. set  $C$  is said to be *sup-dense* in  $C$  if every element of  $C$  is the supremum of some subset of  $B$ . A completion  $(C, e)$  of  $A$  is called *sup-dense* if the image of  $A$  under  $e$  is sup-dense in  $C$ . Two completions  $(C, e)$  and  $(C', e')$  of  $A$  are called *(order)-isomorphic over  $A$*  if there exists an (order)-isomorphism  $\varphi: C \rightarrow C'$  such that  $\varphi \circ e = e'$ .

A *closure system* on a set  $A$  is a nonempty family of subsets of  $A$  which is closed under arbitrary intersections. A closure system is called *inductive* if it is closed under unions of nonempty chains, and *completely additive* if it is closed under arbitrary unions. For any q.o. set  $A$ , let  $D(A)$ ,  $I(A)$  and  $L(A)$  denote respectively the smallest closure system, the smallest inductive closure system, and the smallest completely additive closure system, which contains  $u[A]$ , the image of  $A$  under  $u: A \rightarrow P(A)$ . Banaschewski showed in [1] that if  $A$  is a p.o. set, then  $D(A)$  is the Dedekind completion of  $A$ ; if  $A$  is a lattice, then  $I(A)$  is the ideal completion of  $A$ , while for any p.o. set  $A$ ,  $L(A)$  may be characterized uniquely up to isomorphism over  $A$  as the largest sup-dense completion of  $A$ , in the sense that if  $(C, e)$  is any other sup-dense completion of  $A$ , there exists an embedding  $\phi: C \rightarrow L(A)$  such that  $\phi \circ e = u$ . A *closure completion* of a q.o. set  $A$  is a closure system on  $A$  with the property that  $\Gamma(\{a\}) = u(a)$  for all  $a \in A$ , where  $\Gamma$  is the associated closure operator. The smallest closure completion of  $A$  is  $D(A)$ , and the largest is  $L(A)$ .

Any q.o. set  $A$  may be viewed as a category whose objects are the elements of  $A$ , and where

$$\text{Hom}_A(a, a') = \begin{cases} \{\phi\} & \text{if } a \leq a' \\ \emptyset & \text{otherwise.} \end{cases}$$

The opposite category of a q.o. set  $A$  is the dual q.o. set, denoted by  $A^*$ . A functor between two q.o. sets viewed as categories is simply an order-preserving function. The categorical notions of complete category, sup-preserving functor and sup-dense functor (as defined in [3]), when applied to q.o. sets and order-preserving functions, are exactly the usual order-theoretic concepts.

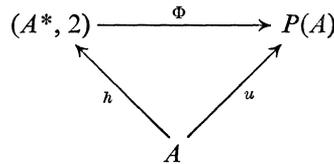
**Completions of ordered sets.** If  $A$  is a q.o. set, let  $(A^*, 2)$  denote the set of order-preserving functions from  $A^*$  to  $2$ . For  $f, g \in (A^*, 2)$ , define  $f \leq g$  if  $f(a) \leq g(a)$  for all  $a \in A$ . Then  $(A^*, 2)$  is a p.o. set. Moreover,  $(A^*, 2)$  is complete since  $2$  is complete; explicitly, if  $(f_i)_{i \in I}$  is any family of order-preserving functions from  $A^*$  to  $2$ ,

$$\left( \bigvee_{i \in I} f_i \right)(a) = \bigvee_{i \in I} f_i(a).$$

The fact that  $\text{Hom}_A(a, a')$  is an object of the p.o. set  $2$  induces an embedding  $h: A \rightarrow (A^*, 2)$ , where  $(h(a))(a') = \text{Hom}_A(a', a)$ . Thus  $((A^*, 2), h)$  is a completion of  $A$ .

**PROPOSITION 1.** *For any p.o. set  $A$ ,  $((A^*, 2), h)$  is the largest sup-dense completion of  $A$ .*

**Proof.** It suffices to show that  $(A^*, 2)$  is isomorphic over  $A$  to  $L(A)$ , the largest closure completion of  $A$ . Define  $\Phi: (A^*, 2) \rightarrow P(A)$  by  $\Phi(f) = \{x \in A \mid f(x) = 1\}$  where  $f: A^* \rightarrow 2$ .  $\Phi$  is clearly an embedding, and the following diagram commutes. We claim that  $\Phi$  actually maps into  $L(A)$ .



Thus we must show that if all  $f \in (A^*, 2)$ ,  $\Phi(f) = \cup_{f(x)=1} u(x)$ . This follows by observing that  $f(x) = 1$  and  $y \leq x$  in  $A$  implies  $f(y) = 1$ . We will complete the proof by showing that  $\Phi$  has an inverse. Define  $\Psi: L(A) \rightarrow (A^*, 2)$  by

$$(\Psi(B))(x) = \begin{cases} 1 & \text{if } x \in B, \\ 0 & \text{if } x \notin B \end{cases}$$

where  $B \in L(A)$  and  $x \in A$ . We must verify that  $\Psi(B) \in (A^*, 2)$ . Since  $B \in L(A)$ ,  $B = \cup_{b \in B} ub$ . If  $x \leq y$  in  $A^*$ , and  $\Psi(B)(x) = 1$ , then  $y \in ux \subseteq B$  so  $\Psi(B)(y) = 1$  and  $\Psi(B) \in (A^*, 2)$ . Finally, we observe that  $\Phi\Psi = 1_{L(A)}$ ,  $\Psi\Phi = 1_{(A^*, 2)}$  and deduce that  $(A^*, 2)$  and  $L(A)$  are order-isomorphic over  $A$ .  $\parallel$

In analogy with [3], let  $(A^*, 2)_{\text{inf}}$  denote the p.o. subset of  $(A^*, 2)$  consisting of those functions which preserve infima, i.e. which take suprema in  $A$  into infima in  $2$ . It is easily verified that  $(A^*, 2)_{\text{inf}}$  is complete, and that for any  $a \in A$ ,  $h(a): A^* \rightarrow 2$  is inf-preserving. Using [1, Lemma 1], we see that  $\Phi[(A^*, 2)_{\text{inf}}]$  is a closure completion of  $A$ , and since a closure completion is a sup-dense completion, we conclude that  $(A^*, 2)_{\text{inf}}$  is a sup-dense completion of  $A$ . The elements of  $\Phi[(A^*, 2)_{\text{inf}}]$  are easily seen to be those subsets  $C$  of  $A$  which belong to  $L(A)$  and which have the following property: if  $S \subseteq C$  and  $\text{sup } S$  exists then  $\text{sup } S \in C$ . Let us call those elements of  $L(A)$  which have this property *conditionally sup-closed*. Observe that  $\Phi[(A^*, 2)_{\text{inf}}]$  is not necessarily inductive. For example, let  $A$  be any chain with largest element  $a$  such that  $\text{sup}(A \setminus \{a\}) = a$ . Then  $(ux)_{x < a}$  forms a chain in  $\Phi[(A^*, 2)_{\text{inf}}]$  with union  $A \setminus \{a\}$ , but clearly  $A \setminus \{a\}$  is not conditionally sup-closed, and  $\Phi[(A^*, 2)_{\text{inf}}]$  fails to be inductive.

To obtain a closure system which is inductive, we consider the p.o. set  $(A^*, 2)_{\omega} \subseteq (A^*, 2)$  consisting of all those functions from  $A^*$  to  $2$  which preserve finite infima. Under the isomorphism  $\Phi: (A^*, 2) \rightarrow L(A)$ , we see that  $(A^*, 2)_{\omega}$  corresponds to the

set of all those  $C \in L(A)$  which are conditionally finite-sup-closed, i.e. those  $C \in L(A)$  which have the property that if  $S \subseteq C$ ,  $S$  finite, and  $\sup S$  exists then  $\sup S \in C$ . Since the conditionally finite-sup-closed members of  $L(A)$  are obviously closed under unions of chains, we conclude that  $\Phi[(A^*, 2)_\omega]$  is an inductive closure completion of  $A$ . Since  $I(A)$  is the smallest inductive closure completion of  $A$ , we have  $I(A) \subseteq \Phi[(A^*, 2)_\omega]$ . Generally this inclusion is strict; for example, if  $A$  is a totally unordered set with more than two elements,  $I(A) = \{ua \mid a \in A\} \cup \{\phi, A\}$  while  $\Phi[(A^*, 2)] = P(A)$ . However, for a lattice we can replace the inclusion by equality.

**PROPOSITION 2.** *If  $A$  is a lattice, then the lattice  $(A^*, 2)_\omega$  of finite-inf-preserving functions from  $A^*$  to  $2$  is isomorphic over  $A$  to the ideal completion  $I(A)$  of  $A$ .*

**Proof.** We claim that the isomorphism  $\Phi: (A^*, 2) \rightarrow L(A)$ , when restricted to  $(A^*, 2)_\omega$ , maps onto  $I(A)$ . Let  $f \in (A^*, 2)_\omega$ . If  $\Phi(f) = \phi$ , then  $f$  is the function with constant value 0. It follows that  $A$  has no smallest element, and hence  $\phi \in I(A)$ . [1, p. 129, footnote 1.] If  $x, y \in \Phi(f) \neq \phi$ , then  $f(x \vee y) = f(x) \wedge f(y) = 1$  and  $(x \vee y) \in \Phi(f)$ . If  $x \in \Phi(f)$  and  $y \leq x$  in  $A$ , then  $1 = f(x) \leq f(y)$  so  $y \in \Phi(f)$ . Hence  $\Phi: (A^*, 2)_\omega \rightarrow I(A)$ , and  $\Phi$  is surjective, since if  $I$  is any ideal of  $A$  and we define  $f_I$  to have value 1 on  $I$  and 0 elsewhere, it is easily checked that  $f_I \in (A^*, 2)_\omega$  and  $\Phi(f_I) = I$ .  $\parallel$

Finally we obtain a categorical characterization of the Dedekind completion of a p.o. set.

**PROPOSITION 3.** *The Dedekind completion  $D(A)$  of a p.o. set  $A$  is isomorphic over  $A$  to the p.o. set  $B \subseteq (A^*, 2)$  consisting of all (categorical) products in  $(A^*, 2)$  of functions of the form  $h(a)$ , where  $a \in A$  and  $h: A \rightarrow (A^*, 2)$ .*

**Proof.** Products in a p.o. set regarded as a category are order-theoretic infima, and infima in  $(A^*, 2)$  correspond under the isomorphism  $\Phi: (A^*, 2) \rightarrow L(A)$  to intersections in  $L(A)$ . Since  $\Phi(h(a)) = u(a)$  for any  $a \in A$ ,  $\Phi[B]$  is the family of arbitrary intersections of members of  $u[A]$ , which is the Dedekind completion of  $A$ . [1, p. 119].  $\parallel$

#### REFERENCES

1. B. Banaschewski, *Hüllensysteme und Erweiterung von Quasi-Ordnungen*, Z. Math. Logik Grundlagen Math. 2 (1956), 117–130.
2. G. Birkhoff, *Lattice theory*, Colloq. Publ., Vol. 25, Amer. Math. Soc., Providence, R.I., 1967.
3. J. Lambek, *Completions of categories*, Springer Lecture Notes in Mathematics 24, 1966.
4. B. Mitchell, *Theory of categories*, Academic Press, New York, 1965.

MCGILL UNIVERSITY,  
MONTREAL, QUEBEC