NORMAL RADICALS AND NORMAL CLASSES OF MODULES by W. K. NICHOLSON and J. F. WATTERS

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The study of special radicals was begun by Andrunakievič [1]. A class \mathcal{P} of prime rings is called special if it is hereditary and closed under prime extensions. The upper radicals determined by special classes are called special. In later works Andrunakievič and Rjabuhin [2] and [3] defined the concept of a special class of modules.

A left *R*-module *M* is called *prime* if $RM \neq 0$ and every non-zero submodule has the same annihilator as *M* (equivalently, if Im = 0, where *I* is an ideal of *R* and $m \in M$, then either m = 0 or IM = 0). Let $\mathcal{S}(R)$ be a class of prime *R*-modules and $\mathcal{S} = \bigcup \mathcal{S}(R)$, the union being over all rings *R*. Then \mathcal{S} is called *special* if it satisfies the following conditions:

(S.1) for every ring R, R-module M, and ideal I of R with $I \subseteq (0:M)$, $M \in \mathcal{G}(R)$ if and only if $M \in \mathcal{G}(R/I)$;

(S.2) if $M \in \mathcal{G}(R)$ and I is an ideal of R with $IM \neq 0$ then $M \in \mathcal{G}(I)$;

(S.3) if I is an ideal of R and $M \in \mathcal{G}(I)$ then $IM \in \mathcal{G}(R)$.

If \mathcal{S} is a special class of modules then

 $\mathcal{P} = \{R : R \text{ has a faithful module in } \mathcal{G}(R)\}$

is a special class of prime rings. Conversely, if \mathcal{P} is a special class of rings and we set

 $\mathscr{G}(R) = \{ {}_{R}M : M \text{ is a prime } R \text{-module and } R/(0:M) \in \mathcal{P} \}$

then $\mathcal{G} = \bigcup \mathcal{G}(R)$ is a special class of modules.

The notion of a normal class of prime rings was defined in [5], where it was also shown that every such class is special and that a radical is normal and special if and only if it is the upper radical determined by a normal class. In this note we introduce the idea of a normal class of modules and prove that every normal class of prime rings is determined as above by a normal class of modules. It is also proved that such module classes are special and we note that the classes of prime modules, irreducible modules, and prime modules with non-zero socle are normal.

Normal classes of rings arise in studying rings connected in a Morita context. This is a four-tuple $\begin{bmatrix} R & V \\ W & S \end{bmatrix}$, where R and S are rings and $_RV_S$ and $_SW_R$ are bimodules, together with bimodule homomorphisms $V \otimes_S W \rightarrow_R R_R$, $W \otimes_R V \rightarrow_S S_S$ satisfying associativity conditions which are equivalent to insisting that $C = \begin{bmatrix} R & V \\ W & S \end{bmatrix}$ be an associative ring under the usual matrix operations. We shall refer to C as the *context ring*. The context is called *S*-faithful if $S \neq 0$ and $V_S W \neq 0$ for all non-zero $s \in S$. If P is an ideal of R then we denote $\{s \in S : VSW \subseteq P\}$ by S_P .

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PROPOSITION 1 [5]. The following are equivalent for a class \mathcal{P} of rings.

(a) If $\begin{bmatrix} R & V \\ W & S \end{bmatrix}$ is a Morita context and P is an ideal of R such that $R/P \in \mathcal{P}$ then either $S_P = S$ or $S/S_P \in \mathcal{P}$.

(b) If $\begin{bmatrix} R & V \\ W & S \end{bmatrix}$ is a Morita context and $R \in \mathcal{P}$ then either $S_0 = S$ or $S/S_0 \in \mathcal{P}$. (c) If $\begin{bmatrix} R & V \\ W & S \end{bmatrix}$ is an S-faithful Morita context, then $R \in \mathcal{P}$ implies $S \in \mathcal{P}$.

A class \mathcal{P} of prime rings is called *normal* if it satisfies the conditions of Proposition 1.

DEFINITION 1. Let $\begin{bmatrix} R & V \\ W & S \end{bmatrix}$ be a Morita context. Then a *context module* is a pair of modules $_{R}M$, $_{S}N$ with module homomorphisms $\alpha: V \otimes_{S} N \rightarrow_{R}M$, $\beta: W \otimes_{R} M \rightarrow_{S}N$ satisfying associativity conditions so that $D = \begin{bmatrix} M \\ N \end{bmatrix}$ is a C-module for the context ring C under the usual matrix operations.

Given a context $\begin{bmatrix} R & V \\ W & S \end{bmatrix}$ and an *R*-module *M* we can construct an *S*-module *M*° so that $D = \begin{bmatrix} M \\ M^\circ \end{bmatrix}$ is a context module. The construction appeared in [4].

For every $v \in V$ there is a Z-morphism $v : W \otimes_R M \to M$ defined by $v \cdot (w \otimes m) = (vw)m$ for all $w \in W$, $m \in M$. Put $X = \bigcap_{v \in V} \ker(v \cdot)$ and $M^\circ = (W \otimes M)/X$. Then M° is an S-module. The map β of Definition 1 is given by $\beta : w \otimes m \to (w \otimes m) + X$ and we write this image as wm. The map α of Definition 1 is given by $\alpha : v \otimes n \to v \cdot t$, where $t \in W \otimes M$

and n = t + X. This image is written as vn. Thus $\begin{bmatrix} M \\ M^{\circ} \end{bmatrix}$ is a C-module.

Some properties of this module are worth identifying here. A number of module properties are known to pass from M to M° (see [4]). In particular if M is faithful then M° is faithful. Also from the construction we have:

(a) if $n \in M^{\circ}$ and Vn = 0 then n = 0;

- (b) $M^{\circ} = WM$;
- (c) if M is faithful and $VSW \neq 0$ then $SM^{\circ} \neq 0$.

For (a), note that if n = t + X, $t \in W \otimes M$ then $v \cdot t = 0$ for all $v \in V$; so $t \in X$ and n = 0; (b) is clear from the definition of M° and wm, and (c) follows from $(VS)M^{\circ} = (VSW)M$.

DEFINITION 2. Let $\mathcal{N}(R)$ be a class of prime *R*-modules and $\mathcal{N} = \bigcup \mathcal{N}(R)$, the union being over all rings *R*. Then \mathcal{N} is called *normal* if it satisfies (S.1) and

(N) for every context $\begin{bmatrix} R & V \\ W & S \end{bmatrix}$ and context module $D = \begin{bmatrix} M \\ N \end{bmatrix}$ such that

- (i) for all $n \in N$, Vn = 0 implies n = 0, and
- (ii) N = WM and $SN \neq 0$, $M \in \mathcal{N}(R)$ implies $N \in \mathcal{N}(S)$.

THEOREM. Let \mathcal{N} be a normal class of modules. Then

 $\mathcal{P} = \{R : R \text{ has a faithful module in } \mathcal{N}(R)\}$

is a normal class of prime rings. Conversely, if \mathcal{P} is a normal class of prime rings and we define, for every ring R,

 $\mathcal{N}(R) = \{ {}_{R}M : M \text{ is a prime } R \text{-module and } R/(0:M) \in \mathcal{P} \}$

then $\mathcal{N} = \bigcup \mathcal{N}(R)$ is a normal class of modules.

Proof. If \mathcal{N} is a normal class of modules and $M \in \mathcal{N}(R)$ is a faithful *R*-module, then (0:M) = 0 is a prime ideal of *R* and \mathcal{P} , as defined, is a class of prime rings. From the comments after Definition 1, if $\begin{bmatrix} R & V \\ W & S \end{bmatrix}$ is an *S*-faithful Morita context then the context module $\begin{bmatrix} M \\ M^{\circ} \end{bmatrix}$ satisfies (i) and (ii) of (N) and M° is faithful. Hence $M^{\circ} \in \mathcal{N}(S)$, $S \in \mathcal{P}$, and \mathcal{P} is a normal class of prime rings.

Now let \mathcal{P} and \mathcal{N} be as in the statement of the converse. For (S.1), suppose that M is an R-module and I is an ideal of R with $I \subseteq (0:M)$. Put $\overline{R} = R/I$. Note that $(0:M)_{\overline{R}} = (0:M)/I$ and if $r \in R$ and $\overline{r} = r + I$ then $\overline{rm} = rm$ for all $m \in M$. Thus $\overline{R}/(0:M)_{\overline{R}} \cong R/(0:M)$ and M is a prime R-module if and only if it is a prime \overline{R} -module. Therefore $M \in \mathcal{N}(R)$ if and only if $M \in \mathcal{N}(\overline{R})$.

For (N), suppose that the context and context module are as described in Definition 2 and that $M \in \mathcal{N}(R)$. To see that N is a prime S-module, let J be an ideal of S and $n \in N$ with Jn = 0. Then (VJW)(Vn) = 0; so either (VJW)M = 0 or Vn = 0, since M is a prime R-module. If Vn = 0 then n = 0 from (i). If (VJW)M = 0 then VJN = 0 from (ii) and JN = 0 from (i). Thus N is a prime S-module. To prove that $S/(0:N) \in \mathcal{P}$, observe that $(0:N) = \{s \in S: VsW \subseteq (0:M)\}$ from (i) and (ii) in (N). From Proposition 1 (a), either (0:N) = S or $S/(0:N) \in \mathcal{P}$. Since $SN \neq 0$, by hypothesis, it follows that $S/(0:N) \in \mathcal{P}$.

PROPOSITION 2. Every normal class of modules is special.

Proof. Let \mathcal{N} be a normal class of modules. Let $M \in \mathcal{N}(R)$ and I be an ideal of Rwith $IM \neq 0$. Consider the context $\begin{bmatrix} R & I \\ R^1 & I \end{bmatrix}$ and context module $D = \begin{bmatrix} M \\ M \end{bmatrix}$. If Im = 0, $m \in M$, then m = 0 since M is a prime R-module and $IM \neq 0$. Therefore the conditions (N) (i) and (N) (ii) from Definition 2 are satisfied and so $M \in \mathcal{N}(I)$. This establishes (S.2). For (S.3), let I be an ideal of a ring R and $M \in \mathcal{N}(I)$. Consider the context $\begin{bmatrix} I & R^1 \\ I & R \end{bmatrix}$ and context module $\begin{bmatrix} M \\ IM \end{bmatrix}$. Since M is a prime I-module, $IM \neq 0$ and $I(IM) \neq 0$. Hence $R(IM) \neq 0$ and the conditions (N) (i) and (N) (ii) of Definition 2 are satisfied; so that $IM \in \mathcal{N}(R)$.

EXAMPLES. The three classes we shall consider here were shown to be special in [3]. Thus (S.1) is satisfied. We shall use the notation of (N).

1. The class of all prime modules is normal. Let M be a prime R-module, J an ideal of S, and $n \in N$. As in the proof of the Theorem, Jn = 0 implies JN = 0 or n = 0; so N is a prime S-module.

2. The class of all irreducible modules is normal. Let M be an irreducible R-module and $n \in N$, $n \neq 0$. Then $0 \neq Vn$; so Vn = M and $Sn \supset WVn = WM = N$. Thus N is an irreducible S-module.

3. The class of all prime modules with non-zero socle is normal. Let M be a prime R-module with minimal submodule K. If (VW)K = 0 then (VW)M = VN = 0, which implies that N = 0. But $SN \neq 0$; so $(VW)K \neq 0$. Hence $WK \neq 0$. Let $n \neq 0$, $n \in WK$. Then $0 \neq Vn \subseteq K$ and, by the minimality of K, Vn = K. Therefore $Sn \supseteq (WV)n = WK$ and WK is a minimal submodule of N. Along with Example 1 this proves the normality of this class.

REMARK. It was shown in [3] that the class \mathcal{P} determined by the module classes in Examples 2 and 3 is the class of primitive rings.

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