

PROOF OF THE RADICAL CONJECTURE FOR HOMOGENEOUS KÄHLER MANIFOLDS

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Introduction

In 1967 Gindikin and Vinberg stated the Fundamental Conjecture for homogeneous Kähler manifolds. It (roughly) states that every homogeneous Kähler manifold is a fiber space over a bounded homogeneous domain for which the fibers are a product of a flat with a simply connected compact homogeneous Kähler manifold. This conjecture has been proven in a number of cases (see [6] for a recent survey). In particular, it holds if the homogeneous Kähler manifold admits a reductive or an arbitrary solvable transitive group of automorphisms [5]. It is thus tempting to think about the general case. It is natural to expect that lack of knowledge about the radical of a transitive group G of automorphisms of a homogeneous Kähler manifold M is the main obstruction to a proof of the Fundamental Conjecture for M . Thus it is of importance to consider the Kähler algebra generated by the radical of the Lie algebra of G . Computations in this context suggest that one rather considers Kähler algebras generated by an arbitrary solvable ideal. In this context the Radical Conjecture for Kähler algebras was formulated [6]: Assume that the Kähler algebra $(\mathfrak{g}, \mathfrak{k}, j, \rho)$ is generated by a solvable ideal \mathfrak{r} of \mathfrak{g} , i.e. $\mathfrak{g} = \mathfrak{r} + j\mathfrak{r} + \mathfrak{k}$, then $\mathfrak{g} = \mathfrak{s} + \mathfrak{k}$, where $\mathfrak{s} \cap \mathfrak{k} = 0$, $j\mathfrak{s} \subset \mathfrak{s}$ (after an inessential change of j), and \mathfrak{s} is a solvable Kähler algebra.

If \mathfrak{r} is abelian, a direct proof of the Radical Conjecture can be given (following closely a proof of Gindikin, Piatetskii-Shapiro and Vinberg [8]. A proof of the Radical Conjecture proceeds by induction on $\dim \mathfrak{r}$. It was started in [6]. Since the case that \mathfrak{r} is abelian was already settled one considers a maximal ideal \mathfrak{n} of \mathfrak{g} properly contained in \mathfrak{r} and sets $\mathfrak{g}' = \mathfrak{n} + j\mathfrak{n} + \mathfrak{k}$. For this Kähler algebra the Radical Conjecture already holds. Generalizing constructions of Gindikin, Piatetskii-Shapiro and Vinberg it

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was shown in [6] that only three cases have to be considered (one is that $n+jn$ is essentially an abelian Kähler algebra, the other two are characterized by the distributions of eigenvalues of a maximal idempotent in \mathfrak{s}' , where \mathfrak{s}' is associated with g' via the Radical Conjecture, $g' = \mathfrak{s}' + \mathfrak{f}$).

In the present paper, we continue and finish the proof of the Radical Conjecture.

The details are rather technical and involved. We thus only want to point out that in Case 1 (where $n+jn$ is essentially abelian) we prove a statement which is stronger than the Radical Conjecture. In Case 3 we combine the description of the representations of $sl(2, \mathbb{Z})$ with results on the Kantor-Koecher-Tits construction of Lie algebras with more standard techniques of Kähler algebras to prove the Radical Conjecture.

Finally, we would like to note that the Radical Conjecture and a substantial part of its proof have been used in the recent proof of the Fundamental Conjecture (jointly with K. Nakajima).

I would like to thank K. Johnson for making me aware of [16] and E. Neher for helpful discussions and information about Jordan triples (the structure of which is used in case 3).

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§ 1. Case 1: The Lie algebra $n+jn$ is the modification of an abelian Kähler algebra

1.1. As in [6; 4.33] we consider a Kähler algebra $(g, \mathfrak{f}, j, \rho)$ and a solvable ideal \mathfrak{r} of g satisfying $g = \mathfrak{r} + j\mathfrak{r} + \mathfrak{f}$. We assume that the Radical Conjecture holds if $\dim \mathfrak{r} \leq N-1$. We assume $\dim \mathfrak{r} = N$ and we may assume $\mathfrak{r} \subset \text{nil}(g)$. Moreover we can assume that the dimension of \mathfrak{r} is minimal among those solvable ideals u of g for which $g = u + ju + \mathfrak{f}$ holds. We choose an ideal \mathfrak{n} of g which satisfies $\mathfrak{r} \supseteq \mathfrak{n} \supset [\mathfrak{r}, \mathfrak{r}]$ and is maximal with this property. Because the case of an abelian \mathfrak{r} has been settled in [6] we can also assume $\mathfrak{n} \neq 0$.

We set $g' = \mathfrak{n} + j\mathfrak{n} + \mathfrak{f}$ and apply the Radical Conjecture to g' . Hence $g' = \mathfrak{a} + \mathfrak{t} + \mathfrak{f}$ where $\mathfrak{a} + \mathfrak{t}$ is a solvable Kähler algebra. The case under consideration in this paper is defined by $\mathfrak{t} = 0$, i.e. $g' = \mathfrak{a} + \mathfrak{f}$ where \mathfrak{a} is the

modification of an abelian Kähler algebra. Such algebras have been investigated in [5].

1.2. From [5; 3.3] we know $\alpha = \mathfrak{n} + j\mathfrak{n} = \hat{\alpha}_0 + \hat{\alpha}_1$ where $\hat{\alpha}_0$ is an abelian ideal of α and $\hat{\alpha}_1$ is an abelian subalgebra. Moreover $\hat{\alpha}_0 = [\alpha, \alpha]$. Because \mathfrak{n} acts nilpotently on \mathfrak{g} we have $[\mathfrak{n}, \mathfrak{n}] = 0$. Set $\mathfrak{n}_0 = \hat{\alpha}_0 \cap \mathfrak{n}$ and $\mathfrak{n}_1 = \hat{\alpha}_1 \cap \mathfrak{n}$.

LEMMA. $\mathfrak{n} = \mathfrak{n}_0 + \mathfrak{n}_1$.

Proof. Let $n \in \mathfrak{n}$ and $n = a_0 + a_1, a_j \in \hat{\alpha}_j$. From the fact that α is the modification of an abelian Kähler algebra we get $[b, n] = D(b)n - D(n)b$ for all $b \in \alpha$. As $ad_{\mathfrak{n}}$ is nilpotent we have $D(n) = 0$. Further, from [5: 3.3] we know $D(b)\hat{\alpha}_1 = 0$. Therefore $[b, n] = D(b)a_0 \in \mathfrak{n}$ for all $b \in \alpha$. Using $\hat{\alpha}_0 = [\alpha, \alpha]$ we derive from this $a_0 \in \mathfrak{n}$. The lemma follows.

1.3. We note that $\mathfrak{n}_0 + j\mathfrak{n}_0$ is contained in the commutator $\hat{\alpha}_0 = [\alpha, \alpha]$ of the solvable Lie algebra α . Hence $ad(\mathfrak{n}_0 + j\mathfrak{n}_0)$ is a commuting family of nilpotent derivations of \mathfrak{g} . In particular we have $[\mathfrak{n}_0 + j\mathfrak{n}_0, \mathfrak{n}] = 0$.

In contrast to this the family $ad(\mathfrak{n}_1 + j\mathfrak{n}_1)$ is abelian but does not consist in general of nilpotent derivations.

1.4. Consider $\mathfrak{g}' = \alpha + \mathfrak{k}$. From the Radical Conjecture we know $\alpha \cap \mathfrak{k} = 0$ and α is a solvable Kähler subalgebra of \mathfrak{g}' . Let $\mathfrak{k}_0 \subset \mathfrak{k}$ be an ideal of \mathfrak{g}' . We know that \mathfrak{k} is the Lie algebra of a compact group and \mathfrak{k}_0 is an ideal of \mathfrak{k} . Hence $\mathfrak{k} = (z_1 + z_0) \oplus \mathfrak{k}'_1 \oplus \mathfrak{k}'_0$ where $\mathfrak{k}_0 = \mathfrak{k}'_0 \oplus \mathfrak{z}_0, \mathfrak{k}'_1, \mathfrak{k}'_0$ semisimple, $\mathfrak{z}_0 \mathfrak{z}_1$ abelian. Let \mathfrak{h} be a maximal semisimple subalgebra of \mathfrak{g}' containing $\mathfrak{k}'_1 \oplus \mathfrak{k}'_0$. Then \mathfrak{k}'_0 is an ideal of \mathfrak{h} and we get $\mathfrak{h} = \mathfrak{h}'_1 \oplus \mathfrak{k}'_0$. Moreover, $[rad \mathfrak{g}' + \mathfrak{h}'_1, \mathfrak{k}'_0] = 0$. Since $\mathfrak{n} \subset rad \mathfrak{g}'$ we can assume w.r.g. that $j\mathfrak{n}$ projects trivially onto \mathfrak{k}'_0 . Hence $[\alpha, \mathfrak{k}'_0] = 0$ and $\mathfrak{g}' = (\alpha + \mathfrak{z}_1 + \mathfrak{z}_0 + \mathfrak{k}'_1) \oplus \mathfrak{k}'_0$ is a direct sum of Lie algebras. Moreover, \mathfrak{z}_0 is an ideal of \mathfrak{g}' and $\mathfrak{z}_0 \subset rad \mathfrak{g}'$. But $\mathfrak{z}_0 \cap nil(\mathfrak{g}') = 0$ since $nil(\mathfrak{g}')$ operates nilpotently on \mathfrak{g} whereas \mathfrak{k}'_0 acts semisimply. From this we conclude $[\mathfrak{g}', \mathfrak{z}_0] = 0$.

Therefore, when considering \mathfrak{g}' only, we may assume that \mathfrak{k} does not contain any ideal of \mathfrak{g}' . But then we have a faithful representation ψ of \mathfrak{g}' as affine transformations of the complex vector space α . The elements of \mathfrak{k} act linearly on α and the elements of α by $z \mapsto a + D(a)z, z \in \alpha, a \in \alpha$ (see [5; 3.5]). Considering the linear parts of these transformations we see that $D(\alpha) + \psi(\mathfrak{k}) = \mathfrak{G}$ is a Lie algebra of skewadjoint endomorphisms of α ; moreover, $D(\alpha)$ is an abelian subalgebra, $\psi(\mathfrak{k})$ is a subalgebra and (possibly changing j inessentially) we also can assume $D(\alpha) \cap \psi(\mathfrak{k}) = 0$. We

split $\mathfrak{G} = \bigoplus \mathfrak{G}_i + \mathfrak{Z}$ into simple summands \mathfrak{G}_i and its center \mathfrak{Z} . Applying [16: Theorem 1.1] to the projections $D_i(\alpha)$ and $\psi_i(\mathfrak{k})$ of $D(\alpha)$ and $\psi(\mathfrak{k})$ onto \mathfrak{G}_i shows that $D_i(\alpha) \subset \psi_i(\mathfrak{k})$ holds. Therefore $D(\alpha) \subset \psi(\mathfrak{k}) \bmod \text{center}(D(\alpha) + \psi(\mathfrak{k}))$. This implies:

LEMMA. *After an inessential change of j we can assume $[D(\alpha), \psi(\mathfrak{k})] = 0$.*

For the original Lie Algebra \mathfrak{g}' (ideal in \mathfrak{k} permitted) this implies (after some inessential change of j):

- COROLLARY 1. a) $[\mathfrak{k}, \alpha] \subset \alpha$,
 b) $D([\mathfrak{k}, \alpha]) = 0$,
 c) $[D(\alpha), \text{ad } \mathfrak{k}|_{\alpha}] = 0$,
 d) $[\text{ad } \mathfrak{k}|_{\alpha}, j] = 0$.

Proof. Write $\mathfrak{k} = \mathfrak{g} + \mathfrak{k}'_1 + \mathfrak{k}'_0$ and represent α by affine transformations on some complex vector space ($\cong \alpha$). Then $[k, \alpha] = [(0, \psi(k)), (\alpha, D(\alpha))] = (\psi(k)\alpha, [\psi(k), D(\alpha)]) = (\psi(k)\alpha, 0) = (\psi(k)\alpha, D(\psi(k)\alpha)) - (0, D(\psi(k)\alpha))$ where the last summand has to be in \mathfrak{k} . By our assumption, we may assume $\alpha = jn$ for some $n \in \mathfrak{n}$, whence $\psi(k)\alpha = i(\psi(k)n)$. Changing j on $\psi(k)n$ inessentially we obtain $D(i(\psi(k)n)) = 0$, proving a) and b). Part c) has been known before and d) follows from a).

We retain the notation of 1.2 and 1.3 and write $\hat{\alpha}_1 = \hat{\alpha}_{10} + \hat{\alpha}_{11}$ as in [5: 3.3].

- COROLLARY 2. a) $[\mathfrak{k}, \hat{\alpha}_{10}] \subset \hat{\alpha}_{10}$,
 b) $[\mathfrak{k}, \hat{\alpha}_{11}] = 0$,
 c) $[\mathfrak{k}, \hat{\alpha}_0] \subset \hat{\alpha}_0$.

Proof. Since $[\mathfrak{k}, \alpha] \subset \alpha$ and $\hat{\alpha}_0 = [\alpha, \alpha]$ part c) is clear. We know that \mathfrak{k} acts skewadjoint on α , whence $[\mathfrak{k}, \alpha_1] \subset \alpha_1$. By Corollary 1 we have $[\mathfrak{k}, \alpha_1] \subset \hat{\alpha}_{10}$ and a) and b) follow.

1.5. Let $n \in \mathfrak{n}_1$ and write $\text{ad } jn = D + N$ where D is the semisimple part of $\text{ad } jn$ and N its nilpotent part.

The proof of the following lemma is a simplified version of the author's original proof. We follow a suggestion of K. Nakajima.

LEMMA. *For $n \in \mathfrak{n}_1$, the semisimple part D of $\text{ad } jn$ has only imaginary eigenvalues.*

Proof. Let R be the real part of D and $\mathfrak{g} = \bigoplus \mathfrak{g}_\lambda$ be the decomposition of \mathfrak{g} into eigenspaces for R . Because $D|_{\mathfrak{g}'}$ has only imaginary eigenvalues we get

(1) $\mathfrak{g}' \subset \mathfrak{g}_0$.

The integrability condition of \mathfrak{g} implies $[jn, jx_\lambda] = j[jn, x_\lambda] \text{ mod } \mathfrak{g}'$ for all $x_\lambda \in \mathfrak{g}_\lambda$. Therefore

(2) $j\mathfrak{g}_\lambda \subset \mathfrak{g}_\lambda + \mathfrak{g}'$.

Moreover, by an inessential change of j we may even assume

(3) $j\mathfrak{g}_\lambda \subset \mathfrak{g}_\lambda + \mathfrak{n} + j\mathfrak{n}$.

Let λ be the eigenvalue of R with maximal absolute value. We may assume $\lambda \geq 0$. Suppose $\lambda > 0$. Then $[\mathfrak{g}_\lambda, \mathfrak{g}_\lambda] = 0$. Therefore

(4) $\mathfrak{g}_\lambda + \mathfrak{n} + j\mathfrak{n}$ is a solvable Kähler algebra.

By [5] we know that $\text{ad } j\mathfrak{n}$ has only purely imaginary eigenvalues in this algebra. This is a contradiction; hence we obtain $\lambda = 0$, finishing the proof of the lemma.

1.6. In the last section we have seen that the semisimple parts of elements of \mathfrak{n}_1 have only imaginary eigenvalues. In the following sections we show that, by a change of ρ and a modification, we can remove these semisimple parts entirely.

We consider the eigenspace decomposition of \mathfrak{g} relative to $-D^2$, D the semisimple part of $\text{ad } j\mathfrak{n}$, $\mathfrak{n} \in \mathfrak{n}_1$. Then there exist subspaces \mathfrak{g}_α , $\alpha \geq 0$, and an endomorphism I of \mathfrak{g} such that

- 1) $I^2 = -\text{id}$,
- 2) $D|_{\mathfrak{g}_\alpha} = \alpha I$,
- 3) $\mathfrak{g} = \bigoplus_{\alpha \geq 0} \mathfrak{g}_\alpha$.

From Corollary 1, a) of 1.4 we know that α is an ideal of $\mathfrak{g}' = \mathfrak{k} + \alpha$. Since α is solvable we have $\alpha \subset \text{rad } \mathfrak{g}'$. Therefore $[\mathfrak{k}, \alpha] \subset [\mathfrak{g}', \mathfrak{g}'] \cap \text{rad } \mathfrak{g}' = \text{nil}(\mathfrak{g}')$. In particular $\text{ad } [\mathfrak{k}, \alpha]$ is nilpotent on \mathfrak{g} . Moreover, from Corollary 1. d) we derive $j[\mathfrak{k}, \mathfrak{n}_1] = [\mathfrak{k}, j\mathfrak{n}_1] \subset [\mathfrak{k}, \alpha]$. Hence $\text{ad } j[\mathfrak{k}, \mathfrak{n}_1]$ is nilpotent. Hence we can (and will) assume that \mathfrak{n} is taken from some \mathfrak{k} -invariant complement of $[\mathfrak{k}, \mathfrak{n}_1]$ in \mathfrak{n}_1 . But then $[\mathfrak{k}, \mathfrak{n}] = 0$.

1.7. In this section we want to prove that (after an inessential change

of j) we have

- LEMMA. a) $j\mathfrak{g}_\alpha \subset \mathfrak{g}_\alpha$ for all α .
 b) $Djx_\alpha = jDx_\alpha$ for all α and all $x_\alpha \in \mathfrak{g}_\alpha$.

Proof. From the integrability condition we get

$$(1) \quad [jn, jx_\alpha] = j[jn, x_\alpha] \text{ mod } \mathfrak{g}' \text{ for all } x_\alpha \in \mathfrak{g}_\alpha.$$

Because $\text{ad } jn$ leaves \mathfrak{g}' invariant, we also have

$$(2) \quad Djx_\alpha = jDx_\alpha \text{ mod } \mathfrak{g}'.$$

This implies

$$(3) \quad j\mathfrak{g}_\alpha \subset \mathfrak{g}_\alpha + \mathfrak{g}'.$$

Hence, for $x_\alpha \in \mathfrak{g}_\alpha$ we have $jx_\alpha = y_\alpha + g'_0 + \sum_{\beta \neq 0} g'_\beta$ where $g'_\lambda \in \mathfrak{g}'_\lambda$ and $-w.r.g. - g'_0 \in \alpha$. We want to use this expansion of jx_α in the integrability condition $[jn, jx_\alpha] = j[jn, x_\alpha] + j[n, jx_\alpha] + [n, x_\alpha] + k$. Therefore, we have to study $[n, jx_\alpha]$. It is easy to see that $\mathfrak{g}'_\beta \subset \hat{\alpha}_0$ for all $\beta \neq 0$. Hence $[n, \mathfrak{g}'_\beta] = 0$ for all $\beta \neq 0$. From the description of α we derive immediately $\mathfrak{g}'_0 = \hat{\alpha}_1 + (\mathfrak{g}'_0 \cap \hat{\alpha}_0) + \mathfrak{k}$.

But $n \in \hat{\alpha}_1$, whence $[n, \hat{\alpha}_1] = 0$. Moreover, $n \in \text{nil}(\mathfrak{g})$ implies $[n, \hat{\alpha}_0] = 0$. We have thus shown $[n, \alpha] = 0$, and in particular

$$(4) \quad [n, jx_\alpha] \in \mathfrak{g}'_\alpha \quad \text{for all } x_\alpha \in \mathfrak{g}_\alpha.$$

But \mathfrak{g}'_λ is j -invariant for all λ , whence

$$(5) \quad [jn, jx_\alpha] - j[jn, x_\alpha] \in \mathfrak{g}'_\alpha + \mathfrak{k}.$$

From Corollary 1, d) of 1.4 and our choice of n we know $[jn, \mathfrak{k}] = j[n, \mathfrak{k}] = 0$. In particular, $D\mathfrak{k} = 0$. Because $[jn, \mathfrak{g}'_\alpha] \subset \mathfrak{g}'_\alpha$ we derive from (5)

$$(6) \quad Djx_\alpha = jDx_\alpha + g''_\alpha + k'' \quad \text{for some } g''_\alpha \in \mathfrak{g}'_\alpha, k'' \in \mathfrak{k}.$$

Applying D to (6) we get $D^2jx_\alpha = -\alpha^2jx_\alpha + g'''_\alpha + k'''$. We compare the μ -components and see $-\mu^2(jx_\alpha)_\mu = -\alpha^2(jx_\alpha)_\mu$ for $\mu \neq \alpha, 0$. This implies $j\mathfrak{g}_\alpha \subset \mathfrak{g}_\alpha + \mathfrak{g}_0$ and in particular, $j\mathfrak{g}_0 \subset \mathfrak{g}_0$. Let $\alpha \neq 0$. Comparing for $\mu = \alpha$ and $\mu = 0$ gives $g'''_\alpha = 0$ and $(jx_\alpha)_0 \in \mathfrak{k}$. This implies $j\mathfrak{g}_\alpha \subset \mathfrak{g}_\alpha + \mathfrak{k}$. After an inessential change of j we can assume (proving a)).

$$(7) \quad j\mathfrak{g}_\alpha \subset \mathfrak{g}_\alpha \quad \text{for all } \alpha.$$

It is easy to verify that for every α , $\mathfrak{g}(\alpha) = \bigoplus_{n \in \mathbb{Z}} \mathfrak{g}_{n\alpha}$ is a j -invariant

subalgebra of \mathfrak{g} . Moreover, $\mathfrak{g}_\lambda = \mathfrak{r}_\lambda + j\mathfrak{r}_\lambda$ for $\lambda \neq 0$ and $\mathfrak{g}_0 = \mathfrak{r}_0 + j\mathfrak{r}_0 + \mathfrak{k}$. Therefore we can apply the induction hypothesis to $\mathfrak{g}(\alpha)$ when $\mathfrak{g}(\alpha) \neq \mathfrak{g}$. In case $\mathfrak{g}(\alpha) = \mathfrak{g}$ for all α , the lemma follows. Assume now $\mathfrak{g}(\alpha) = \mathfrak{g}$. We can assume $\alpha = 1$. Applying the induction hypothesis to $\mathfrak{g}(m)$, $m = 2, 3, \dots$ we see that the lemma holds for all $m \neq 1$. We write $\text{ad } jn = D + N$, where N is the nilpotent part of $\text{ad } jn$, and use the induction hypothesis for $\mathfrak{g}(2)$ to see for $x_1, y_1 \in \mathfrak{g}_1: (d/dt)\rho(e^{\text{ad } jn}x_1, e^{\text{ad } jn}y_1) = \rho(jn, e^{\text{ad } jn} \times [x_1, y_1]) = \rho(jn, e^{tD}e^{tN}[x_1, y_1]) = \rho(jn, e^{tN}[x_1, y_1])$. Here the last term is a polynomial in t . An integration yields

$$(8) \quad \rho(e^{\text{ad } jn}x_1, e^{\text{ad } jn}y_1) = \rho(x_1, y_1) + c_1t + c_2t^2 + \dots$$

We have $e^{\text{ad } jn} = e^{tN}e^{tD}$ where $e^{tD}x$ is bounded for all $x \in \mathfrak{g}$ and $e^{tN}y$ is a polynomial in t . Comparing like powers of t on both sides gives

$$(9) \quad \rho(e^{tD}x_1, e^{tD}y_1) = \rho(x_1, y_1) \quad \text{for all } x_1, y_1 \in \mathfrak{g}_1.$$

In particular, we obtain $\rho(Dx_1, y_1) + \rho(x_1, Dy_1) = 0$ for all $x_1, y_1 \in \mathfrak{g}_1$. Applying this to $y_1 \in \mathfrak{g}'_1$ and $x_1 \in \mathfrak{g}_1^\perp = \{x_1 \in \mathfrak{g}_1; \rho(x_1, \mathfrak{g}'_1) = 0\}$ we get $D\mathfrak{g}_1^\perp \subset \mathfrak{g}_1^\perp$ (since $D\mathfrak{g}'_1 \subset \mathfrak{g}'_1$ anyway). We also have $j\mathfrak{g}_1^\perp \subset \mathfrak{g}_1^\perp$. Finally, from (6) and (7) we know $Djx_1 = jDx_1 + \mathfrak{g}'_1$, where $\mathfrak{g}'_1 \in \mathfrak{g}'_1$. For $x_1 \in \mathfrak{g}_1^\perp$ we obtain $\mathfrak{g}'_1 \in \mathfrak{k}$. If $x_1 \in \mathfrak{g}_\lambda$, $\lambda \neq 0$, then $\mathfrak{g}'_1 = 0$. If $x_1 \in \mathfrak{g}_0$, then $\mathfrak{g}'_1 = 0$, since D commutes with j in \mathfrak{g}_0 . This finishes the proof of the lemma.

1.8. Let \mathcal{D} be the closure of $\{\exp D; D \text{ is the semisimple part of some } \text{ad } jn, n \in \hat{\mathfrak{a}}_1\}$ in $\text{Gl}(\mathfrak{g})$. Then \mathcal{D} is an abelian compact group of automorphisms of \mathfrak{g} . Moreover, \mathcal{D} acts trivially on \mathfrak{k} and commutes with $\text{ad } \mathfrak{k}$ on \mathfrak{a} by Corollary 1, c) of 1.4 and with j by 1.7. Also, if $x \in \mathfrak{k}$ then $Wx \in \mathfrak{k}$ for all $W \in \mathcal{D}$.

We consider the skew form $\bar{\rho}$ on \mathfrak{g} given by

$$(1) \quad \bar{\rho}(u, v) = \int_{\mathcal{D}} \rho(Wu, Wv) dW,$$

where dW denotes the normalized bi-invariant Haar measure of \mathcal{D} .

It is easy to verify (3.4) through (3.7) of [6; § 3]. Hence we have

LEMMA. a) $(\mathfrak{g}, \mathfrak{k}, j, \bar{\rho})$ is a Kähler algebra.

b) The semisimple parts of $\text{ad } jn, n \in \hat{\mathfrak{a}}_1$, are skew-symmetric relative to $\bar{\rho}$ and commute with j .

1.9. We want to consider modifications of \mathfrak{g} , for which $\text{ad } jn$ is

nilpotent for all $n \in \mathfrak{n}$. The modification map has to vanish on $[\mathfrak{g}, \mathfrak{g}]$. We therefore prove

LEMMA. *After an inessential change of j we may assume that $\text{ad}(j\mathfrak{n} \cap [\mathfrak{g}, \mathfrak{g}])$ consists of nilpotent elements.*

Proof. Suppose $j\mathfrak{n} \in [\mathfrak{g}, \mathfrak{g}]$ and denote by D the semisimple part of $\text{ad } j\mathfrak{n}$, then $\text{ad } j\mathfrak{n} \in [\text{ad } \mathfrak{g}, \text{ad } \mathfrak{g}] = \mathfrak{m}$. But \mathfrak{m} is an algebraic Lie algebra, whence $D \in \mathfrak{m}$. Hence, by the appendix we can write $D = D_\lambda + D_r$, $[D_\lambda, D_r] = 0$ and we can find a maximal semisimple subalgebra \mathfrak{h} of \mathfrak{g} and $h_0 \in \mathfrak{h}$ such that $\text{ad } h_0 = D_\lambda$. Moreover, $D_r \in \text{rad}[\text{ad } \mathfrak{g}, \text{ad } \mathfrak{g}]$. But this radical consists only of nilpotent endomorphisms, whence $D_r = 0$. Clearly $h_0 \in \mathfrak{g}_0$ where \mathfrak{g}_0 is defined in 1.6. If $D \neq 0$ we have $\mathfrak{g}_0 \neq \mathfrak{g}$. Moreover, from 1.7 we can easily derive that \mathfrak{g}_0 is a Kähler algebra and $\mathfrak{g}_0 = (\mathfrak{r} \cap \mathfrak{g}_0) + j(\mathfrak{r} \cap \mathfrak{g}_0) + \mathfrak{k}$. We can apply the induction hypothesis to \mathfrak{g}_0 . Since $\text{ad } h_0$ is skew adjoint relative to $\tilde{\rho}$ we have $\tilde{\rho}(h_0, [\mathfrak{g}, \mathfrak{g}]) = 0$ by the closedness condition. This implies in particular $\tilde{\rho}(h_0, \mathfrak{h} + \text{nil}(\mathfrak{g})) = 0$. Let \mathfrak{q} be a complement of $\text{nil}(\mathfrak{g})$ in $\text{rad } \mathfrak{g}$ which is \mathfrak{h} -invariant. Then $[\mathfrak{h}, \mathfrak{q}] = 0$. But then $\tilde{\rho}(\mathfrak{q}, \mathfrak{h}) = \tilde{\rho}(\mathfrak{q}, [\mathfrak{h}, \mathfrak{h}]) = 0$. In particular $\tilde{\rho}(\mathfrak{h}_0, \mathfrak{g}) = 0$. Therefore $\tilde{\rho}(h_0, \mathfrak{g}) = 0$. In particular $0 = \tilde{\rho}(jh_0, h_0)$, whence $h_0 \in \mathfrak{k}$. From $[h_0, \mathfrak{k}] = D\mathfrak{k} = 0$ we get $h_0 \in \text{center}(\mathfrak{k})$. Now we introduce an inessential change of j by redefining j on a \mathfrak{k} -invariant complement \mathfrak{b}_1 of $[\mathfrak{k}, \mathfrak{n}_1]$ in \mathfrak{n}_1 . For $n \in \mathfrak{b}_1$ we set $j'n = jn - h_0$. Then $\text{ad } j'n$ is nilpotent for all $n \in \mathfrak{n}$ such that $\text{ad } jn \in \text{ad } [\mathfrak{g}, \mathfrak{g}]$. We will also assume that the center of \mathfrak{k} is j' -invariant.

We claim that $\mathfrak{n} + j'n$ is a solvable algebra having all properties of Corollary 1 of 1.4. We note $\hat{\mathfrak{a}}_0 + [\mathfrak{k}, \hat{\mathfrak{a}}_1] \subset \mathfrak{n} + j'n$ and $[\mathfrak{n} + j'n, \mathfrak{n} + j'n] \subset [\mathfrak{a} + \mathfrak{k}_0, \mathfrak{a} + \mathfrak{k}_0]$ where \mathfrak{k}_0 denotes the center of \mathfrak{k} . Hence the last commutator is contained in $\hat{\mathfrak{a}}_0 + [\mathfrak{k}_0, \mathfrak{a}]$. But $[\mathfrak{k}_0, \mathfrak{a}] \subset \hat{\mathfrak{a}}_0 + [\mathfrak{k}, \hat{\mathfrak{a}}_1]$ and $\mathfrak{n} + j'n$ is a solvable subalgebra of \mathfrak{g} . Moreover, $[\mathfrak{k}, \mathfrak{n} + j'n] \subset [\mathfrak{k}, \mathfrak{a} + \mathfrak{k}_0] = [\mathfrak{k}, \mathfrak{a}] \subset \hat{\mathfrak{a}}_0 + [\mathfrak{k}, \hat{\mathfrak{a}}_1] \subset \mathfrak{n} + j'n$ and $[k, j'x] = [k, jx + h_0] = [k, jx] = j[k, x] = j[k, x]$ for all $x \in \mathfrak{n} + j'n$ since $\text{ad}[\mathfrak{k}, \mathfrak{a}]$ consists of nilpotent endomorphisms. Therefore, using j' instead of j we may assume that $j\mathfrak{n} \cap [\mathfrak{g}, \mathfrak{g}]$ operates nilpotently on \mathfrak{g} . This proves the lemma.

1.10. From 1.9 we see that the elements of $\text{ad}(j\mathfrak{n} \cap [\mathfrak{g}, \mathfrak{g}])$ have no semisimple parts. Denote by \mathfrak{v} an algebraic complement of $j\mathfrak{n} \cap [\mathfrak{g}, \mathfrak{g}]$ in $j\mathfrak{n}$. We can assume $\mathfrak{v} \subset \hat{\mathfrak{a}}_1$. We split $\text{ad } j\mathfrak{n} = D(j\mathfrak{n}) + N(j\mathfrak{n})$ for every $j\mathfrak{n} \in \mathfrak{v}$ into semisimple part $D(j\mathfrak{n})$ and nilpotent part $N(j\mathfrak{n})$ and define a (modification) map $D: \mathfrak{g} \rightarrow \text{Der } \mathfrak{g}$ by $D([\mathfrak{g}, \mathfrak{g}]) = 0$ and $D(\mathfrak{v})$ as above and

trivial otherwise. It is easy to verify the properties (3.1) to (3.4) of [5] for the map D and the Kähler algebra $(\mathfrak{g}, \mathfrak{k}, j, \rho)$. Moreover, as $D(jn)$ is the semisimple part of $\text{ad } jn$ we know $D(jn)\mathfrak{g} \subset [\mathfrak{g}, \mathfrak{g}]$. Hence [5: 3.5] is satisfied.

We define a new algebra structure on \mathfrak{g} by setting $[x, y]^1 = [x, y] - D(x)y + D(y)x, x, y \in \mathfrak{g}$.

A straightforward computation shows

LEMMA. $(\mathfrak{g}, [\cdot, \cdot]^1, \mathfrak{k}, j, \rho)$ is a Kähler algebra.

It is clear that \mathfrak{r} and \mathfrak{n} are also ideals of $(\mathfrak{g}, [\cdot, \cdot]^1)$. The subalgebra $\mathfrak{n} + j\mathfrak{n}$ is now abelian and acts nilpotently on \mathfrak{g} . Moreover, it is easy to verify that the Radical Conjecture holds for $(\mathfrak{g}, [\cdot, \cdot]^1, \mathfrak{k}, j, \rho)$ iff it holds for $(\mathfrak{g}, [\cdot, \cdot]^1, \mathfrak{k}, j, \rho)$.

Therefore, from now on we assume (w.r.g.) that $\mathfrak{a} = \mathfrak{n} + j\mathfrak{n}$ is abelian and $\text{ad } a$ is nilpotent for all $a \in \mathfrak{a}$.

1.11. We want to follow the proof of [5; § 6]. Therefore, we consider the set $\mathfrak{w} = \{x \in \mathfrak{g}; \text{ad } x|_{\mathfrak{n}}$ and $\text{ad } jx|_{\mathfrak{n}}$ are skew adjoint relative to $\langle \cdot, \cdot \rangle = \rho(j\cdot, \cdot)$ and commute with $j\}$. Put $\tilde{\mathfrak{w}} = \{x \in \mathfrak{w}; [x, \mathfrak{n}] = 0\}$. Clearly, \mathfrak{w} is j -invariant (since \mathfrak{k} is skewadjoint on \mathfrak{a} and commutes with j .)

LEMMA. $[\mathfrak{a}, \mathfrak{g}] \subset \tilde{\mathfrak{w}}$.

Proof. Note $[[jn, x], m] = [jn, [x, m]] = 0$ for all $n, m \in \mathfrak{n}, x \in \mathfrak{g}$. Also $[j[jn, x], m] = [[jn, jx] - j[n, jx] - [n, x] - k, m] = -[k, m]$ for all $n, m \in \mathfrak{n}$. Hence $[jn, \mathfrak{g}] \subset \tilde{\mathfrak{w}}$. Clearly, $[\mathfrak{n}, \mathfrak{g}] \subset \mathfrak{n} \subset \tilde{\mathfrak{w}}$. Hence the lemma.

1.12.

LEMMA. $\rho([\mathfrak{a}, \tilde{\mathfrak{w}}], \mathfrak{a}) = 0$.

Proof. Let $w \in \tilde{\mathfrak{w}}$ and $n, n^1, m, m^1 \in \mathfrak{n}$. Then $\rho([n^1 + jn, w], m^1 + jm) = \rho([jn, w], m^1 + jm) = -\rho([w, m^1 + jm], jn) = -\rho([w, jm], jn)$ where we have used the fact that \mathfrak{a} is abelian. Using the integrability condition for \mathfrak{g} we get $\rho([w, jm], jn) = -\rho(j[w, jm], n) = -\rho([jw, jm] - j[jw, m] - [w, m] - k, n) = -\rho([jw, jm], n) - \rho([jw, m], jn) = \rho([n, jw], jm) - \rho([jw, m], jn) = A$. Set $S(jw) = \text{ad } jw|_{\mathfrak{n}}$. Then we get $A = -\rho(S(jw)n, jm) - \rho(S(jw)m, jn) = -\rho(S(jw)n, jm) + \rho(jS(jw)m, n) = 0$ because $S(jw)$ is skewadjoint relative to $\langle n, m \rangle = \rho(jn, m)$.

COROLLARY. $\rho((\text{ad } \mathfrak{a})^2\mathfrak{g}, \mathfrak{a}) = 0$.

1.13. Using the above corollary we see

LEMMA. $\rho(e^{t \operatorname{ad} h} x, e^{t \operatorname{ad} h} y) = at^2 + bt + c$ for $h \in \alpha$, $x, y \in \mathfrak{g}$ and some $a, b, c \in \mathbb{R}$.

Proof. We know $\frac{d^3}{dt^3} \rho(e^{t \operatorname{ad} h} x, e^{t \operatorname{ad} h} y) = \frac{d^2}{dt^2} \rho(h, e^{t \operatorname{ad} h} [x, y]) = \rho(h, (\operatorname{ad} h)^{2*}) = 0$. Hence the lemma.

1.14. Next we want to prove the following easy

LEMMA. $(\operatorname{ad} a)^r jx = j(\operatorname{ad} a)^r x \pmod{\mathfrak{g}'}$ for all $a \in \alpha$, $x \in \mathfrak{g}$.

Proof. The claim is trivial for $r = 0$. For $r = 1$ we split $a = n' + jn$, $n, n' \in \mathfrak{n}$ and see that it suffices to consider $a = jn$. But here the claim follows immediately from the integrability condition. Let now $r \geq 2$. Then $(\operatorname{ad} a)^r jx = (\operatorname{ad} a)(\operatorname{ad} a)^{r-1} jx = (\operatorname{ad} a)\{j(\operatorname{ad} a)^{r-1} x + g'\} = j(\operatorname{ad} a)^r x + g''$ with some $g', g'' \in \mathfrak{g}'$.

1.15. The trivial results above can be combined to give.

LEMMA. $(\operatorname{ad} a)^2 \mathfrak{g} \subset \mathfrak{k}$.

Proof. $bt^2 + ct + d = \rho(e^{t \operatorname{ad} a} [a, [a, x]], e^{t \operatorname{ad} a} j[a, y]) = \rho(e^{t \operatorname{ad} a} [a, [a, x]], j e^{t \operatorname{ad} a} [a, y] + g^1) = -\langle e^{t \operatorname{ad} a} [a, [a, x]], e^{t \operatorname{ad} a} [a, y] \rangle$ where $x, y \in \mathfrak{g}$, $a \in \alpha$. With $y = [a, x]$ we see that $B = |e^{t \operatorname{ad} a} (\operatorname{ad} a)^2 x|^2$ is a quadratic polynomial in t . We know that $\operatorname{ad} a$ is nilpotent, hence B is a polynomial in t . Let $\operatorname{ad} a$ be nilpotent of degree s , i.e. $(\operatorname{ad} a)^s = 0$, $(\operatorname{ad} a)^{s-1} \neq 0$. Then B is a polynomial of degree $\leq 2(s-3)$ and the coefficient of $t^{2(s-3)}$ is $|(\operatorname{ad} a)^{s-1} x|^2$. If $2(s-3) \leq 2$, then $s \leq 4$. If $2(s-3) > 2$, then $(\operatorname{ad} a)^{s-1} \mathfrak{g} \subset \mathfrak{k}$ and the highest term in B is at most of degree $2(s-4)$. Suppose also $2(s-4) > 2$, then by the same argument as above, $(\operatorname{ad} a)^{s-2} \mathfrak{g} \subset \mathfrak{k}$. But then $(\operatorname{ad} a)^{s-1} \mathfrak{g} \subset [\alpha, \mathfrak{k}] \cap \mathfrak{k} = 0$. Therefore $2(s-4) \leq 2$, i.e. $s \leq 5$. As seen above, this implies $(\operatorname{ad} a)^4 \mathfrak{g} \subset \mathfrak{k}$. Hence in both cases, $2(s-3) \leq 2$ and $2(s-3) > 2$, we have $(\operatorname{ad} a)^4 \mathfrak{g} \subset \mathfrak{k}$. Choosing $y = x$ above and again comparing highest terms in t we get even $(\operatorname{ad} a)^3 \mathfrak{g} \subset \mathfrak{k}$. Finally, we consider $C = -\langle e^{t \operatorname{ad} a} [a, x], e^{t \operatorname{ad} a} x \rangle = \rho([a, x] + t[a, [a, x]], jx + tj[a, x] + (1/2)t^2 j[a, [a, x]])$. We know $j[a, x] = [a, jx] + g'$ and $j(\operatorname{ad} a)^2 x = (\operatorname{ad} a)^2 jx + g''$ where $g', g'' \in \mathfrak{g}'$. We note that the coefficient of t^3 equals $-(1/2)|(\operatorname{ad} a)^2 x|^2 = (1/2)\rho((\operatorname{ad} a)^2 x, (\operatorname{ad} a)^2 jx)$ by Corollary 1.12. Hence, altogether, we get $C = \rho(e^{t \operatorname{ad} a} [a, x], e^{t \operatorname{ad} a} jx) + p(t)$ where $p(t)$ is a quadratic polynomial. Applying Lemma 1.13 we see that actually C is a quadratic polynomial. Therefore $(\operatorname{ad} a)^2 \mathfrak{g} \subset \mathfrak{k}$.

1.16. Later we will frequently use the following.

- LEMMA. a) $\alpha \subset \text{rad}(\mathfrak{g})$
 b) $[\alpha, \mathfrak{g}] \subset \text{nil}(\mathfrak{g})$.
 c) $(\text{ad } \alpha)^2 = 0$.

Proof. It suffices to prove a) for an element of type jn , $n \in \mathfrak{n}$. We work in $\tilde{\mathfrak{g}} = \mathfrak{g}/\text{rad } \mathfrak{g}$. Suppose $\text{ad } jn \neq 0$ on $\tilde{\mathfrak{g}}$. Then $0 \neq \tilde{x} = jn \text{ mod } \text{rad}(\mathfrak{g})$ is nilpotent in the semisimple algebra $\tilde{\mathfrak{g}}$. Therefore, by the Jacobson-Morozov-Theorem there exist $\tilde{y}, \tilde{h} \in \tilde{\mathfrak{g}}$ such that $R\tilde{x} + R\tilde{h} + R\tilde{y} \cong \mathfrak{sl}(2, \mathbf{R})$. In particular $(\text{ad } \tilde{x})^2 \tilde{y} = 2\tilde{x}$. On the other hand we know $(\text{ad } jn)^2 \mathfrak{g} \subset \mathfrak{k} \text{ mod } \text{rad}(\mathfrak{g})$. Hence $\tilde{x} \in \mathfrak{k} \text{ mod } \text{rad}(\mathfrak{g})$. Since \mathfrak{k} acts semisimple on \mathfrak{g} (and on $\tilde{\mathfrak{g}}$) and \tilde{x} acts nilpotent, $\tilde{x} = 0$. This is a contradiction and $\tilde{x} = 0$ follows, whence a). Part b) is a trivial consequence of a). To prove c) we combine $\alpha \subset \text{rad}(\mathfrak{g})$ with Lemma 1.15 and get $(\text{ad } \alpha)^2 \mathfrak{g} \subset \text{nil}(\mathfrak{g}) \cap \mathfrak{k} = 0$.

1.17. Eventually we want to generalize the proof of [5; § 6] to our setting. We therefore consider $\check{\mathfrak{g}}^{(1)} = [jn, \mathfrak{g}] + \mathfrak{g}'$. Note $[jn, \mathfrak{g}] \subset \text{nil}(\mathfrak{g})$ by 1.16.

- LEMMA. a) $\check{\mathfrak{g}}^{(1)}$ is a Kähler algebra.
 b) $\check{\mathfrak{g}}^{(1)} = (\mathfrak{r} \cap \check{\mathfrak{g}}^{(1)}) + j(\mathfrak{r} \cap \check{\mathfrak{g}}^{(1)}) + \mathfrak{k}$.

Proof. a) Clearly $[n, \check{\mathfrak{g}}^{(1)}] \subset \check{\mathfrak{g}}^{(1)}$. Because $(\text{ad } jn)^2 = 0$, we also have $[jn, \check{\mathfrak{g}}^{(1)}] \subset [jn, \mathfrak{g}'] \subset \mathfrak{g}' \subset \check{\mathfrak{g}}^{(1)}$. For $k \in \mathfrak{k}$ we get $[k, [jn, \mathfrak{g}]] \subset [j[k, n], \mathfrak{g}] + [jn, \mathfrak{g}] \subset \check{\mathfrak{g}}^{(1)}$. Finally $[[jn, x], [jm, y]] = [jn, [x, [jm, y]]] \in \check{\mathfrak{g}}^{(1)}$ because $(\text{ad } jn)^2 = 0$. This shows that $\check{\mathfrak{g}}^{(1)}$ is a subalgebra of \mathfrak{g} . The integrability condition implies that $\check{\mathfrak{g}}^{(1)}$ is j -invariant.

b) We have $[jn, jr] + j[jn, r] \text{ mod } \mathfrak{g}'$. Therefore $[jn, \mathfrak{r}] + n + j([jn, \mathfrak{r}] + n) + \mathfrak{k} = \check{\mathfrak{g}}^{(1)}$. From this the assertion follows.

1.18. From the definition of $\check{\mathfrak{g}}^{(1)}$ it follows $\check{\mathfrak{g}}^{(1)} \subset \text{nil}_0(\mathfrak{g}) + \mathfrak{k}$, where $\text{nil}_0(\mathfrak{g})$ denotes the greatest nilpotent ideal of \mathfrak{g} [2; § 4.4 and § 5.3]. We may assume that $\alpha^{(1)} = \check{\mathfrak{g}}^{(1)} \cap \text{nil}_0(\mathfrak{g})$ is invariant under j . In particular, as $\text{ad } \alpha^{(1)}$ consists only of nilpotent derivations of \mathfrak{g} , we see that $\alpha^{(1)}$ is abelian.

We would like to point out that $\alpha^{(1)} = [jn, \mathfrak{g}] + n + jn \subset \text{nil}_0(\mathfrak{g})$ holds.

1.19. Next we want to consider $\mathfrak{g}^{(0)} = \{x \in \mathfrak{g}; [x, \mathfrak{g}^{(2)}] \subset \mathfrak{g}^{(2)}\}$ where $\mathfrak{g}^{(2)} = n + jn$. Before we can show that $\mathfrak{g}^{(0)}$ satisfies the induction hypothesis we have to consider the vector space $\mathfrak{r} \cap j\mathfrak{r}$.

Let $\mathfrak{v} = \{x \in \mathfrak{r}; jx \in \mathfrak{r} + \mathfrak{k}\}$.

After an inessential change of j we can assume $jx \in \mathfrak{r}$ for all $x \in \mathfrak{v}$. We note that this can be done without changing j on $\mathfrak{r} \cap \mathfrak{g}^{(1)}$.

- LEMMA. a) $\mathfrak{v} = \mathfrak{r} \cap j\mathfrak{r}$
 b) $j\mathfrak{v} \subset \mathfrak{v}$

Proof. Let $x \in \mathfrak{v}$, then $x, jx \in \mathfrak{r}$ and $j(jx) = -x + k$. Therefore $jx \in \mathfrak{v}$. But then $j(jx) \in \mathfrak{r}$, whence $k \in \mathfrak{r}$ and $k = 0$. Hence $x = j(-jx) \in \mathfrak{r} \cap j\mathfrak{r}$ and $\mathfrak{v} \subset \mathfrak{r} \cap j\mathfrak{r}$. Let $x \in \mathfrak{r} \cap j\mathfrak{r}$; then $x \in \mathfrak{r}$ and $x = jy$ for some $y \in \mathfrak{r}$. Hence $jx = -y + k$ and $x \in \mathfrak{v}$ follows. This proves the lemma.

1.20. LEMMA. $\mathfrak{r} \cap j\mathfrak{r} + \mathfrak{n}$ is an ideal of \mathfrak{g} .

Proof. We have to verify that \mathfrak{v} is mapped into $\mathfrak{v} + \mathfrak{n}$ by \mathfrak{f} , \mathfrak{r} and $j\mathfrak{r}$. Let $x \in \mathfrak{v} = \mathfrak{r} \cap j\mathfrak{r}$ and $y \in \mathfrak{r}$ such that $x = jy$ holds. Clearly $[\mathfrak{f}, x] \subset \mathfrak{r}$; moreover, $[k, jy] = j[k, y] + k'$ by the definition of a Kähler algebra. Hence $j[k, y] + k' \in \mathfrak{r}$, yielding $[k, y] \in \mathfrak{v}$. Therefore $k' = 0$ and $[k, x] = j[k, y] \in \mathfrak{r} \cap j\mathfrak{r}$. Next note $[\mathfrak{r}, \mathfrak{r}] \subset \mathfrak{n}$. Finally, we consider $[j\mathfrak{r}, \mathfrak{v}]$. Then, with x and y as above, we have $[j\mathfrak{r}, jy] = j([j\mathfrak{r}, y] + [r, jy]) + [r, y] + k \in \mathfrak{r}$. Because $[r, y] \in \mathfrak{n}$, we obtain $jz + k \in \mathfrak{r}$, where $z = [j\mathfrak{r}, y] + [r, jy] \in \mathfrak{r}$. This yields $z \in \mathfrak{v}$ and $k = 0$. Therefore $[j\mathfrak{r}, jy] \in j\mathfrak{v} + \mathfrak{n} \subset \mathfrak{v} + \mathfrak{n}$. This finishes the proof.

1.21. From the last lemma we see that $\mathfrak{n} \subset \mathfrak{v} + \mathfrak{n} \subset \mathfrak{r}$ is a chain of ideals. We had chosen \mathfrak{n} maximal. Therefore either $\mathfrak{r} \cap j\mathfrak{r} \subset \mathfrak{n}$ or $\mathfrak{r} \cap j\mathfrak{r} + \mathfrak{n} = \mathfrak{r}$. In the latter case we get $\mathfrak{g} = \mathfrak{r} \cap j\mathfrak{r} + \mathfrak{n} + j\mathfrak{n} + \mathfrak{f}$. Hence $\mathfrak{g} = \text{rad } \mathfrak{g} + \mathfrak{f}$. After an inessential change of j we may assume that $\text{rad } \mathfrak{g}$ is j -invariant and the Radical Conjecture holds.

Hence, from now on we will assume $\mathfrak{r} \cap j\mathfrak{r} \subset \mathfrak{n}$.

1.22. Now we can return to the consideration of the subalgebra $\mathfrak{g}^{(0)} = \{x \in \mathfrak{g}; [x, \mathfrak{g}^{(2)}] \subset \mathfrak{g}^{(2)}\}$ where $\mathfrak{g}^{(2)} = \mathfrak{n} + j\mathfrak{n}$. Clearly, $\mathfrak{g}' \subset \mathfrak{g}^{(0)}$.

- LEMMA. a) $\mathfrak{g}^{(0)}$ is a Kähler subalgebra of \mathfrak{g} .
 b) $\mathfrak{g}^{(0)} = (\mathfrak{g}^{(0)} \cap \mathfrak{r}) + j(\mathfrak{g}^{(0)} \cap \mathfrak{r}) + \mathfrak{f}$.

Proof. a) Let $x \in \mathfrak{g}^{(0)}$. Then we have to prove that jx leaves $\mathfrak{g}^{(2)}$ invariant. This follows from $[jx, \mathfrak{n}] \subset \mathfrak{n} \subset \mathfrak{g}^{(2)}$ and $[jx, j\mathfrak{n}] = j[jx, \mathfrak{n}] + j[x, j\mathfrak{n}] + [x, \mathfrak{n}] + k$; note that in the last expression all summands but k are in the greatest nilpotent ideal of \mathfrak{g} . Therefore $\text{ad } k = 0$. Hence $k = 0$, because we have assumed that \mathfrak{g} acts effectively on some manifold. But this implies $[jx, j\mathfrak{n}] \in \mathfrak{g}^{(2)}$.

b) Because $\mathfrak{f} \subset \mathfrak{g}^{(0)}$ we have only to consider elements of type $x = \tilde{r} + jr \in \mathfrak{g}^{(0)}$. Then $[jn, x] \in \mathfrak{g}^{(2)}$, i.e. $[jn, \tilde{r}] + [jn, jr] = [jn, \tilde{r}] + j[jn, r] + j[n, jr] + [n, r] + k \in \mathfrak{g}^{(2)}$. Therefore $[jn, \tilde{r}] + j[jn, r] \in \mathfrak{g}'$. Hence there exist $a, b \in \mathfrak{n}, k' \in \mathfrak{f}$ such that $[jn, \tilde{r}] + j[jn, r] = a + jb + k'$. This implies $j([jn, r] - b) = a - [jn, \tilde{r}] + k'$, whence $[jn, r] - b \in \mathfrak{v}$ and $k' = 0$. In particular $[jn, r] - b \in \mathfrak{n}$. Hence we obtain $[jn, r], [jn, \tilde{r}] \in \mathfrak{n}$. This implies $[r, \mathfrak{g}^{(2)}] \subset \mathfrak{g}^{(2)}$ and $[\tilde{r}, \mathfrak{g}^{(2)}] \subset \mathfrak{g}^{(2)}$, whence $r, \tilde{r} \in \mathfrak{g}^{(0)}$. We have shown $\mathfrak{g}^{(0)} \subset (\mathfrak{g}^{(0)} \cap \mathfrak{v}) + j(\mathfrak{g}^{(0)} \cap \mathfrak{x}) + \mathfrak{f}$. The opposite inclusion is trivial and the lemma is proven.

1.23. To be able to use the induction hypothesis for $\mathfrak{g}^{(0)}$ we have to exclude the case $\mathfrak{r} \cap \mathfrak{g}^{(0)} = \mathfrak{r}$. But in this case $\mathfrak{g} = \mathfrak{g}^{(0)}$ and $\mathfrak{g}^{(2)}$ is an abelian ideal of \mathfrak{g} . Consider $u = \{x \in \mathfrak{g}, \rho(x; \mathfrak{g}^{(2)}) = 0\}$. Then u is a Kähler subalgebra of \mathfrak{g} and $u \cap \mathfrak{g}^{(2)} = 0$. Clearly $u \cong \mathfrak{g}/\mathfrak{g}^{(2)}$. Therefore we can apply the induction hypothesis to u . So after an inessential change of j on u we get a solvable Kähler subalgebra $\tilde{\mathfrak{s}}$ of u satisfying $u = \tilde{\mathfrak{s}} + \mathfrak{f}, \tilde{\mathfrak{s}} \cap \mathfrak{f} = 0$. But the the Radical Conjecture follows with $\mathfrak{s} = \mathfrak{g}^{(2)} + \tilde{\mathfrak{s}}$.

Hence, *from now on we will assume* $\mathfrak{g}^{(0)} \neq \mathfrak{g}$. From the last lemma it follows that we can apply the induction hypothesis. So after an inessential change of j we have $\mathfrak{g}^{(0)} = \mathfrak{t}^{(0)} + \alpha^{(0)} + \mathfrak{f}$, where $\mathfrak{t}^{(0)} + \alpha^{(0)}$ is a solvable Kähler algebra, $\mathfrak{t}^{(0)}$ is a modification of a normal j -algebra and $\alpha^{(0)}$ is a modification of an abelian Kähler algebra.

From 1.18 we know $\alpha^{(1)} \subset \text{nil}_0(\mathfrak{g}) \cap \mathfrak{g}^{(0)}$. Therefore also $\alpha^{(1)} + [\alpha^{(1)}, \mathfrak{g}] \subset \text{nil}_0(\mathfrak{g}) \cap \mathfrak{g}^{(0)} \subset \text{nil}_0(\mathfrak{g}^{(0)})$. It is easy to see that one can choose $\mathfrak{t}^{(0)} + \alpha^{(0)}$ so that $\text{nil}_0(\mathfrak{g}^{(0)}) \subset \mathfrak{t}^{(0)} + \alpha^{(0)}$ holds.

- LEMMA. a) $\alpha^{(1)} \subset \alpha^{(0)}$.
 b) $\mathfrak{g}^{(1)}$ is a subalgebra of $\mathfrak{g}^{(0)}$.

Proof. It suffices to prove $[jn, \mathfrak{g}] \subset \mathfrak{g}^{(0)}$. It is easy to see that we only have to note $[jn, [jn, \mathfrak{g}]] = 0 \subset \mathfrak{g}^{(2)}$.

1.24. We want to generalize the proof of [5; § 6] to our setting. We define $\mathfrak{g}^{(-1)} = \mathfrak{g}, \mathfrak{g}^{(0)} = \mathfrak{t}^{(0)} + \alpha^{(0)} + \mathfrak{f}, \mathfrak{g}^{(1)} = \alpha^{(1)}$ and $\mathfrak{g}^{(2)} = \mathfrak{n} + j\mathfrak{n}$.

We have seen above $\mathfrak{g}^{(-1)} \supset \mathfrak{g}^{(0)} \supset \mathfrak{g}^{(1)} \supset \mathfrak{g}^{(2)}$. Also, $j\mathfrak{g}^{(k)} \subset \mathfrak{g}^{(k)}$. By the definition of $\mathfrak{g}^{(1)}$ and $\mathfrak{g}^{(0)}$ we have $[\mathfrak{g}^{(-1)}, \mathfrak{g}^{(2)}] \subset \mathfrak{g}^{(1)}$ and $[\mathfrak{g}^{(0)}, \mathfrak{g}^{(2)}] \subset \mathfrak{g}^{(2)}$. Further we know $[\mathfrak{g}^{(1)}, \mathfrak{g}^{(1)}] = 0$ and $[\mathfrak{g}^{(0)}, \mathfrak{g}^{(0)}] \subset \mathfrak{g}^{(0)}$ hence, in particular $[\mathfrak{g}^{(1)}, \mathfrak{g}^{(2)}] = 0$.

LEMMA. *The subspaces $\mathfrak{g}^{(i)}$ form a j -invariant filtration of the Lie algebra \mathfrak{g} .*

Proof. We have only to consider two types of commutators.

$[\mathfrak{g}^{(0)}, \mathfrak{g}^{(1)}] \subset \mathfrak{g}^{(1)}$: let $x_0 \in \mathfrak{g}^{(0)}$ and $x_1 \in \mathfrak{g}^{(1)}$. Because $[\mathfrak{g}^{(0)}, \mathfrak{g}^{(2)}] \subset \mathfrak{g}^{(2)} \subset \mathfrak{g}^{(1)}$ we can assume $x_1 = [jn, x]$ for some $n \in \mathfrak{n}$, $x \in \mathfrak{g}$. Then $[x_0, x_1] = [x_0, [jn, x]] = [jn, [x_0, x]] - [[jn, x_0], x] \in \mathfrak{g}^{(1)}$.

$[\mathfrak{g}^{(-1)}, \mathfrak{g}^{(1)}] \subset \mathfrak{g}^{(0)}$: let $x \in \mathfrak{g}$ and $x_1 \in \mathfrak{g}^{(1)}$. Then for all $n \in \mathfrak{n}$ we have $[jn, [x, x_1]] = [[jn, x], x_1] + [x, [jn, x_1]] = 0$ since $\mathfrak{g}^{(1)}$ is abelian. This proves the claim.

1.25. From this point on we can use large parts of the proof of [5; §6]. We have the obvious identifications: $\mathfrak{g}^{(i)} \leftrightarrow \bar{\mathfrak{s}}^{(i)}$, $\alpha^{(0)} \leftrightarrow \alpha$, $\mathfrak{t}^{(0)} \leftrightarrow \bar{\mathfrak{s}}_D = \bar{\mathfrak{h}}$ and $\mathfrak{n} \leftrightarrow \mathfrak{g}$.

(1) Let $x \in \alpha^{(0)}$ and $\text{ad } x = S + N$ be the decomposition of $\text{ad } x$ into semisimple part S and nilpotent part N . Then $N_{\bar{\mathfrak{g}}} \subset \mathfrak{g}^{(0)}$.

Proof. See [5].

(2) We denote by $\bar{\mathfrak{s}}$ the principal idempotent of $\mathfrak{t}^{(0)}$ and define $H_0, \bar{\mathfrak{g}}_\lambda, \bar{\mathfrak{g}}, \bar{\mathfrak{g}}^{(\mu)} \dots$ as in [5]. For an arbitrary $n \in \mathfrak{n}$ we define (as in [5]) $\{abc\} = [[[\bar{jn}, a], b], c], a, b, c \in \bar{\mathfrak{g}}^{(-1)}$.

(3) $\{abc\}$ is invariant under permutations of a, b, c .

Proof. As in [7] one notes $\{abc\} - \{bca\} = [[[\bar{jn}, a], b], c] - [[[\bar{jn}, b], a], c] = [[[\bar{jn}, [a, b]], c] = 0$ since $[\bar{\mathfrak{g}}^{(-1)}, \bar{\mathfrak{g}}^{(-1)}] = 0$. Hence $\{abc\} = \{bac\}$. Moreover, $[[[\bar{jn}, a], b], c] - [[[\bar{jn}, a], c], b] = [[\bar{jn}, a], [b, c]] = 0$.

We also have

(4) $[\bar{jn}, \{abc\}] = [[[\bar{jn}, a], b], [\bar{jn}, c]]$ for all $m \in \mathfrak{n}$.

(5) $\bar{H}_0 \bar{jn}_\alpha = -\alpha \bar{jn}_\alpha$ where $\alpha \in \{0, \pm 1/2\}$, $n_\alpha \in \mathfrak{n}_\alpha$.

The standard argument yields:

(6) $[jn_\alpha, \mathfrak{g}_i^{(-1)}] \subset \mathfrak{g}_{i-\alpha}^{(-1)}$.

This implies

(7) $\lambda \in \{\alpha, \alpha \pm 1/2\}$ where $\alpha \in \{0, \pm 1/2\}$ if $[jn_\alpha, \mathfrak{g}_i^{(-1)}] \neq 0$. Eventually we want to prove $\{abc\} = 0$ for all $n \in \mathfrak{n}$. It clearly suffices to prove this for $n = n_\alpha \in \mathfrak{n}_\alpha$, $\alpha \in \{0, \pm 1/2\}$. If $jn \in \mathfrak{n}$, then $\{abc\} = 0$. This implies that we can assume $\alpha \in \{0, 1/2\}$ since for $\alpha = -1/2$ we have $jn = [s, n] \in \mathfrak{n}$.

Suppose now that we can choose $a \in \bar{\mathfrak{g}}_\lambda^{(-1)}$, $b \in \bar{\mathfrak{g}}_\mu^{(-1)}$, $c \in \bar{\mathfrak{g}}_\nu^{(-1)}$, so that $\{abc\} \neq 0$. From the definition and the symmetry of $\{abc\}$ we derive that the commutators $[jn_\alpha, a]$, $[jn_\alpha, b]$ and $[jn_\alpha, c]$ do not vanish. Hence, by (7),

(8) $\lambda, \mu, \nu \in \{\alpha, \alpha \pm 1/2\}$ where $\alpha \in \{0, 1/2\}$.

The argument of [5] carries over without change to prove

(9) $\lambda + \mu, \mu + \nu, \nu + \lambda \in \{\alpha, 1 + \alpha, 1/2 + \alpha\}$, where $\alpha \in \{0, 1/2\}$.

Next we prove a result similar to [5; § 6.15].

$$(10) \quad [\mathfrak{g}, \mathfrak{g}^{(1)}] \subset \{a \in \alpha^{(0)}; \text{ad } a|_{\alpha^{(0)} + \mathfrak{t}^{(0)}} \text{ is nilpotent}\} + [\mathfrak{t}^{(0)}, \mathfrak{t}^{(0)}].$$

Proof. Let $x \in [\mathfrak{g}, \mathfrak{g}^{(1)}]$. Then $x \in \text{nil}_0(\mathfrak{g}^{(0)}) \subset \mathfrak{s}^{(0)} = \mathfrak{t}^{(0)} + \alpha^{(0)}$ by the convention 1.23. Hence $[\mathfrak{g}^{(0)}, x] \subset \mathfrak{s}^{(0)}$. We write $x = t + a$; since $\text{ad } x|_{\mathfrak{s}^{(0)}}$ is nilpotent, $t \in [\mathfrak{t}^{(0)}, \mathfrak{t}^{(0)}]$ and $\text{ad } a|_{\mathfrak{s}^{(0)}}$ is nilpotent. This proves the claim.

The next result is [5; 6.16] and is proven as there. Let

$$(11) \quad \text{Let } g_\eta \in \mathfrak{g}_\nu, u_\eta \in \mathfrak{g}_\eta^{(1)}, v_\xi \in \mathfrak{g}_\xi^{(1)} \text{ and assume}$$

$$\eta + \xi > 0 \text{ or } \eta = \xi = 0, \text{ then } [[g_\nu, u_\eta], v_\xi] = 0.$$

This implies

$$(12) \quad [[\bar{g}^{(-1)}, \bar{g}_\eta^{(1)}], \bar{g}_\xi^{(1)}] = 0 \text{ if } \eta + \xi > 0 \text{ or } \eta = \xi = 0.$$

We want to apply (12) to $[\bar{j}\bar{m}, \{abc\}]$. First we note that there exists some $m = m_\beta \in n_\beta$ so that $Q = [\bar{j}\bar{m}, \{abc\}] \neq 0$; otherwise all representatives of $\{abc\}$ are in $\mathfrak{g}^{(0)}$, whence $\{abc\} = 0$. We note that Q is symmetric in a, b, c and in n, m . Therefore $\beta \neq -1/2$. Moreover, (8) and (9) hold for B as well and comparing (4) and (12) we see that

$$(13) \quad (\lambda - \alpha) + (\nu - \beta) \leq 0 \text{ and } \lambda - \alpha \neq 0 \text{ or } \nu - \beta \neq 0.$$

The same relations hold for every permutation of λ, μ, ν and transposition of α, β .

If $\alpha \neq \beta$, then we can assume $\alpha = 0, \beta = 1/2$. In this case relations (8), (9) and (13) become

$$(8)' \quad \lambda, \mu, \nu \in \{0, \pm 1/2\} \cap \{0, 1/2, 1\} = \{0, 1/2\}$$

$$(9)' \quad \lambda + \mu, \mu + \nu, \lambda + \nu \in \{0, 1/2, 1\} \cap \{1/2, 1, 3/2\} = \{1/2, 1\}$$

$$(13)' \quad \lambda + \mu, \mu + \nu, \lambda + \nu \leq 1/2.$$

Hence $\lambda + \mu = \mu + \nu = \lambda + \nu = 1/2$. Using (8)' we get a contradiction. Therefore, we can assume $\alpha = \beta \in \{0, 1/2\}$. For $\alpha = 0$ the relations (8), (9), (13) give

$$(8)'' \quad \lambda, \mu, \nu \in \{0, \pm 1/2\}$$

$$(9)'' \quad \lambda + \mu, \mu + \nu, \lambda + \nu \in \{0, 1/2, 1\}$$

$$(13)'' \quad \lambda + \mu, \mu + \nu, \lambda + \nu \leq 0.$$

Hence $\lambda + \mu, \mu + \nu, \lambda + \nu = 0$. Therefore $\mu = -\lambda$ and $\nu = -\mu = \lambda$. Since (13) also implies $\lambda \neq 0$ or $\nu \neq 0, \lambda, \mu, \nu \neq 0$. Therefore $\lambda + \nu = 2\lambda \neq 0, a$

contradiction.

Finally, for $1/2$ we get

$$(8)''' \quad \lambda, \mu, \nu \in \{0, 1/2, 1\}$$

$$(9)''' \quad \lambda + \mu, \mu + \nu, \lambda + \nu \in \{1/2, 1, 3/2\}$$

$$(13)''' \quad \lambda + \mu, \mu + \nu, \lambda + \nu \leq 1 \text{ and at most one of the numbers } \lambda, \mu, \nu \text{ is equal to } 1/2.$$

This implies in particular that 1 is not attained in (13)'''. Therefore $\lambda + \mu = \mu + \nu = \lambda + \nu = 1/2$. Hence $\lambda, \mu, \nu \in \{0, 1/2\}$. By (13)''' two of these numbers have to be 0, but then their sum is not $1/2$, a contradiction. Therefore we have proven altogether

$$(14) \quad \{abc\} = 0.$$

This implies

$$(15) \quad [[g^{(1)}, g], g] \subset g^{(0)}.$$

From this one derives as in [5]

$$(16) \quad [[g^{(1)}, g], g^{(1)}] = 0.$$

1.26. We consider $\mathfrak{z} = Z(g^{(1)}) = \{x \in g; [x, g^{(1)}] = 0\}$. Because $g^{(2)} \subset g^{(1)}$ we have in particular $[x, g^{(2)}] = 0 \subset g^{(2)}$ for all $x \in \mathfrak{z}$. Hence $\mathfrak{z} \subset g^{(0)}$. Again we can take over the first part of the proof of [7; part III, Lemma 18] without change and get

$$(1) \quad \mathfrak{z} \subset g^{(0)} \text{ is an ideal of } g.$$

Obviously

$$(2) \quad g^{(1)} \subset \mathfrak{z}.$$

$$(3) \quad \text{The Radical Conjecture holds for } g.$$

Proof. We consider the solvable ideal $\mathfrak{z} \cap r$ of g . From (2) we know $n \subset \mathfrak{z} \cap r \subset r$. Hence we obtain that $\mathfrak{z} \cap r$ coincides either with n or with r . In the latter case $r \subset \mathfrak{z} \subset g^{(0)}$ and $g = g^{(0)}$ follows. But we have settled this case already in 1.23 and are considering here only the case $g \neq g^{(0)}$. Therefore $\mathfrak{z} \cap r = n$. Because $g^{(1)} \subset \mathfrak{z}$ we obtain $g^{(1)} \cap r \subset n$. In particular $[jn, r] \subset n$. But then $[jn, jr] = j[jn, r] + j[n, jr] + [n, r] + k$ with some $k \in \mathfrak{f}$. It is easy to check that here all summands but k are contained in $\text{nil}_0(g)$. Hence $\text{ad } k = 0$, whence $k = 0$. Therefore $[jn, jr] \subset g^{(2)}$. Finally, $[jn, \mathfrak{f}] = j[n, \mathfrak{f}] \subset jn \subset g^{(2)}$ (where we have used once more Corollary 1, d)

of 1.4). Altogether we have shown $[jn, g] \subset g^{(2)}$. From this we obtain $[g^{(2)}, g] \subset g^{(2)}$. Hence $g \subset g^{(0)}$, a contradiction. This finishes the proof of (3) and also shows that the Radical Conjecture holds in "Case 1".

§ 2. Case 2: $g = g_0 + g_1$

2.1. In this section we use g, r, n, g', t and α as introduced in 1.1. Here t is a modification of a normal j -algebra and α the modification of an abelian Kähler algebra. Let e be the principal idempotent of t and $g = \bigoplus g_\lambda$ the eigenspace decomposition of g relative to $\text{Re}(\text{ad } je)$. In this section we consider the case where $\text{Re}(\text{ad } je)$ has only the eigenvalues 0 and 1. We know that $\text{ad } je$ leaves invariant t, α, r, n and g' . Therefore these spaces have an eigenspace decomposition as well.

2.2. In [6; 4.10] we have seen $t_1 = n_1$. Here we want to prove

LEMMA. $n_1 = r_1 = g_1$.

Proof. Clearly, g_1 is an abelian ideal of g . Therefore (independent of the induction) $g_1 + jg_1 + \mathfrak{f}$ is a Kähler algebra for which the Radical Conjecture holds. Hence (after an inessential change of j) we can assume that $g_1 + jg_1$ is a solvable Kähler algebra. Let \tilde{e} be the maximal idempotent of $g_1 + jg_1$. Then $\tilde{e} = x_1 + jy_1$ and $[j\tilde{e}, je] = 0$. But this implies $y_1 = 0$ and $\tilde{e} \in g_1$. Therefore $[je, \tilde{e}] = \tilde{e}$. Hence we obtain $e = \tilde{e}$ and $g_1 = n_1$ follows.

COROLLARY. $g_1 + jg_1$ is a modification of a normal j -algebra with principal idempotent e .

2.3. Let c be a minimal idempotent in g_1 . Then $[jc, c] = c$ and (in the underlying normal j -algebra) $\{x \in g_1; (jc, x) = x\} = \mathbf{R}c$.

We consider the eigenspace decomposition of g relative to $\text{Re}(\text{ad } jc)$, $g = \bigoplus_{\alpha \in \mathbf{R}} g^{(\alpha)}$. Where necessary we write $g^{(\alpha)} = g^{(\alpha)}(c)$. We recall that subscripts refer to weights relative to $\text{ad } je$, $g_\lambda^{(\alpha)}$, etc.

We know that jc leaves $g_1, g_0, r, n, t, \alpha$ and g' invariant. Hence we also have a decomposition of each of these spaces relative to $\text{ad } jc$.

Note that the weights of $\text{ad } jc$ in $g_1 + jg_1$ and in $jg_1 + \mathfrak{f}$ are 0, $\pm 1/2, 1$. Hence, if $\alpha \neq 0, \pm 1/2, 1$, then $g^{(\alpha)} \subset g_0$. Moreover, by the usual argument $jg_\lambda^{(\alpha)} \subset g_\lambda^{(\alpha)} + g'$.

2.4. We can use the proof of [9; Lemma 4.2] and obtain

LEMMA ([9]). Let $g \in \mathfrak{g}^{(a)}$ and $kg = \tilde{g} + x + jy + k$ where $\tilde{g} \in \mathfrak{g}^{(a)}$, $x, y \in \mathfrak{g}_1$ and $k \in \mathfrak{k}$. Then $x, y \in (\mathfrak{g}^{(a)} + \mathfrak{g}^{(a+1)}) \cap \mathfrak{g}_1$.

2.5. In this section we prove

LEMMA. $\mathfrak{g}^{(a)} = 0$ if $a \notin \frac{1}{2}\mathbb{Z}$.

Proof. Let $M = \{a \in (1/2)\mathbb{Z}; \mathfrak{g}^{(a)} \neq 0\}$. We choose $a \in M$ so that $|a|$ is maximal. Then $[\mathfrak{g}^{(a)}, \mathfrak{g}_1] = 0$ and in particular $[\mathfrak{g}^{(a)}, c] = 0$. We will show that $\text{ad}(R + Rjc)$ is a ‘‘symplectic representation’’ of $Rc + Rjc$ on $\mathfrak{g}^{(a)}$. Then, by [8, sect. 2,3] we know that $\text{ad}jc$ has only the weights $0, \pm 1/2$ on $\mathfrak{g}^{(a)}$ yielding a contradiction and proving the lemma.

$$(1) \quad j\mathfrak{g}^{(a)} \subset \mathfrak{g}^{(a)} + \mathfrak{k}.$$

This follows from Lemma 2.4. From (1) we obtain that (after an inessential change of j) we can assume $j\mathfrak{g}^{(a)} \subset \mathfrak{g}^{(a)}$ for the chosen $a \in M$. Since $|a|$ is maximal in M we also have

$$(2) \quad [\mathfrak{g}^{(a)}, \mathfrak{g}^{(a)}] = 0 \quad \text{if } 2a \notin \frac{1}{2}\mathbb{Z}.$$

$$(3) \quad \rho([\mathfrak{g}^{(a)}, \mathfrak{g}^{(a)}], jc) = 0 \quad \text{if } 2a \in \frac{1}{2}\mathbb{Z}.$$

To prove (3) we note first $\mathfrak{g}^{(a)} \subset \mathfrak{g}_0$, since $a \in M$. Hence $[\mathfrak{g}^{(a)}, \mathfrak{g}^{(a)}] \subset \mathfrak{g}^{(2a)} \cap \mathfrak{g}_0 \subset \tilde{\mathfrak{g}} = \bigoplus_{b \in (1/2)\mathbb{Z}} \mathfrak{g}^{(b)}$. Since $\mathfrak{g}' \subset \tilde{\mathfrak{g}}$, Lemma 2.4 shows $j\tilde{\mathfrak{g}} \subset \tilde{\mathfrak{g}}$. Hence $\tilde{\mathfrak{g}}$ is a Kähler subalgebra of \mathfrak{g} . By assumption, $\mathfrak{g}^{(a)} \neq 0, a \notin (1/2)\mathbb{Z}$; therefore $\mathfrak{g} \neq \tilde{\mathfrak{g}}$. Finally, $\mathfrak{g}^{(b)} = \mathfrak{r}^{(b)} + j\mathfrak{r}^{(b)} \text{ mod } \mathfrak{g}'$ since $\mathfrak{g} = \mathfrak{r} + j\mathfrak{r} + \mathfrak{k}$. Hence we can apply the induction hypothesis to $\tilde{\mathfrak{g}}$. Therefore $\rho(\tilde{\mathfrak{g}} \cap \mathfrak{g}_0, jc) = 0$ and in particular $\rho([\mathfrak{g}^{(a)}, \mathfrak{g}^{(a)}], jc) = 0$. From (3) it follows immediately that $\text{ad}jc$ is symplectic on $\mathfrak{g}^{(a)}$:

$$(4) \quad \rho([jc, x], y) + \rho(x, [jc, y]) = 0 \quad \text{for all } x, y \in \mathfrak{g}^{(a)}$$

Since $[\mathfrak{g}^{(a)}, c] = 0$, $\text{ad}c$ is symplectic on $\mathfrak{g}^{(a)}$ as well. Because $[jc, c] = c$, we have only left to verify (and do it by a straightforward computation)

$$(5) \quad [j, \text{ad}jc - 1/2[j, \text{ad}c]]\mathfrak{g}^{(a)} = 0.$$

This finishes the proof of the lemma.

2.6. We sharpen the last result and get

LEMMA. $\mathfrak{g}^{(a)} = 0$ if $a \notin \{0, \pm 1/2, \pm 1\}$.

Proof. Let $a \in (1/2)\mathbb{Z}$, $a \notin \{0, \pm 1/2, \pm 1\}$ and suppose $\mathfrak{g}^{(a)} \neq 0$. We assume that $|a|$ is maximal. Then $[\mathfrak{g}^{(a)}, \mathfrak{g}_1] = 0$, since $\text{ad } jc$ has only the weights $0, 1/2, 1$ in \mathfrak{g}_1 . Moreover, $j\mathfrak{g}^{(a)} \subset \mathfrak{g}^{(a)} + \mathfrak{f}$ follows from Lemma 2.4 since $\mathfrak{g}^{(a)} \cap \mathfrak{g}_1 = 0$ and $\mathfrak{g}^{(a+1)} \cap \mathfrak{g}_1 = 0$. We can again assume that even $j\mathfrak{g}^{(a)} \subset \mathfrak{g}^{(a)}$ holds. From $[\mathfrak{g}^{(a)}, c] = 0$ and $[\mathfrak{g}^{(a)}, \mathfrak{g}^{(a)}] = 0$ we conclude that $\text{ad } c$ and $\text{ad } jc$ are symplectic maps of $\mathfrak{g}^{(a)}$. As at the end of the proof of the last lemma one finishes the verification that ad is a symplectic representation of $Rc + Rjc$ on $\mathfrak{g}^{(a)}$. Hence $a \in \{0, \pm 1/2\}$, a contradiction.

2.7. From Lemma 2.4 it is easy to derive that after an inessential change of j we can assume $j\hat{\mathfrak{g}} \subset \hat{\mathfrak{g}}$ where $\hat{\mathfrak{g}} = \mathfrak{g}^{(-1)} + \mathfrak{g}^{(0)} + \mathfrak{g}^{(1)}$. Therefore $\hat{\mathfrak{g}}$ is a Kähler subalgebra of \mathfrak{g} . Moreover, $\mathfrak{g}^{(n)} = \mathfrak{r}^{(n)} + j\mathfrak{r}^{(n)} \pmod{(\mathfrak{g}' \cap \hat{\mathfrak{g}})}$. Hence we can apply the induction hypothesis to $\hat{\mathfrak{g}}$ in case $\hat{\mathfrak{g}} \neq \mathfrak{g}$. In this case we have $\mathfrak{g}^{(-1)} = 0$, whence

$$\mathfrak{g} = \mathfrak{g}^{(-1/2)} + \mathfrak{g}^{(0)} + \mathfrak{g}^{(1/2)} + \mathfrak{g}^{(1)} \quad \text{if } \hat{\mathfrak{g}} \neq \mathfrak{g}.$$

Before we continue to consider this case more closely we want to finish the possibility $\mathfrak{g} = \hat{\mathfrak{g}}$.

LEMMA. *If $\mathfrak{g} = \hat{\mathfrak{g}}$, then the Radical Conjecture holds.*

Proof. By our assumption, jc has only the weights 0 and 1 in \mathfrak{g}_1 . Hence $\mathfrak{g}_1 = \mathfrak{g}_1^{(0)} + \mathfrak{g}_1^{(1)}$ and since c is a minimal idempotent $\mathfrak{g}_1^{(1)} = Rc$. Because there is no weight $1/2$ we know that the underlying normal j -algebra $\mathfrak{g}_1 + j\mathfrak{g}_1$ is the product of the subalgebras $Rc + Rjc$ and $\mathfrak{g}_1^{(0)} + j\mathfrak{g}_1^{(0)}$. Since the modification derivations $D(x)$ annihilate c and jc we conclude that $\mathfrak{g}_1^{(0)} + j\mathfrak{g}_1^{(0)}$ is a subalgebra of the given Kähler algebra $\mathfrak{g}_1 + j\mathfrak{g}_1$. We also know that \mathfrak{f} leaves both algebras invariant. Set $\mathfrak{g}^* = \mathfrak{g}^{(-1)} + j\mathfrak{g}_1^{(0)} + \mathfrak{g}_1^{(0)} + \mathfrak{f}$. Then Lemma 2.4 shows that \mathfrak{g}^* is j -invariant. It is easy to verify that \mathfrak{g}^* is a subalgebra of \mathfrak{g} . It is straightforward to check that $\mathfrak{g}^{(-1)} + \mathfrak{g}_1^{(0)}$ is an ideal of \mathfrak{g}^* . Clearly, $\mathfrak{g}^{(-1)}$ and $\mathfrak{g}_1^{(0)}$ are both abelian and since $[\mathfrak{g}^{(-1)}, \mathfrak{g}_1^{(0)}] = [\mathfrak{g}_0^{(-1)}, \mathfrak{g}_1^{(0)}] \subset \mathfrak{g}_1^{(-1)} = 0$ we see that $\mathfrak{g}^{(-1)} + \mathfrak{g}_1^{(0)}$ is an abelian ideal of the Kähler algebra \mathfrak{g}^* . Therefore the Radical Conjecture holds for \mathfrak{g}^* . Next we want to show $\mathfrak{g}^{(-1)} = 0$. We consider the idempotent $e - c$ of $\mathfrak{g}_1^{(0)} + j\mathfrak{g}_1^{(0)}$. It is easy to see that the real part of $\text{ad } j(e - x)$ acts as identity map on $\mathfrak{g}^{(-1)}$. From the solvable theory, applied to \mathfrak{g}^* , we know that $j\mathfrak{g}^{(-1)}$ is annihilated by the real part of $\text{ad } j(e - c)$. But from Lemma 2.4 we obtain $i\mathfrak{g}^{(-1)} \subset \mathfrak{g}^{(-1)} + \mathfrak{g}_1^{(0)} + j\mathfrak{g}_1^{(0)} + \mathfrak{f}$, whence $j\mathfrak{g}^{(-1)} \subset j\mathfrak{g}_1^{(0)} + \mathfrak{f}$ and $\mathfrak{g}^{(-1)} \subset \mathfrak{g}_1^{(0)} + \mathfrak{f}$. This implies $\mathfrak{g}^{(-1)} = 0$. Hence, by our assumption $\mathfrak{g} = \mathfrak{g}_0 + \mathfrak{g}_1 = \mathfrak{g}^{(0)} + \mathfrak{g}^{(1)}$.

To finish our argument we consider as in [8; sect. 2.5] the space $u = \{x \in \mathfrak{g}; [x, c] = 0, [jx, c] = 0\}$. Clearly, $\mathfrak{f} \subset u$ and $ju \subset u$. Since $\mathbf{R}c$ is a one dimensional ideal of \mathfrak{g} we have for $x \in \mathfrak{g}$: $[x, c] = ac$ and $[jx, c] = bc$ for some $a, b \in \mathbf{R}$. A straightforward computation shows $x - ajc - bc \in u$. Hence $\mathfrak{g} = u + \mathbf{R}c + \mathbf{R}jc$. From the definition of u we derive $\mathfrak{g}_1^{(0)} \subset u$, whence $\mathfrak{g}_1^{(0)} + j\mathfrak{g}_1^{(0)} + \mathfrak{f} \subset u$. Next we show $[jc, u] \subset u$. Let $u \in u$, then $[[jc, u], c] = 0$ and $[j[jc, u], c] = [[jc, ju] - j[c, ju] - [c, u] - k, c] = 0$. Therefore $u = u^{(0)} + u^{(1)}$. Since $\mathfrak{g}^{(1)} = \mathbf{R}c$, $u \subset \mathfrak{g}^{(0)}$. Let $u = u_1 + u_0$, $u_i \in \mathfrak{g}_i$. Then $u \in u$ iff $[u_0, c] = 0$ and $[ju_1 + ju_0, c] = 0$. In particular $u_0 \in v = \{x \in \mathfrak{g}_0; [x, c] = 0\}$. On the other hand, let $v \in v$, then $[v, c] = 0$ and $[jv, c] = bc$. Therefore $v - bc \in u$. But $u \subset \mathfrak{g}^{(0)}$ and $v \in \mathfrak{g}_0 \subset \mathfrak{g}^{(0)}$, whence $b = 0$. Therefore $u = \mathfrak{g}_1^{(0)} + v$. To see that u is a Kähler algebra we have to show $[v, \mathfrak{g}_1^{(0)}] \subset \mathfrak{g}_1^{(0)}$. But this follows since $u \subset \mathfrak{g}^{(0)}$, $\mathfrak{g}^{(0)}$ is a subalgebra and $\mathfrak{g}^{(0)} \cap \mathfrak{g}_1 = \mathfrak{g}_1^{(0)}$. Finally, $\mathfrak{r}_0 = \mathfrak{r} \cap \mathfrak{g}_0$ acts nilpotently on \mathfrak{g} , therefore $[\mathfrak{r}_0, c] = 0$ and $\mathfrak{r}_0 \subset v \subset u$ follows. Now it is easy to see that $u = (\mathfrak{r}_0 + \mathfrak{g}_1^{(0)}) + j(\mathfrak{r}_0 + \mathfrak{g}_1^{(0)}) + \mathfrak{f}$ holds, where $\mathfrak{r}_0 + \mathfrak{g}_1^{(0)}$ is a nilpotent ideal of u . This implies that the Radical Conjecture holds for u . From this we will derive that the Radical Conjecture holds for \mathfrak{g} . Let \mathfrak{h} be a maximal semisimple subalgebra of \mathfrak{g}_0 . Then \mathfrak{h} is maximal semisimple in \mathfrak{g} . Moreover, $[\mathfrak{h}, \mathbf{R}c] \subset \mathbf{R}c$ implies $[\mathfrak{h}, c] = 0$, i.e. $\mathfrak{h} \subset v$. Let $\mathfrak{t}_\mathfrak{h}$ be a maximal split solvable subalgebra of \mathfrak{h} . Then $\mathfrak{t}_u = \mathfrak{t}_\mathfrak{h} + \text{rad}(v) + \mathfrak{g}_1^{(0)}$ is a solvable subalgebra of u and $u = \mathfrak{t}_u + \mathfrak{f}$ by the Radical Conjecture applied to u . Moreover, $\mathfrak{h} \subset \mathfrak{t}_\mathfrak{h} + \mathfrak{f}$. Therefore, $\mathfrak{t}_0 = \mathfrak{t}_\mathfrak{h} + \text{rad}(\mathfrak{g}_0)$ is a solvable subalgebra of \mathfrak{g}_0 and $\mathfrak{g}_0 = \mathfrak{t}_0 + \mathfrak{f}$ holds. Hence $\mathfrak{t} = \mathfrak{t}_0 + \mathfrak{g}_1$ is a solvable subalgebra of \mathfrak{g} and $\mathfrak{g} = \mathfrak{t} + \mathfrak{f}$. From this the Radical Conjecture follows.

2.8. In the last subsection we have seen that the Radical Conjecture holds if $\hat{\mathfrak{g}}(c) = \mathfrak{g}$ for some minimal idempotent c of \mathfrak{g}_1 . Therefore, from now on we can assume $\mathfrak{g} \neq \hat{\mathfrak{g}}(c)$ for all minimal idempotents c of \mathfrak{g}_1 . Hence $\mathfrak{g} = \mathfrak{g}^{(-1/2)}(c) + \mathfrak{g}^{(0)}(c) + \mathfrak{g}^{(1/2)}(c) + \mathfrak{g}^{(1)}(c)$ for all minimal idempotents $c \in \mathfrak{g}_1$. Applying the induction hypothesis to $\hat{\mathfrak{g}}(c) = \mathfrak{g}^{(0)}(c) + \mathfrak{g}^{(1)}(c)$ once more shows $\mathfrak{g}^{(1)}(c) \subset \mathfrak{g}_1$. Therefore $\mathfrak{g}_0^{(a)}(c) \neq 0$ implies $-1/2 \leq a \leq 1/2$. This shows that [9; Lemma 4.3] holds. This together with Lemma 2.4, (which is [9; Lemma 4.2]) enables us to carry out the rest of the arguments of [9; § 2] with few changes.

We choose minimal tripotents c_1, \dots, c_k in \mathfrak{g}_1 satisfying

$$(1) \quad c_1 + \dots + c_k = e$$

$$(2) \quad [jc_i, c_k] = \delta_{ik}c_k.$$

These conditions determine the c_k 's uniquely (up to permutation). For the purposes of this subsection we order c_1, \dots, c_k as in [9]. From the solvable theory (applied to $\mathfrak{g}_1 + j\mathfrak{g}_1$) we know

$$(3) \quad [jc_i, jc_k] = 0 \quad \text{for all } i, k.$$

Therefore we get a simultaneous eigenspace decomposition of \mathfrak{g} relative to $R_1, \dots, R_l, R_k = \text{Re}(\text{ad } jc_k)$. We thus get $\mathfrak{g} = \bigoplus \mathfrak{g}^{(\lambda)}$ where $R_i x^{(\lambda)} = \lambda(i) x^{(\lambda)}$ for all $x^{(\lambda)} \in \mathfrak{g}^{(\lambda)}$. Clearly, $\mathfrak{g}^{(\lambda)} = \mathfrak{g}_1^{(\lambda)} + \mathfrak{g}_0^{(\lambda)}$.

We know $\lambda = \lambda_i$ or $\lambda = (1/2)(\lambda_i + \lambda_j)$ on \mathfrak{g}_1 where $\lambda_i(k) = \delta_{ik}$. Moreover, we have seen above that $\lambda(k) = 1$ for some $k \in \{1, \dots, l\}$ implies $\mathfrak{g}^{(\lambda)} \subset \mathfrak{g}_1$ and that $\lambda(k) \in \{0, \pm 1/2, 1\}$ for all k .

As in [9] we introduce the subalgebra \mathfrak{s} of \mathfrak{g}_0 , $\mathfrak{s} = \{x \in \mathfrak{g}_0; [x, e] = 0\}$. Since $x - j[x, e] \in \mathfrak{s}$ for each $x \in \mathfrak{g}_0$ we have $\mathfrak{g}_0 = \mathfrak{s} + j\mathfrak{g}_1$.

Using these fact and definitions, the proof of [9; Lemma 4.4] carries over and yields

$$(4) \quad \mathfrak{g}_0^{(\lambda)} \subset \mathfrak{s} \text{ if } \lambda \neq 1/2(\lambda_i - \lambda_k) \quad \text{for all } i, k.$$

Next we consider $s \in \mathfrak{s}$ and decompose it relative to $\mathfrak{g} = \bigoplus \mathfrak{g}^{(\lambda)}$. From (4) we conclude that $s^{(0)} + \sum_{a \neq b} s^{((1/2)\lambda_a - (1/2)\lambda_b)} \in \mathfrak{s}$. It is easy to see that $[s^{(0)}, c_i] \in \mathfrak{R}c_i$ holds. Moreover, assume $0 \neq x = [s^{((1/2)\lambda_a - (1/2)\lambda_b)}, c_i]$, $a \neq b$, is a multiple of some c_k . Then an application of R_k yields $1 = (1/2)(\delta_{ak} - \delta_{bk}) + \delta_{ik}$. Hence $i = k$, $i \neq a, b$. But then $R_a x = (1/2)x$, whence $x = 0$, a contradiction. Therefore x is perpendicular to $\bigoplus_{k=1}^l \mathfrak{R}c_k$. Altogether $[s^{(0)}, e] = 0$ follows. We thus have proven

$$(5) \quad ([9]) \text{ Let } s \in \mathfrak{s}, \text{ then } s^{(0)} \in \mathfrak{s}.$$

Next we show

$$(6) \quad ([8], [9]) \text{ Let } \lambda = (1/2)(\lambda_a - \lambda_b), a \leq b, \text{ then we have}$$

$$\mathfrak{g}_0^{(\lambda)} = (\mathfrak{s} \cap \mathfrak{g}_0^{(\lambda)}) + (j\mathfrak{g}_1)^{(\lambda)}.$$

For $\lambda = 0$ this follows from (5) and the case $\lambda \neq 0$ can be shown as in [8; Sect. 4.4].

We set $\sigma(x) = \text{trace}(\text{ad } x|_{\mathfrak{g}_1})$, $x \in \mathfrak{g}$. The proof of [9; Lemma 4.6] carries over without change and yields (y_s denoting the \mathfrak{s} -component of y)

$$(7) \quad ([9], [8]) \text{ let } \lambda = 1/2(\lambda_a - \lambda_b), a \leq b, \text{ and let } s \in \mathfrak{s} \cap \mathfrak{g}_0^{(\lambda)}. \text{ Then } \sigma([s, jx]_s) = 0 \text{ for all } x \in \mathfrak{g}_1.$$

LEMMA ([8]). $\text{trace}(\text{ad } s|_{\mathfrak{g}_1}) = 0$ for all $s \in \mathfrak{s}$.

Proof. By (5) we can assume $s \in \mathfrak{s}^{(0)}$. Using (6) and (7) we can carry out the proof of [8; Lemma 8, sect. 4] without further changes and obtain the claim.

2.9. We collect the properties of \mathfrak{s} which will be used in the following sections.

LEMMA. a) $\mathfrak{s} = \{x \in \mathfrak{g}_0; [x, e] = 0\}$ is a Kähler subalgebra of \mathfrak{g}_0 and $\mathfrak{k} \subset \mathfrak{s}$.

b) $\mathfrak{g}_0 = \mathfrak{s} + j\mathfrak{g}$ is a direct sum of vector spaces.

c) $\text{trace}(\text{ad } \mathfrak{s}|_{\mathfrak{g}_1}) = 0$

d) $\text{ad } \mathfrak{s}|_{\mathfrak{g}_1}$ is contained in the isotropy algebra of the homogeneous cone K in \mathfrak{g}_1 which is associated with the Kähler algebra $\mathfrak{g}_1 + j\mathfrak{g}_1$ and the point $e \in K$.

Proof. a) Following [8; Sec. 2, 5] we let $\mathfrak{m} = \{x \in \mathfrak{g}; [x, e] = 0, [jx, e] = 0\}$. Clearly, $j\mathfrak{m} \subset \mathfrak{m}$. As in loc. cit. one proves $\mathfrak{g} = \mathfrak{m} + \mathfrak{g}_1 + j\mathfrak{g}_1$ and $[j\mathfrak{e}, \mathfrak{m}] \subset \mathfrak{m}$. Hence $\mathfrak{m} = \mathfrak{m}_0 + \mathfrak{m}_1$. But from the definition of \mathfrak{m} it follows $0 = [j\mathfrak{m}_1, e] = \mathfrak{m}_1$, whence $\mathfrak{m} \subset \mathfrak{g}_0$. Obviously, $\mathfrak{m} \subset \mathfrak{s}$. But \mathfrak{m} and \mathfrak{s} have the same dimension since both are algebraic complements of $j\mathfrak{g}_1$ in \mathfrak{g} . Therefore $\mathfrak{m} = \mathfrak{s}$ and a) follows. b) and c) have been shown in the last subsection. d) This follows from [17; Proposition 4] and c).

2.10. Put $\mathfrak{s} = \{x \in \mathfrak{s}; [x, \mathfrak{g}_1] = 0\}$. Then $\mathfrak{s} \subset \mathfrak{s}$ is an ideal of \mathfrak{g} .

LEMMA. $\mathfrak{s} = \mathfrak{s} + j\mathfrak{s} + \mathfrak{k}$ and $\mathfrak{r}_0 \subset \mathfrak{s} + j\mathfrak{g}_1$.

Proof. Let $r \in \mathfrak{r}_0 = \mathfrak{r} \cap \mathfrak{g}_0$; then $r = s + j\mathfrak{g}_1$, $s \in \mathfrak{s}$, $\mathfrak{g}_1 \in \mathfrak{g}_1$. From Lemma 2.9, we know $\text{ad } \mathfrak{g}_0|_{\mathfrak{g}_1} \subset \text{Lie Aut } K$, the infinitesimal linear automorphisms of the cone K . Hence $\text{ad } \mathfrak{r}_0|_{\mathfrak{g}_1}$ is an ideal in $\text{ad } \mathfrak{g}_0|_{\mathfrak{g}_1}$ which consists of nilpotent endomorphisms. This implies $\text{ad } \mathfrak{r}_0|_{\mathfrak{g}_1} \subset \text{ad } j\mathfrak{g}_1|_{\mathfrak{g}_1}$, whence $\mathfrak{r}_0 \subset \mathfrak{s} + j\mathfrak{g}_1$. But this implies $\mathfrak{s} \subset \mathfrak{r}_0 + j\mathfrak{r}_0 + \mathfrak{g}_1 + j\mathfrak{g}_1 + \mathfrak{k} \subset \mathfrak{s} + j\mathfrak{s} + \mathfrak{k} + \mathfrak{g}_1 + j\mathfrak{g}_1 \subset \mathfrak{s} + \mathfrak{g}_1 + j\mathfrak{g}_1$ and the assertion follows.

2.11. Set $\mathfrak{k} = \{j\mathfrak{g}_1; s + j\mathfrak{g}_1 \in \mathfrak{r}_0 \text{ for some } s \in \mathfrak{s}\}$. Denote by \mathfrak{p} the Kähler subalgebra of $\mathfrak{g}_1 + j\mathfrak{g}_1$ generated by \mathfrak{k} . Then $\mathfrak{p} = (\mathfrak{p} \cap \mathfrak{g}_0) + (\mathfrak{p} \cap \mathfrak{g}_1)$.

LEMMA. a) \mathfrak{k} is an ideal of $j\mathfrak{g}_1$.

b) $[\mathfrak{s}, \mathfrak{p}] \subset \mathfrak{s} + \mathfrak{p}$.

- c) $\mathfrak{p} + \mathfrak{s}$ is a Kähler subalgebra of \mathfrak{g} .
- d) $\mathfrak{p}_1 = \mathfrak{p} \cap \mathfrak{g}_1 \neq \mathfrak{g}_1$.

Proof. a) Let $jb \in \mathfrak{k}$ and $s \in \mathfrak{s}$ so that $s + jb \in r_0$. Then $[ja, s] + [ja, jb] = [ja, s + jb] \in r_0$. Since $[ja, s] \in \mathfrak{s}$ and $[ja, jb] \in j\mathfrak{g}_1$, we conclude $[ja, jb] \in \mathfrak{k}$.

b) First we prove

(1) Let u be a subalgebra of \mathfrak{g} satisfying $[\mathfrak{s}, u] \subset u + \mathfrak{s}$. Then the Lie algebra \bar{u} generated by $u + ju$ satisfies $[\mathfrak{s}, \bar{u}] \subset \bar{u} + \mathfrak{s}$.

Proof. Since \mathfrak{s} is an ideal of \mathfrak{g} the condition $[\mathfrak{s}, u] \subset u + \mathfrak{s}$ is equivalent to $[j\mathfrak{s}, u] \subset u + \mathfrak{s}$ and $[\mathfrak{k}, u] \subset u + \mathfrak{s}$. But then $[j\mathfrak{s}, ju] = j[j\mathfrak{s}, u] + j[\mathfrak{s}, ju] + [\mathfrak{s}, u] + k$ shows $[j\mathfrak{s}, ju] \subset ju + \mathfrak{s}$ and $[k, ju] = j[k, u] + k'$ implies $[\mathfrak{k}, ju] \subset ju + \mathfrak{s}$. Hence altogether $[\mathfrak{s}, u + ju] \subset u + ju + \mathfrak{s}$. A simple induction finishes now the proof of (1).

From (1) follows immediately

(2) Let u be a subalgebra of \mathfrak{g} satisfying $[\mathfrak{s}, u] \subset u + \mathfrak{s}$. Then the j -algebra \bar{u} generated by u satisfies $[\mathfrak{s}, \bar{u}] \subset \bar{u} + \mathfrak{s}$.

To prove b) it suffices now to show

- (3) $[\mathfrak{s}, \mathfrak{k}] \subset \mathfrak{k} + \mathfrak{s}$.

Proof. We note that $[k, s + jg_1] = [k, s] + k' + j[k, g_1] \in r_0$ if $s + jg_1 \in r_0$; clearly $[k, s] \in \mathfrak{s}$ if $s \in \mathfrak{s}$, whence $k' \in \mathfrak{s}$ by Lemma 2.10. Hence $[\mathfrak{k}, \mathfrak{k}] \subset \mathfrak{k}$. Also, $[js', s + jg_1] = [js', s] + [js', jg_1] = [js', s] + j[js', g_1] + j[s', jg_1] + [s', g_1] + k = ([js', s] + j[s', jg_1] + k) + j[js', g_1] \in r_0 \cap (\mathfrak{s} + jg_1)$ for all $s' \in \mathfrak{s}$ and s, g_1 as in the definition of \mathfrak{k} . By Lemma 2.10, we conclude $[js', s] + j[s', jg_1] + k \in \mathfrak{s}$. Hence $j[js', g_1] \in \mathfrak{k}$. This finishes the proof of (3) and thus of b).

c) Since \mathfrak{p} and \mathfrak{s} are Kähler algebras the assertion follows from b).

d) We know $r \subset \text{nil}(\mathfrak{g})$. Therefore adr is nilpotent for all $r \in r$. Since \mathfrak{s} is an ideal of \mathfrak{g} we derive from $\text{ad}(s + jg_1)^n b = (\text{ad } jg_1|_{\mathfrak{g}_1})^n b$, $b \in \mathfrak{g}_1$, that $\text{ad } jg_1|_{\mathfrak{g}_1}$ is nilpotent for all $jg_1 \in \mathfrak{k}$. This implies that \mathfrak{k} is perpendicular to all jc_i, c_i a minimal idempotent in \mathfrak{g}_1 . We order the minimal idempotents as in [4; p. 5] and see that the last minimal idempotent c is perpendicular to the clan generated by $j\mathfrak{k}$. From this it follows that $\mathfrak{k} + j\mathfrak{k}$ is contained in the j -algebra of elements of $\mathfrak{g}_1 + j\mathfrak{g}_1$ which are perpendicular to $Rc + Rjc$. Therefore c is perpendicular to \mathfrak{p}_1 and d) follows.

2.12. We have $r_0 \subset \mathfrak{s} + \mathfrak{k} \subset \mathfrak{s} + \mathfrak{p}$ and $\mathfrak{p}_1 = \mathfrak{p} \cap \mathfrak{g}_1 \subset r_1$. It is easy to

verify that $r_0 + p_1$ is a (solvable) ideal of $p + \mathfrak{s}$. Moreover, $\dim(r_0 + p_1) < \dim r$. Consider the Kähler subalgebra $w = (r_0 + p_1) + j(r_0 + p_1) + \mathfrak{k}$ of $\mathfrak{s} + p$.

LEMMA. $\mathfrak{s} \subset w = \mathfrak{s} + p$.

Proof. Let $s \in \mathfrak{s}$. Then $s = r_0 + jr'_0 + x_1 + jy_1 + k$ where $r_0, r'_0 \in r_0$, $x_1, y_1 \in g_1$, $k \in \mathfrak{k}$. We can write $r_0 = s_0 + jg_1$, $r'_0 = s'_0 + jg'_1$ with $s_0, s'_0 \in \mathfrak{s}$, $g_1, g'_1 \in p_1$. Hence $s = s_0 + js'_0 + k + j(g_1 + y_1) + (x_1 - g'_1)$ and $x_1 = g'_1 \in p_1$, $y_1 = -g_1 \in p_1$. Therefore $s \in w$. To finish the proof it suffices to show $p \subset w$. But $p = p_0 + p_1 \subset g_1 + jg_1$ where $p_j = p \cap g_j$. Hence $p_0 = jp_1$ and $p \subset w$ follows.

It is clear that we can apply the induction hypothesis to $w = p + \mathfrak{s}$.

COROLLARY 1. a) $\mathfrak{s} = \mathfrak{s}_s + \mathfrak{k}$ where \mathfrak{s}_s is a solvable subalgebra of \mathfrak{s} .

b) After an inessential change of j , which does not alter j on $g_1 + jg_1$, we can assume $j\mathfrak{s}_s \subset \mathfrak{s}_s$.

Proof. a) Let \mathfrak{h} be a maximal semisimple subalgebra of \mathfrak{s} containing $[\mathfrak{k}, \mathfrak{k}]$. From the Radical Conjecture applied to $w \supset \mathfrak{s}$ it follows that a maximal compact subalgebra of \mathfrak{h} is already contained in \mathfrak{k} . From this the claim follows.

b) follows from a) and the facts $\mathfrak{s} \cap (g_1 + jg_1) = 0$, $j\mathfrak{s} \subset \mathfrak{s}$ and $j(g_1 + jg_1) \subset g_1 + jg_1$.

COROLLARY 2. a) $\text{ad}(\mathfrak{s}_s)|_{g_1}$ is abelian

b) $[\mathfrak{s}_s, \mathfrak{s}_s] \subset \mathfrak{s}$.

Proof. Since $\text{ad } s|_{g_1}$, $s \in \mathfrak{s}$, is skewadjoint relative to some inner product on g_1 we know that $\text{ad}(\mathfrak{s}_s)|_{g_1}$ is solvable and skewadjoint, whence abelian, proving a).

b) follows immediately from a).

Remark. The importance of Corollary 2 is, that it deals with all of \mathfrak{s} (modulo the isotropy part \mathfrak{k}).

2.13. Let s_0 be a principal idempotent of the Kähler algebra \mathfrak{s} , satisfying $[\mathfrak{k}, s_0] = 0$. Since $[js_0, s_0] = s_0$ we know from Corollary 2.12.2 that $s_0 \in \mathfrak{s}$ holds.

Let $D = \text{Re}(\text{ad } js_0)$ and $g = \bigoplus_{\theta \in \mathbb{R}} g^{[\theta]}$ be the eigenspace decomposition of g relative to D . Then $\mathfrak{k} \subset g^{[0]}$.

Since $\text{adj}_{s_0}|_{\mathfrak{g}_1}$ is skewadjoint (relative to some inner product on \mathfrak{g}_1) we have

(1) $\mathfrak{g}_1 \subset \mathfrak{g}^{[0]}$.

(2) D has only the eigenvalues $0, \pm 1/2, 1$ and the eigenspaces for the eigenvalues $\pm 1/2, 1$ are contained in \mathfrak{s}_s .

Proof. For $g \in \mathfrak{g}_1$, we have $[js_0, jg] = j[js_0, g] \text{ mod } \mathfrak{s}$. Hence $Djg = jDg \text{ mod } \mathfrak{s} = 0 \text{ mod } \mathfrak{s}$. This implies that nonzero eigenvalues of D can only occur in \mathfrak{s} . But $\mathfrak{k} \subset \mathfrak{g}^{[0]}$; therefore nonzero eigenvalues can only occur in \mathfrak{s}_s . Note that in \mathfrak{s}_s only the eigenvalues $0, \pm 1/2, 1$ can occur.

2.14. We want to apply the appendix to $D = \text{Re}(\text{adj}_{s_0})$. Let \mathfrak{q} be the algebraic hull of $\text{ad } \mathfrak{g} \subset \text{End}_{\mathbb{R}}(\mathfrak{g})$. Then $D \in \mathfrak{q}$ is a semisimple endomorphism of \mathfrak{g} . Hence $D = D_s + D_r$ where $D_s \in \mathfrak{S}$, \mathfrak{S} some maximal semisimple subalgebra of \mathfrak{q} , and $D_r \in \text{rad } \mathfrak{q}$ satisfy $[D_s, D_r] = 0$. Since $[\mathfrak{S}, \mathfrak{S}] = \mathfrak{S}$ we have $\mathfrak{S} = \text{ad } \mathfrak{h}$ for some maximal semisimple subalgebra of \mathfrak{g} . Let $h_0 \in \mathfrak{h}$ so that $\text{ad } h_0 = D_s$. Since $\text{ad } h_0$ is semisimple with only real eigenvalues, h_0 is contained in some Cartan subalgebra of \mathfrak{h} . Therefore, if $\text{ad } h_0$ has an eigenvalue $\lambda \neq 0$, then it also has the eigenvalue $-\lambda \neq 0$. Moreover, there exist $x \in \mathfrak{h}_\lambda$ and $y \in \mathfrak{h}_{-\lambda}$ such that $[x, y]$ acts semisimply on \mathfrak{g} . Since the eigenvalues of $\text{ad } h_0$ are also eigenvalues for D we conclude $\lambda = \pm 1/2$. But we have seen in 2.13 that the eigenvalues $\pm 1/2$ of D are only attained in the solvable Lie algebra \mathfrak{s}_s . Hence $[x, y]$ is nilpotent on \mathfrak{g} , a contradiction. This shows

LEMMA. $\mathfrak{h} \subset \mathfrak{g}^{[0]}$.

2.15. We consider the subalgebra $\mathfrak{m} = \mathfrak{g}^{[0]} + \mathfrak{g}^{[1]}$ of \mathfrak{g} .

LEMMA. a) \mathfrak{m} is a Kähler subalgebra of \mathfrak{g}

b) $\mathfrak{m} = (\mathfrak{r} \cap \mathfrak{m}) + j(\mathfrak{r} \cap \mathfrak{m}) + \mathfrak{k}$.

Proof. From 2.10 we know that \mathfrak{s} is an ideal of \mathfrak{g} . We have seen above $\mathfrak{g}^{[2]} \subset \mathfrak{s}$ if $\lambda \neq 0$. Replacing e by s_0 , \mathfrak{n} by \mathfrak{s} , $\hat{\mathfrak{n}}$ by $\mathfrak{s}^{[1/2]}$ and defining $\mathfrak{q} = \{x \in \mathfrak{g}; [x, s_0] \in \hat{\mathfrak{n}}, [jx, s_0] \in \hat{\mathfrak{n}}\}$ it is straightforward to check that with the exception of 4.17 the results of 4.13 through 4.22, of [6] still hold. It is easy to verify that the proofs of 4.25 and 4.26 of [6] can be applied in our situation as well and we obtain the assertion.

2.16.

LEMMA. If $\mathfrak{g}^{[0]} + \mathfrak{g}^{[1]} \neq \mathfrak{g}$, then the Radical Conjecture holds.

Proof. By our assumption and Lemma 2.15, we can apply the induction hypothesis to $g^{[0]} + g^{[1]}$. Therefore, there exists a solvable subalgebra $\mathfrak{s}^{[0]}$ so that $\mathfrak{s}^{[0]} + \mathfrak{k} = g^{[0]}$ holds. But then $q = \mathfrak{s}^{[0]} + \text{rad } g$ is a solvable subalgebra of g satisfying $q + \mathfrak{k} = g$. Since $r \subset \text{nil}(g)$ we have $r \subset q$. Hence, after an inessential change of j we see that $r + jr$ is a solvable Kähler subalgebra of g and the assertion follows.

2.17. It is clear that we have only to consider the case $g = g^{[0]} + g^{[1]}$. Here we assume — in addition to our previous assumptions — that n is chosen so that the rank of the maximal idempotent e of g' is maximal.

LEMMA. *If $g = g^{[0]} + g^{[1]}$, then the Radical Conjecture holds.*

Proof. (1) We can assume that $g^{[1]} + jg^{[1]}$ is a solvable Kähler algebra with principal idempotent s_0 .

(2) Let $u = \{x \in g; [x, s_0] = 0, [jx, s_0] = 0\}$. Then $ju \subset u$ and as in [8; sect. 2.5] one proves $g = jg^{[1]} + g^{[1]} + u$ and $[js_0, u] \subset u$. Then $u = u^{[0]} + u^{[1]}$ and $0 = [ju^{[1]}, s_0] = u^{[1]}$. Hence $g^{[0]} = jg^{[1]} + u$.

(3) $g^{[1]} \subset r \cap \mathfrak{s}$: We know $s_0 = x + jy + a + jb + k$ where $x, y \in r^{[1]}$, $a, b \in r^{[0]}$ and $k \in \mathfrak{k}$. We split $b = jd + u$, $d \in g^{[1]}$, $u \in u$. Then $s_0 = x - d$ and $[b, s_0] = [jd + u, s_0] = d \in r^{[1]}$. Therefore $s_0 \in r^{[1]}$, whence $g^{[1]} = [jg^{[1]}, s_0] \subset r^{[1]} \cap \mathfrak{s}$.

(4) From (3) and Lemma 2.2, we know $g_1 + g^{[1]} \subset r$. We consider $A = g_1 + g^{[1]} + [r, r] = g_1 + g^{[1]} + [r^{[0]}, r^{[0]}] + g_1 + g^{[1]} + [r_0^{[0]}, r_0^{[0]}]$. If $A = r$, then $g_1 + [r_0^{[0]}, r_0^{[0]}] = r^{[0]}$ and $r_0^{[0]} = 0$ follows. But then $r = g_1 + g^{[1]}$ is, by (3), an abelian ideal of g and the Radical Conjecture follows. If $A \neq r$, then we can find an ideal \mathfrak{h} of g satisfying $A \subset \mathfrak{h} \subsetneq r$. But then the rank of a maximal idempotent \tilde{e} associated with \mathfrak{h} is greater than the rank of e if $g^{[1]} \neq 0$. This would be a contradiction to our choice of n . Therefore $g^{[1]} = 0$. This implies $\mathfrak{s}_0 = 0$ and \mathfrak{s}_s is a modification of an abelian Kähler algebra. The rest of this proof is a simplification of a previous version. The present version is due to K. Nakajima. We consider $\text{rad}_n(\mathfrak{s}) = \{x \in \text{rad}(\mathfrak{s}); \text{ad } x|_{\mathfrak{g}}$ is nilpotent}

(5) $r_0 \subset \text{rad}_n(\mathfrak{s}) + [jg_1, jg_1]$: Let $x \in r_0$. Then $x = s + z$ where $s \in \mathfrak{s}$ and $z \in [jg_1, jg_1]$ by Lemma 2.10. Note that $B = r_0 + [jg_1, jg_1]$ is a solvable subalgebra of g_0 and that x and z are contained in the ideal $B_n = \{x \in B; \text{ad } x|_g \text{ is nilpotent}\}$ of B . Hence $s \in B_n$. Let \mathfrak{h} be a maximal semisimple subalgebra of \mathfrak{s} and decompose $s = s' + s''$, where $s \in \text{rad}(\mathfrak{s})$,

$s'' \in \mathfrak{h}$. Then $\text{ads}''|_{\mathfrak{h}}$ is nilpotent since $s \in B_n$. But since $\mathfrak{g}^{[1]} = 0$ we know that \mathfrak{s} corresponds to a flat homogeneous Kähler manifold. Therefore \mathfrak{h} is a compact semisimple Lie algebra. Hence $\text{ads}''|_{\mathfrak{h}} = 0$, whence $s'' = 0$, $s = s'$ and (5) follows.

(6) $\text{rad}_n(\mathfrak{s})$ is an abelian ideal of \mathfrak{g} : Since \mathfrak{s} is an ideal of \mathfrak{g} we know that $\text{rad}(\mathfrak{s})$ is ideal of \mathfrak{g} and $[\mathfrak{g}, \text{rad}(\mathfrak{s})] \subset \text{nil}_0(\mathfrak{s}) \subset \text{rad}_n(\mathfrak{s})$ follows by [2; § 5, Proposition 6], where $\text{nil}_0(\mathfrak{s})$ denotes the maximal nilpotent ideal of \mathfrak{s} . Therefore $\text{rad}_n(\mathfrak{s})$ is an ideal of \mathfrak{g} . Moreover, since \mathfrak{s} corresponds to a flat homogeneous Kähler manifold, $\text{rad}_n(\mathfrak{s})$ is abelian.

From (6) we obtain

(7) $\text{rad}_n(\mathfrak{s}) + \mathfrak{g}_1$ is an abelian ideal of \mathfrak{g} . To prove that the Radical Conjecture holds for \mathfrak{g} it suffices now to note that $\mathfrak{g} = (\text{rad}_n(\mathfrak{s}) + \mathfrak{g}_1) + j(\text{rad}_n(\mathfrak{s}) + \mathfrak{g}_1) + \mathfrak{k}$ holds.

This finishes the proof of “Case 2”.

§ 3. Case 3. $\mathfrak{g} = \mathfrak{g}_{-1/2} + \mathfrak{g}_0 + \mathfrak{g}_{1/2} + \mathfrak{g}_1$

3.1. We use the notation of [6] as before (see 2.1). Since -1 is not a weight for $\text{ad}j_e$, we have from [6; Lemma 4.19]

$$(3.1) \quad \rho(e, \eta) = 0.$$

Then Lemma 4.21 of [6] simplifies to

$$(3.2) \quad \rho(e^{t \text{ad} j_e} u, e^{t \text{ad} j_e} v) = e^t \rho(je, [u, v]_i) + \text{const.}$$

for all $u, v \in \mathfrak{g}$, $t \in \mathbb{R}$. In particular, we have

$$(3.3) \quad \rho(\mathfrak{g}_\lambda, \mathfrak{g}_\mu) = 0 \quad \text{if } \lambda + \mu \neq 0, 1.$$

We also recall from [6; Lemma 4.26] that the Radical Conjecture holds for the Kähler subalgebra $\mathfrak{g}_0 + \mathfrak{g}_1$ of \mathfrak{g} . Note $\mathfrak{k} \subset \mathfrak{g}_0$. Moreover, we have $\mathfrak{g}_0 = \mathfrak{w}_0 + \mathfrak{t}_0 + j\mathfrak{g}_1 + \mathfrak{k}$ where $\mathfrak{w}_0 + \mathfrak{t}_0$ is a modification of a split solvable Kähler algebra and $\mathfrak{w}_0 + \mathfrak{t}_0 + j\mathfrak{g}_1 + \mathfrak{g}$ is a solvable Kähler subalgebra of $\mathfrak{g}_0 + \mathfrak{g}_1$.

As in Lemma 2.2 one proves

$$(3.4) \quad \mathfrak{g}_1 = \mathfrak{n}_1 = \mathfrak{r}_1.$$

3.2. We consider the subspace $\mathfrak{w} = \mathfrak{g}_{1/2} + \mathfrak{g}_{-1/2} + \mathfrak{k}$ of \mathfrak{g} . Then $j\mathfrak{w} \subset \mathfrak{w}$ since $j\mathfrak{g}_\lambda \subset \mathfrak{g}_\lambda + \mathfrak{g}'$ and $\rho(\mathfrak{w}, \mathfrak{g}_0 + \mathfrak{g}_1) = 0$ by (3.3). Therefore, after an inessential change of j , we can even assume $j(\mathfrak{g}_{1/2} + \mathfrak{g}_{-1/2}) \subset \mathfrak{g}_{1/2} + \mathfrak{g}_{-1/2}$.

3.3. Let c be the vector space of real parts of $\text{adj}c$, $c \in \mathfrak{g}_1 + \mathfrak{t}_0$, $[jc, c] = c$. Let $\mathfrak{c} \subset \mathfrak{b} \subset \text{End } \mathfrak{g}$ be a maximal abelian subalgebra of the algebraic hull $\overline{\text{ad } \mathfrak{g}}$ which consists of semisimple endomorphisms. Since $\text{Re}(\text{adj}e) \in \mathfrak{c}$ it follows $\mathfrak{b} \subset \overline{\text{ad } \mathfrak{g}_0}$. From the appendix it follows that there exists a maximal semisimple subalgebra \mathfrak{h} of \mathfrak{g} and an abelian subalgebra $\mathfrak{A} \subset \text{rad } \overline{\text{ad } \mathfrak{g}}$ such that $\mathfrak{b} \subset \text{ad } \mathfrak{h} + \mathfrak{A}$ and $\mathfrak{A}\mathfrak{h} = 0$. The maximality of \mathfrak{b} implies $\mathfrak{b} = (\mathfrak{b} \cap \text{ad } \mathfrak{h}) + \mathfrak{A}$, where $\mathfrak{b} \cap \text{ad } \mathfrak{h}$ is a Cartan algebra of $\text{ad } \mathfrak{h} \cong \mathfrak{h}$. In particular $R = \text{Re } \text{adj}e = \text{ad } h_0 + R_7$, where $R_7\mathfrak{h} = 0$ and $h_0 \in \mathfrak{h}$. Hence the eigenvalues of $\text{ad } h_0$ in \mathfrak{h} are also eigenvalues of R in \mathfrak{g} . Moreover, if $\lambda \neq 0$ is an eigenvalue of $\text{ad } h_0$ in \mathfrak{h} , then also $-\lambda$ is an eigenvalue of $\text{ad } h_0$ in \mathfrak{h} . Since R has only the eigenvalues $0, \pm 1/2, 1$, this implies $\lambda = \pm 1/2$. If $\text{ad } h_0$ has only the eigenvalue 0 in \mathfrak{h} , then the Radical Conjecture follows by the argument of [6; Lemma 4.32].

Hence from now on we assume that $\text{ad } h_0$ has a nonzero eigenvalue in \mathfrak{h} .

Then $\mathfrak{h} = \mathfrak{h}_{-1/2} + \mathfrak{h}_0 + \mathfrak{h}_{1/2}$ and $\mathfrak{h}_\lambda \neq 0$ for all λ . (We will show in the rest of this paper that this assumption leads to a contradiction). Moreover, we can assume that $\mathfrak{w}_0 + \mathfrak{t}_0 + j\mathfrak{g}_1$ contains a maximal split solvable subalgebra of \mathfrak{h}_0 and that it also contains the Cartan algebra of \mathfrak{h} which corresponds to $\mathfrak{b} \cap \text{ad } \mathfrak{h}$.

Let (\cdot, \cdot) denote the product in the unmodified algebra underlying $\mathfrak{g}_0 + \mathfrak{g}_1$ and denote by $\tilde{\text{ad}}$ its adjoint representation.

Then $\text{Re}(\text{adj}c) = \tilde{\text{ad}}c$ in $\mathfrak{g}_0 + \mathfrak{g}_1$ for all minimal idempotents c of $\mathfrak{g}_1 + \mathfrak{t}_0$.

LEMMA. *Let $x \in \mathfrak{g}_0$ such that $\text{ad } x \in \mathfrak{b}$ holds. Then there exists a linear combination y of idempotents of $\mathfrak{g}_1 + \mathfrak{t}_0$ and $u \in \mathfrak{w}_0$ such that $x = jy + u$ and*

- a) $[x, \mathfrak{w}_0] \subset \mathfrak{w}_0$,
- b) $[x, u] = 0, [x, ju] = 0, [ju, u] = 0$,
- c) $(jc, j) = 0$ for all idempotents $c \in \mathfrak{g}_1 + \mathfrak{t}_0$,
- d) $[\text{ad } x, \text{Re}(\text{adj}c)] = 0$ for all idempotents $c \in \mathfrak{g}_1 + \mathfrak{t}_0$,

Proof. Let $x \in \mathfrak{g}_0$ and $\text{ad } x \in \mathfrak{b}$, then $\text{ad } x$ lies in the span of all $\text{Re}(\text{adj}c)$, c a minimal idempotent of $\mathfrak{g}_1 + \mathfrak{t}_0$. This implies $x = jy + u$ where y is a linear combination of minimal idempotents and $u \in \mathfrak{g}_0 + \mathfrak{g}_1$ such that $(jc, u) = 0$ for all idempotents c . Moreover, we can assume that u is perpendicular to all jc . Then $u \in \mathfrak{w}_0$. Since the modification

derivations $D(v)$ of $\mathfrak{g}_0 + \mathfrak{g}_1$ annihilate all idempotents we already get a). We also note that c) and d) are clear as well. In particular $(x, u) = 0$. To see that also $[x, u] = 0$ holds we note $D(x) = 0$, since $\text{ad } x \in \mathfrak{b}$, whence $[x, u] = (x, u) - D(u)x = -D(u)u$. Since $\text{ad } x$ is selfadjoint and $D(u)$ skew adjoint relative to the inner product $\rho(a, jb)$ on \mathfrak{w}_0 we obtain $D(u)u = 0$ and $[x, u] = 0$. Let $\mathfrak{w}_0 = \hat{\mathfrak{w}}_0 + \hat{\mathfrak{w}}_1$ as in [5; 3.3]. Then $\text{ad } jc$ leaves $\hat{\mathfrak{w}}_0$ and $\hat{\mathfrak{w}}_1$ invariant. Hence $[x, u_i] = 0$ and $(jc, u_i) = 0$ where $u = u_0 + u_1$, $u_i \in \hat{\mathfrak{w}}_i$. But then $(jc, ju_i) = 0$ and $[x, ju_0] = (x, ju_0) = 0$ follows. Finally, $[x, ju_1] = (x, ju_1) - D(ju_1, x) = -D(ju_1)u_0$. But $[x, \hat{\mathfrak{w}}] \subset \hat{\mathfrak{w}}_1$ implies $[x, w_1] = (x, w_1) - D(w_1)x = (jy, w_1) + (u, w_1) - D(w_1)u \in \hat{\mathfrak{w}}_1$ for all $w_1 \in \hat{\mathfrak{w}}_1$. Since $(jy, w_1) \in \hat{\mathfrak{w}}_1$, $(u, w_1) = 0$ and $D(w_1)u \in \hat{\mathfrak{w}}_0$ we obtain $D(w_1)u = 0$. From this we derive $[x, ju_1] = 0$ and $[ju, u] = 0$.

Remark. In what follows we will use frequently the representation theory of $\mathfrak{sl}(2, \mathbf{R})$. We will only consider such copies of $\mathfrak{sl}(2, \mathbf{R})$ which are of type $\mathfrak{sl}(2, \mathbf{R}) \cong \mathbf{R}f_{-1/2} + \mathbf{R}f_0 + \mathbf{R}f_{1/2}$, $\text{ad } f_0 \in \mathfrak{b} \cap \text{ad } \mathfrak{h}$ and $f_0 \in \mathfrak{w}_0 + \mathfrak{t}_0 + j\mathfrak{g}$.

It is clear that we can apply the above lemma to f_0 .

We would like to point out that we can actually find $f_\lambda \in \mathfrak{g}_\lambda$, $\lambda = \pm 1/2$, 0 , so that in addition to the above properties f_λ is a simultaneous eigenvector for all $b \in \mathfrak{b}$.

We will make it explicitly clear where we use f_λ 's with this additional property. The other properties will always tacitely be assumed.

3.4. In this section we consider the action of $\mathfrak{sl}(2, \mathbf{R}) \cong \mathbf{R}f_{-1/2} + \mathbf{R}f_0 + \mathbf{R}f_{1/2}$ on \mathfrak{g} . We know that $\text{ad } f_0$ has only integral eigenvalues and in an irreducible representation all integers $m, m - 2, \dots, -m$ occur. Moreover, starting from an appropriately chosen eigenvector x_1 in \mathfrak{g}_1 , we get a basis of an irreducible representation of $\mathfrak{sl}(2, \mathbf{R})$ in \mathfrak{g} by applying $\text{ad } f_{-1/2}$ to x_1 . The eigenvalues of $\text{ad } f_0$ in \mathfrak{g}_1 are therefore all non-negative or all non-positive (depending on the sign in $[f_0, f_{-1/2}] = \pm 2f_{-1/2}$) and only the integers $0, 1, 2, 3$ can occur (for simplicity we assume that only non-negative integers occur in \mathfrak{g}_1 ; the other case follows by the same arguments). Thus we get the following chart indicating the chains of eigenvalues that can possibly occur in some irreducible representation of $\mathfrak{sl}(2, \mathbf{R})$ in \mathfrak{g} . Note that the vector space corresponding to the various integers in the same row all have the same dimension.

| $\mathfrak{g}_{-1/2}$ | \mathfrak{g}_0 | $\mathfrak{g}_{1/2}$ | \mathfrak{g}_1 |
|-----------------------|------------------|----------------------|------------------|
| -3 | -1 | 1 | 3 |
| | -2 | 0 | 2 |
| | | -1 | 1 |
| | | | 0 |
| -2 | 0 | 2 | |
| | -1 | 1 | |
| | | 0 | |
| -1 | 1 | | |
| | 0 | | |
| 0 | | | |

3.5. We write $f_0 = jd + t_0 + w_0$ where $d \in \mathfrak{g}_1, t_0 \in \mathfrak{t}_0, w_0 \in \mathfrak{w}_0$. We know that d is a linear combination of idempotents, $d = 3d_3 + 2d_2 + d_1, [jd_\lambda, d_\lambda] = d_\lambda, \lambda = 1, 2, 3$. We set $d_0 = e - d_1 - d_2 - d_3$. Here some of the d_λ may be 0. In what follows we use the algebra \mathcal{A} on \mathfrak{g}_1 associated with $e \in \mathfrak{g}_1$ and the tube domain $\mathfrak{g}_1 + j\mathfrak{g}_1$ in [4].

LEMMA. $\mathcal{A} = \mathcal{A}_1(d_3 + d_1) \oplus \mathcal{A}_1(d_2 + d_0)$ as product of algebras.

Proof. (1) $d_3 \neq 0, d_2 \neq 0$ implies $\mathcal{A}_{1/2}(d_3, d_2) = \{x \in \mathcal{A}; (jd_3, x) = (1/2)x = (jd_2, x)\} = 0$ since $[f_0, x] = (f_0, x) = 3(jd_3, x) + 2(jd_2, x) = (5/2)x$ and $5/2$ is not an eigenvalue for $\text{ad } f_0$. Similarly one proves

(2) $\mathcal{A}_{1/2}(d_2, d_1) = 0, \mathcal{A}_{1/2}(d_1, d_0) = 0, \mathcal{A}_{1/2}(d_3, d_0) = 0$.

From (1) and (2) we get the claim.

3.6. We will need some information on the eigenvalues of $\text{ad } jc$.

LEMMA. Let $c \in \mathfrak{g}_1$ satisfy $[jc, c] = c$ and $[f, c] = 0$. Then $\text{ad } jc$ has only the weights $0, \pm 1/2, 1$ or the weights $0, +1$ in \mathfrak{g} .

Proof. Let $x \in \mathfrak{g}$. Then $x = x' + q$ where $x' \in \mathfrak{g}'$ and $q \in \mathfrak{q}$. Since $\mathfrak{g}_1 \subset \mathfrak{g}'$ we can assume $q = q_0 + q_{1/2} + q_{-1/2}$ where $q_\lambda \in \mathfrak{q} \cap \mathfrak{g}_\lambda$. We also write $x' = \sum x'_i$.

$$(1) \quad \rho(jc, e^{t \text{ad } jc} x) = \rho(jc, e^{t \text{ad } jc} x'_i).$$

Proof. From [6; Corollary 4.22] we know $\rho(jc, \mathfrak{g}_{1/2} + \mathfrak{g}_{-1/2}) = 0$. We also have $\rho(jc, \mathfrak{g}_0) = 0$. Hence $\rho(jc, Wx'_1 + Wx'_{1/2} + Wx'_{-1/2} + Wx'_0) = \rho(jc, Wx'_1)$ where $W = e^{t \text{ad } jc}$.

Decomposing x'_1 further into weight vectors of $\text{ad } jc$ we get $x'_1 = y^{[0]}$

$y^{[1/2]} + y^{[1]}$ and

$$(2) \quad \rho(jc, e^{t \operatorname{ad} jc} x) = e^t \rho(jc, y^{[1]}).$$

From this we derive, using [7; chap. III, Lemma 9]

$$(3) \quad \rho(e^{t \operatorname{ad} jc} u, e^{t \operatorname{ad} jc} v) = ae^t + b.$$

In particular, we obtain from this for the weight spaces $\mathfrak{g}^{[\lambda]}$ of $L = \operatorname{Re}(\operatorname{ad} jc)$ in \mathfrak{g} :

$$(4) \quad \rho(\mathfrak{g}^{[\lambda]}, \mathfrak{g}^{[\mu]}) = 0 \quad \text{if } \lambda + \mu \neq 0, 1.$$

Next we prove (that after an inessential change of j)

$$(5) \quad j\mathfrak{g}^{[\lambda]} \subset \mathfrak{g}^{[\lambda]} \quad \text{if } \lambda \neq 0, \pm 1/2, \pm 1, 3/2.$$

Proof. From the integrability condition and $\mathfrak{g}_1 = \mathfrak{n}_1$ we get as usual $j\mathfrak{g}^{[\lambda]} \subset \mathfrak{g}^{[\lambda]} + \mathfrak{g}'$. Hence for $x \in \mathfrak{g}^{[\lambda]}$ we have $jx = y + z$ where $y \in \mathfrak{g}^{[\lambda]}$ and $z \in \mathfrak{g}'$. We note that $\lambda + \{0, \pm 1/2, 1\} \in \{0, 1\}$ implies $\lambda \in \{0, \pm 1, \pm 1/2, 3/2\}$. But we have excluded these values for λ , whence $\rho(\mathfrak{g}^{[\lambda]}, \mathfrak{g}') = 0$. In particular $0 = \rho(x, z) = \rho(jx, jz) = \rho(y + z, jz) = \rho(z, jz)$. Hence $z \in \mathfrak{k}$. But then $j\mathfrak{g}^{[\lambda]} \subset \mathfrak{g}^{[\lambda]} + \mathfrak{k}$ and the assertion follows.

Since $2\lambda \neq 0, 1$ if $\lambda \neq 0, \pm 1/2, \pm 1, 3/2$ and $k \subset \mathfrak{g}^{[0]}$ we obtain from (5):

$$(6) \quad \mathfrak{g}^{[\lambda]} = 0 \quad \text{if } \lambda \neq 0, \pm 1/2, \pm 1, 3/2.$$

Using (4) we prove as in [6; Lemma 4.25]

$$(7) \quad j\mathfrak{g}^{[n]} \subset \mathfrak{g}^{[n]} + \mathfrak{g}'^{[1]} + \mathfrak{g}'^{[0]} \quad \text{for all } n \in \mathbf{Z}.$$

Now we can repeat the proof of [6; Lemma 4.30] and obtain

$$(8) \quad \mathfrak{g}^{[3/2]} = 0.$$

Finally, the argument of [6; Lemma 4.26] is applicable in our situation and yields

$$(9) \quad \hat{\mathfrak{g}} = \mathfrak{g}^{[-1]} + \mathfrak{g}^{[0]} + \mathfrak{g}^{[1]} \text{ is a } j\text{-invariant subalgebra and} \\ \hat{\mathfrak{g}} = (\mathfrak{r} \cap \mathfrak{g}) + j(\mathfrak{r} \cap \mathfrak{g}) + \mathfrak{k}.$$

We consider the two possibilities $\hat{\mathfrak{g}} = \mathfrak{g}$ or $\hat{\mathfrak{g}} \neq \mathfrak{g}$. In the latter case we can apply the induction hypothesis and obtain $\mathfrak{g}^{[-1]} = 0$ (and from this the assertion). If $\hat{\mathfrak{g}} = \mathfrak{g}$, then we have again two subcases. The first, $\mathfrak{g}^{[-1]} = 0$, is exactly what we want. The second case, $\mathfrak{g}^{[-1]} \neq 0$, allows us to argue as in [6; Lemma 4.32] so that the Radical Conjecture holds in

this case. But then jc does not have the eigenvalue -1 in \mathfrak{g} , so that this case actually does not occur. This proves the claim.

3.7. By the result of the last section we can assume that $\text{adj}(d_3 + d_1)$ and $\text{adj}(d_2 + d_0)$ have only the (real) eigenvalues $0, \pm 1/2, 1$ in \mathfrak{g} . Moreover, these weights occur in the eigenspaces of $\text{adj}f_0$ in the spaces \mathfrak{g}_λ . In the proof of the last section we have also seen that for $\mathfrak{g}^{[0]} + \mathfrak{g}^{[1]}$ the Radical Conjecture holds, where $\mathfrak{g}^{[1]}$ is defined for jc as in 3.6. We can assume $\mathfrak{g}^{[1]} + \mathfrak{g}^{[-1/2]} \neq 0$.

The following argument is a simplification of a previous version of the proof. We use ideas of K. Nikajima.

First, it is easy to see that $j\mathfrak{g}_{1/2}$ is invariant under je . Hence $j\mathfrak{g}_{1/2} = (j\mathfrak{g}_{1/2}) \cap \mathfrak{g}_{1/2} + (j\mathfrak{g}_{1/2}) \cap \mathfrak{g}_{-1/2}$ and $\mathfrak{g}_{1/2} = u_{1/2} + w_{1/2}$, where $u_{1/2} = \{x \in \mathfrak{g}_{1/2}, jx \in \mathfrak{g}_{-1/2}\}$ and $w_{1/2} = \{x \in \mathfrak{g}_{1/2}, jx \in \mathfrak{g}_{1/2}\}$.

A direct computation shows that $w_{1/2}$ is invariant under $j\mathfrak{g}_1$. Therefore $j\mathfrak{g}_1 + w_{1/2} + \mathfrak{g}_1$ is a Kähler algebra of domain type. In particular for $c_1 = d_3 + d_1$ and $c_2 = d_2 + d_0$ we know that jc_1, jc_2 have only the eigenvalues 0 or $1/2$ on $w_{1/2}$ and the sum of their eigenvalues adds up to $1/2$.

Next we consider $u_{1/2}$. We know $ju_{1/2} \subset \mathfrak{g}_{-1/2}$ and $j\mathfrak{g}_{-1/2} \subset \mathfrak{g}_{-1/2} + \mathfrak{g}'$. From this it follows $u_{1/2} \subset \mathfrak{g}'_{1/2}$, whence $u_{1/2} = [e, ju_{1/2}] \subset u_{1/2}$.

Since $u_{1/2} \subset n_{1/2}$ we know that every $h_{1/2} \in \mathfrak{h}_{1/2} = \mathfrak{g}_{1/2} \cap \mathfrak{h}$ has a non-zero component in $w_{1/2}$. This implies that $\mathfrak{h} \cap \mathfrak{g}_{1/2}^{[1/2]}(c_i) \not\equiv 0 \pmod{\mathfrak{n}}$ for c_1 or for c_2 . If $\mathfrak{h}_{1/2} \cap \mathfrak{g}^{[1/2]}(c_i) \not\equiv 0 \pmod{\mathfrak{n}}$ only for one of the idempotents c_1, c_2 , then denote by c the other idempotent. If this space is nontrivial for c_1 and for c_2 , then choose $c = c_1$.

We consider the Kähler subalgebra $\tilde{\mathfrak{g}} = \mathfrak{g}^{[0]}(c) + \mathfrak{g}^{[1]}(c)$; as mentioned above we know that for this algebra the Radical Conjecture holds. Since $e - c \in \tilde{\mathfrak{g}}$ we can form the weight space decomposition of $\tilde{\mathfrak{g}}$ relative to $j(e - c)$. From our construction it follows that there exists a semisimple subalgebra $\tilde{\mathfrak{h}}$ of $\tilde{\mathfrak{g}}$ such that $\tilde{\mathfrak{h}} \subset \tilde{\mathfrak{g}}^{[0]}(e - c)$ and $\tilde{\mathfrak{h}} \cap \tilde{\mathfrak{g}}^{[1/2]}(e - c) \not\equiv 0 \pmod{\text{rad } \tilde{\mathfrak{g}}}$ holds. But by the Radical Conjecture this is not possible. Hence we have shown

$$d_3 + d_1 = 0 \quad \text{or} \quad d_2 + d_0 = 0.$$

3.8. We refine the description of $f_0 = jd + t_0 + w_0$. We know that t_0 is a linear combination of elements of type jq_i , where q_i is an idempotent of \mathfrak{t}_0 , $t_0 = \sum a_i jq_i$. Since $[f_0, q_i] = a_i q_i$, we have $a_i \in \{0, \pm 1, -2\}$ by 3.4. If $a_i = -2$, then there exists $x \in \mathfrak{g}_1$ such that $q_i = (\text{adj}_{-1/2})^2 x$. Then $q_i \in \mathfrak{n}$.

Since $e \in \mathfrak{g}_1$ is the maximal idempotent in \mathfrak{n} , this case cannot occur. Hence

LEMMA. $t_0 = jq_1 - jq_2$ where q_i are idempotents in \mathfrak{t}_0 .

3.9. In this section we want to prove $d_3 = 0$. Otherwise $(\text{ad}f_{-1/2})^3 d_3 \in \mathfrak{n}_{-1/2}$ is an eigenvector of f_0 for the eigenvalue -3 . We note that as in 2.9 we get $\mathfrak{g}_0 = \mathfrak{s} + j\mathfrak{g}_1$ where $\mathfrak{s} = \{x \in \mathfrak{g}_0; [x, e] = 0\}$ is j -invariant. Since $q_1, q_2, w_0 \in \mathfrak{s}$ it is easy to see that the Kähler algebra generated by q_1, q_2, w_0 acts symplectic on the abelian Kähler algebra $\mathfrak{v} = \mathfrak{n}_{-1/2} + j\mathfrak{n}_{-1/2}$. Note $j\mathfrak{n}_{-1/2} = [e, \mathfrak{n}_{-1/2}]$. Therefore, jq_1 and jq_2 have only the eigenvalues $0, \pm 1/2$ on \mathfrak{v} and w_0 has no real eigenvalues on \mathfrak{v} . Next we consider the elements jd_3 and $j(d_3 + d_1)$. We know that they leave the flat part of \mathfrak{g}' invariant and have only the eigenvalues $0, \pm 1/2$ there. Hence, $f_0 = 2jd_3 + j(d_3 + d_1) + jq_1 - jq_2 + w_0$ cannot have the eigenvalue -3 on $\mathfrak{n}_{-1/2}$.

3.10. In this section we show $d_2 = 0$. Suppose not, then $(\text{ad}f_{-1/2})^2 d_2 \in \mathfrak{n}_0$ is an eigenvector of f_0 for the eigenvalue -2 . Let $x \in \mathfrak{n}_0$ and write $x = \alpha_0 + t_0 + jx_1$, where α_0 is in the flat part \mathfrak{w}_0 of $\mathfrak{g} = \mathfrak{g}_0 + \mathfrak{g}_1$ and t_0 is in the domain part \mathfrak{t}_0 of \mathfrak{g} . Note $\mathfrak{w}_0 + \mathfrak{t}_0 \subset \mathfrak{s}$ (see 3.9). Then $[f_0, x] = -2x$ implies $D(x) = 0$ and $(jq_1 - jq_2, t_0) = -2t_0, (j(2d_2), jx_1) = -2x_1$ follows, where (\cdot, \cdot) denotes the product in the underlying unmodified algebra. But $j(2d_2)$ has only the eigenvalues $\pm 1, 0$ in $j\mathfrak{g}_1$, whence $x_1 = 0$. A similar argument shows that $jq_1 - jq_2$ does not have the eigenvalue -2 in \mathfrak{t}_0 . Hence x is contained in the flat part $\mathfrak{w}_0 \subset \mathfrak{g}_0$ of \mathfrak{g} . But there the idempotents $jd_2, j(d_2 + q_1)$ and jq_2 have only the eigenvalues $0, \pm 1/2$. Hence -2 cannot be obtained.

3.11. By the last sections we have only to consider the cases $d_1 = e$ and $d_0 = e$. In this section we consider the case $d_0 = e$ and $f_0 = w_0 \in \mathfrak{w}_0$. This is impossible as follows from

LEMMA. Let $w_0 \in \mathfrak{w}_0$ such that $\text{ad}w_0$ is semisimple and has only real eigenvalues. Then $\text{ad}w_0 = 0$.

Proof. From [7; Chap. III, Lemma 9] we know

$$(1) \quad \frac{d}{dt} \rho(e^{t \text{ad} w_0} u, e^{t \text{ad} w_0} v) = \rho(w_0, e^{t \text{ad} w_0} [u, v]).$$

Since $w_0 \in \mathfrak{g}_0$, only the component of $[u, v]$ in $\mathfrak{g}_0 + \mathfrak{g}_1$ will contribute to the right hand side by [6; Corollary 4.22]. Using the induction hypothesis

shows that only the component in \mathfrak{g}_0 can contribute. But we know $[w_0, a_0 + jx_1] = (w_0, jx_1) - D(a_0 + jx_1)w_0$, whence $(\text{ad } w_0)^2|_{\mathfrak{g}_0} = 0$. Since $\text{ad } w_0$ is semisimple we obtain

$$(2) \quad \text{ad } w_0|_{\mathfrak{g}_0} = 0.$$

Therefore the right hand side of (1) is just $\rho(w_0, [u, v])$. An integration yields

$$(3) \quad \rho(e^{t \text{ad } w_0}u, e^{t \text{ad } w_0}v) = t\rho(w_0, [u, v]) + \rho(u, v).$$

By assumption $\text{ad } w_0$ is semisimple with only real eigenvalues. Let $u = u_\lambda$, $v = v_\mu$ be eigenvectors for $\text{ad } w_0$. Then (3) yields $e^{t(\lambda+\mu)}\rho(u, v) = t\rho(w_0, [u, v]) + \rho(u, v)$. This implies

$$(4) \quad \rho(w_0, [u, v]) = 0 \quad \text{for all } u, v \in \mathfrak{g}.$$

This shows that $\text{ad } w_0$ is symplectic on \mathfrak{g} . Moreover, $[jw_0, w_0] = 0$ by (2). Now we apply the proof of [7; chap. II, Lemma 3]. Let $A(x)$ denote the j -linear part of $\text{ad } x$, $x \in \mathbf{R}w_0 + \mathbf{R}jw_0$, and $B(x)$ the j -antilinear part. As in loc. cit. one shows

$$(5) \quad B(jw_0) = jB(w_0) \quad \text{and} \quad 2B(w_0)^2 = [jA(jw_0), A(w_0)].$$

This yields $\text{trace } B(w_0)^2 = 0$. Finally, since $\text{ad } w_0$ is symplectic, it is easy to see that $B(w_0)$ is selfadjoint and $A(w_0)$ is skewadjoint relative to $\langle u, v \rangle = \rho(ju, v)$ modulo \mathfrak{k} . Altogether this implies $B(w_0)\mathfrak{g} \subset \mathfrak{k}$. Thus $\text{ad } w_0 = A(w_0)$ is skewadjoint on $\mathfrak{g}/\mathfrak{k}$, whence $\text{ad } w_0\mathfrak{g} \subset \mathfrak{k}$, since the eigenvalues of $\text{ad } w_0$ are assumed to be real. From this the lemma follows

3.12. In this section we exclude the case $e = d_1$ and $f_0 = je + w_0$.

LEMMA. *The case $f_0 = je + w_0$ does not occur.*

Proof. We note first that $\text{ad } w_0|_{\mathfrak{g}_0}$ is skewadjoint since $[w_0, je] = 0$ and $\text{ad } je|_{\mathfrak{g}_0}$ is semisimple as well as $\text{ad } f_0 = \text{ad } w_0 + \text{ad } je$.

Let $u^{[\lambda]}$ denote eigenspace for the eigenvalue λ of the real part of $\text{ad } w_0$ on $u = \mathfrak{g}_{1/2} + \mathfrak{g}_{-1/2}$.

We start again from the equation

$$(1) \quad \frac{d}{dt} \rho(e^{t \text{ad } w_0}u, e^{t \text{ad } w_0}v) = \rho(w_0, e^{t \text{ad } w_0}[u, v]).$$

As before we only have to consider the component of $[u, v]$ in \mathfrak{g} . But

from above we have $\text{ad } w_0|_{\mathfrak{g}_0} = D(w_0)|_{\mathfrak{g}_0}$ where $D(w_0)$ is the modification derivation of w_0 in $\mathfrak{g}_0 + \mathfrak{g}_1$. This implies that the right hand side of (1) is $\rho(w_0, [u, v])$. Therefore an integration yields

$$(2) \quad \rho(e^{t \text{ ad } w_0} u, e^{t \text{ ad } w_0} v) = ta + b.$$

For $u \in \mathfrak{u}^{[\lambda]}$, $v \in \mathfrak{u}^{[\mu]}$, $\lambda + \mu \neq 0$ the left side grows here like $e^{t(\lambda+\mu)}$ and the right side is polynomial. This is a contradiction. Hence we obtain

$$(3) \quad \rho(\mathfrak{u}^{[\lambda]}, \mathfrak{u}^{[\mu]}) = 0 \quad \text{if } \lambda + \mu \neq 0.$$

From above we know that $\text{ad } f_0$ attains only the eigenvalue 0 in \mathfrak{g}_0 . Hence, from 3.4 we derive that $\text{ad } f_0$ can only have the eigenvalues $-1, 2, 0$ in $\mathfrak{g}_{1/2}$ and $-2, 0$ in $\mathfrak{g}_{-1/2}$. Since $\text{ad } j_e$ has the weight $1/2$ and $-1/2$ there respectively, the real part of $\text{ad } w_0$ has the eigenvalues $-3/2, 3/2, -1/2$ in $\mathfrak{g}_{1/2}$ and $-3/2, 1/2$ in $\mathfrak{g}_{-1/2}$ (in the same order as above). Since ρ is nondegenerate on $\mathfrak{u} = \mathfrak{g}_{1/2} + \mathfrak{g}_{-1/2}$ we derive from (3) that the weight spaces with opposite signs have the same dimension. Therefore $\dim \mathfrak{g}_{1/2}^{[3/2]} = \dim \mathfrak{g}_{1/2}^{[-3/2]} + \dim \mathfrak{g}_{-1/2}^{[-3/2]}$ and $\dim \mathfrak{g}_{1/2}^{(2)} = \dim \mathfrak{g}_{1/2}^{[3/2]} = \dim \mathfrak{g}_{-1/2}^{(-2)} = \dim \mathfrak{g}_{-1/2}^{[-3/2]}$ where we have used 3.4 and the notation $\mathfrak{g}_*^{(i)}$ for the eigenspaces of $\text{ad } f_0$ in \mathfrak{g}_* . But then, again by 3.4, we have $0 = \dim \mathfrak{g}_{1/2}^{[-3/2]} = \dim \mathfrak{g}_{1/2}^{(-1)} = \dim \mathfrak{g}_1^{(1)} = \dim \mathfrak{g}_1$. This is a contradiction, proving the lemma.

3.13. In this section we start to look at \mathfrak{g}_0 more closely.

Using the induction hypothesis we see that $\mathfrak{w}_0 + \mathfrak{k}$ and $j\mathfrak{g}_1 + \mathfrak{t}_0 + \mathfrak{k}$ are subalgebras of \mathfrak{g}_0 , $\mathfrak{w}_0 + \mathfrak{k}$ is j -invariant. By 1.4, we can even assume (after an inessential change of j) that $[\mathfrak{k}, \mathfrak{w}_0] \subset \mathfrak{w}_0$ holds. We can write $\mathfrak{k} = \mathfrak{k}_\alpha + \mathfrak{k}_0 + \mathfrak{k}_1$ such that $\mathfrak{k}_1 + j\mathfrak{g}_1$ and $\mathfrak{k}_0 + \mathfrak{t}_0$ are subalgebras where \mathfrak{k}_i does not contain any ideal of the corresponding algebra. Moreover, we can assume that $[\mathfrak{k}_0, \mathfrak{k}_0 + \mathfrak{t}_0 + \mathfrak{k}_1 + j\mathfrak{g}_1] = 0$ holds. This implies that $(\mathfrak{k}_1 + j\mathfrak{g}) + (\mathfrak{k}_0 + \mathfrak{t}_0)$ contains a maximal noncompact semisimple subalgebra \mathfrak{h}_{0s} of \mathfrak{g}_0 . We can assume that a Cartan algebra of \mathfrak{h}_{0s} is contained in the span of the jc , c a minimal idempotent of $\mathfrak{t}_0 + \mathfrak{g}_1 + j\mathfrak{g}_1$. From this it is easy to derive that \mathfrak{h}_{0s} is contained in the subspace \mathfrak{h}_0 of the maximal semisimple subalgebra $\mathfrak{h} = \mathfrak{h}_{-1/2} + \mathfrak{h}_0 + \mathfrak{h}_{1/2}$ of \mathfrak{g} considered in 3.3. Clearly we have $\mathfrak{h}_{0s} = \mathfrak{h}_{0s}^0 \oplus \mathfrak{h}_{0s}^1$ where $\mathfrak{h}_{0s}^0 \subset \mathfrak{k}_0 + \mathfrak{t}_0$ and $\mathfrak{h}_{0s}^1 \subset \mathfrak{k}_1 + j\mathfrak{g}_1$.

Assume $\mathfrak{v} = [\mathfrak{h}_{-1/2}, \mathfrak{h}_{1/2}] \cap (\mathfrak{k}_1 + j\mathfrak{g}_1)$ contains a nontrivial, noncompact simple subalgebra \mathfrak{h}'' . We can assume that \mathfrak{h}'' is maximal in \mathfrak{v} and an ideal of \mathfrak{v} . Then there exists a simple ideal $\mathfrak{h}' \subset \mathfrak{h}$, $\mathfrak{h}' = \mathfrak{h}'_{-1/2} + \mathfrak{h}'_0 + \mathfrak{h}'_{1/2}$ satisfying $\mathfrak{h}'' \subset \mathfrak{h}'_0$ and $\mathfrak{h}'_{\pm 1/2} \neq 0$. Since $\mathfrak{h}'' \neq 0$ we can choose $f_0 \in \mathfrak{h}'_0$ so

that it has a nontrivial component f''_0 in \mathfrak{h}'' . But we have reduced the discussion before to the case $f_0 = \lambda je + jq' + w_0$ where $\lambda = 0, 1, q' \in \mathfrak{t}_0, w_0 \in \mathfrak{w}_0$. This shows that f_0 commutes with \mathfrak{h}'' on \mathfrak{g}_1 , whence $f''_0 = 0$. This is a contradiction and implies that \mathfrak{h}^1_{0s} commutes with $[\mathfrak{h}_{-1/2}, \mathfrak{h}_{1/2}]$ and with $\mathfrak{h}_{-1/2} + \mathfrak{h}_{1/2}$. Moreover, $[\mathfrak{h}_{-1/2}, \mathfrak{h}_{1/2}] \subset \mathfrak{k}_0 + \mathfrak{t}_0 + \mathfrak{f}$ holds.

3.14. We continue investigating \mathfrak{g}_0 by considering $\text{rad}(\mathfrak{g}_0)$.

First we prove

LEMMA. $\text{rad}([\mathfrak{g}_0, \mathfrak{g}_0]) \subset \text{nil}(\mathfrak{g})$.

Proof. The maximal semisimple subalgebra \mathfrak{h} under consideration can be written as sum of ideals $\mathfrak{h} = \mathfrak{h}^* \oplus \mathfrak{h}$ where $\mathfrak{h}^* = \mathfrak{h}_{-1/2} + [\mathfrak{h}_{-1/2}, \mathfrak{h}_{1/2}] + \mathfrak{h}_{1/2}$. By construction, $[\mathfrak{h}_{-1/2}, \mathfrak{h}_{1/2}] + \mathfrak{h} \subset \mathfrak{g}_0$ and $[\mathfrak{h}_{-1/2}, \mathfrak{h}_{1/2}] = \mathfrak{h}'_0 + \mathfrak{z}$ is a reductive Lie algebra with center \mathfrak{z} and semisimple part \mathfrak{h}'_0 . It is clear that $\mathfrak{g}_0 = \mathfrak{h} \cap \mathfrak{g}_0 + (\text{rad}(\mathfrak{g}))_0$ holds, where $(\text{rad}(\mathfrak{g}))_0 = \mathfrak{g}_0 \cap \text{rad}(\mathfrak{g})$. Therefore $\text{rad}(\mathfrak{g}_0) = \mathfrak{z} + (\text{rad}(\mathfrak{g}))_0$ and $[\mathfrak{g}_0, \mathfrak{g}_0] = \mathfrak{h}'_0 + \mathfrak{h} + [\mathfrak{g}_0, (\text{rad}(\mathfrak{g}))_0]$. Since $\mathfrak{h}'_0 + \mathfrak{h}$ is semisimple and $\mathfrak{v} = [\mathfrak{g}_0, (\text{rad}(\mathfrak{g}))_0]$ is a solvable ideal, we have $\mathfrak{v} = \text{rad}([\mathfrak{g}_0, \mathfrak{g}_0])$. Clearly, $\mathfrak{v} \subset \text{nil}(\mathfrak{g})$. Hence the claim.

3.15. We had chosen \mathfrak{n} to be maximal in \mathfrak{r} . Therefore, since $[\text{nil}(\mathfrak{g}), \mathfrak{r}] \not\subseteq \mathfrak{r}$ is an ideal of \mathfrak{g} , we can — and will — assume that $\mathfrak{n} \supset [\text{nil}(\mathfrak{g}), \mathfrak{r}]$ holds.

Moreover, if x is an element or a subspace of \mathfrak{r} which is invariant under the family \mathfrak{b} of endomorphisms chosen in 3.3, and if $u(x)$ is the \mathfrak{h} -module generated by x , then $u(x) + \mathfrak{n} \subset \mathfrak{r}$ is an ideal of \mathfrak{g} and $\mathfrak{r} = u(x) + \mathfrak{n}$ follows.

3.16. To complete the proof of this “Case 3” we need detailed information on $\mathfrak{t}_0 + \mathfrak{f}$. We recall that, by the induction hypothesis, $\mathfrak{t}_0 + \mathfrak{f}$ is a j -invariant subalgebra of \mathfrak{g}_0 which corresponds to a homogeneous Siegel domain.

LEMMA. $\text{nil}(\mathfrak{t}_0 + \mathfrak{f}) \subset \text{rad}([\mathfrak{g}_0, \mathfrak{g}_0]) \subset \text{nil}(\mathfrak{g})$.

Proof. It is clear by 3.14 that we only have to prove the first inclusion. Since $\text{nil}(\mathfrak{t}_0 + \mathfrak{f})$ is invariant under modification derivations and since the nilradical does not change when considering the algebraic hull of $\mathfrak{g}_0 + \mathfrak{g}_1$, we can assume that $\mathfrak{g}_0 + \mathfrak{g}_1$ is algebraic and $\mathfrak{w}_0 + \mathfrak{t}_0$ is split solvable. But then $[\mathfrak{f}, \mathfrak{w}_0] \subset \mathfrak{w}_0$ and $\text{nil}(\mathfrak{t}_0 + \mathfrak{f})$ is a solvable ideal of \mathfrak{g}_0 . Therefore $\text{nil}(\mathfrak{t}_0 + \mathfrak{f}) \subset \text{rad}([\mathfrak{g}_0, \mathfrak{g}_0])$ as claimed.

3.17. An application of the last section yields

LEMMA. t_0 corresponds to a symmetric tube domain.

Proof. Let q be the maximal idempotent of t_0 . Then $u = g_0 + g_1$ splits into $u_1 + u_{1/2} + u_{-1/2} + u_0$ relative to jq where $g_1 + jg_1 \subset u_0$. Set $r_u = r \cap u$. We have seen in [6; Lemma 4.26] that $u = r_u + jr_u + \mathfrak{f}$ holds. Clearly $r_u = \bigoplus (r_u)_\lambda$ where $(r_u)_\lambda = r_u \cap u_\lambda$. We can use the proof of [6; 4.10] and obtain $u_1 = (r_u)_1$. Moreover, since $ju_{-1/2} = [q, u_{-1/2}]$, $ju_{-1/2} \subset (r_u)_{1/2}$ holds. Therefore, if $t_0 \cap u_{1/2} \neq 0$, then $t_0 \cap (r_u)_{1/2} \neq 0$. But since $t_0 + u_{1/2} \subset \text{nil}(t_0 + \mathfrak{f})$ this implies $[j(t_0 \cap (r_u)_{1/2}), t_0 \cap (r_u)_{1/2}] \subset [\text{nil}(t_0 + k), r \cap g_0] \subset [\text{nil}(g), r] \subset \mathfrak{n}$ by 3.15 and 3.16. Therefore $\mathfrak{n} \cap u_1 \neq 0$. But then u_1 contains an idempotent which is already contained in \mathfrak{n} . This is a contradiction to the choice of e . Hence $t_0 \cap u_{1/2} = 0$. This proves that t_0 corresponds to a tube domain.

To finish the proof it suffices to show that $(t_0 + \mathfrak{f}) \cap u_0$ is reductive. But otherwise $\mathfrak{v} = \text{nil}(t_0 + \mathfrak{f}) \neq 0$. Since \mathfrak{v} is invariant under all ju_i , v_i a minimal idempotent of t_0 , we obtain $\mathfrak{v} = \bigoplus v_{i,j}$ where $v_{i,j} = (t_0)_{i,j}$. Note $[v_{i,j}, u_i] \subset [\text{nil}(g), r] \subset \mathfrak{n}$ by 3.15 and 3.16. Finally, if $v_{i,j} \neq 0$, then it is easy to see that $[v_{i,j}, u_i] \subset \mathfrak{n}$ contains an idempotent of \mathfrak{n} , again a contradiction. This proves the lemma.

3.18. Let $\mathfrak{h} = \mathfrak{h}^* \oplus \tilde{\mathfrak{h}}$ where $\mathfrak{h}^* = \mathfrak{h}_{-1/2} + [\mathfrak{h}_{-1/2}, \mathfrak{h}_{1/2}] + \mathfrak{h}_{1/2}$ and $\tilde{\mathfrak{h}} \subset g_0$ is an ideal of \mathfrak{h} . We set $\mathfrak{h}_\lambda^* = \mathfrak{h}^* \cap g_\lambda$.

LEMMA. Let $\tilde{\mathfrak{h}}_i$ be a simple noncompact summand of $\tilde{\mathfrak{h}}$ satisfying $\tilde{\mathfrak{h}}_i \subset t_0 + \mathfrak{f}$. Then

- a) $\tilde{\mathfrak{h}}_i$ is the noncompact part of $\tilde{\mathfrak{h}} \cap (t_0 + \mathfrak{f})$.
- b) $\mathfrak{h}^* \cap g_0$ is reductive with compact semisimple part.
- c) t_0 corresponds to the irreducible symmetric tube domain associated with $\tilde{\mathfrak{h}}_i$.

Proof. Denote by \mathfrak{p} the ideal of $t_0 + \mathfrak{f}$ associated with $\tilde{\mathfrak{h}}_i$. Then $\mathfrak{p} = \mathfrak{p}_1 + \mathfrak{p}_0$ where $\mathfrak{p}_1 \subset r$, $\mathfrak{p}_0 \supset j\mathfrak{p}_1$ and \mathfrak{p}_1 is an $\tilde{\mathfrak{h}}_i$ -module and invariant under \mathfrak{b} . Moreover, $\tilde{\mathfrak{h}}_i$ acts irreducibly on \mathfrak{p}_1 and trivially on all other ideals of $t_0 + \mathfrak{f}$. Finally, $\tilde{\mathfrak{h}}$ commutes with \mathfrak{h}_λ^* for $\lambda = 0, \pm 1/2$ and $[\mathfrak{h}_0^*, \mathfrak{p}_1] \subset \mathfrak{p}_1$.

Set $X = \sum [\mathfrak{h}_{\varepsilon_1}[\mathfrak{h}_{\varepsilon_2}, \dots, [\mathfrak{h}_{\varepsilon_r}, \mathfrak{p}_1] \dots]]$ where $\varepsilon_i \in \{\pm 1/2\}$ and $r \geq 0$. Then X is an \mathfrak{h} -module and $X \subset r$. Since $\mathfrak{p}_1 \subset X$ we have $X \not\subset \mathfrak{n}$ and $r = \mathfrak{n} + X$ follows. Clearly, $X = X_{-1/2} + X_0 + X_{1/2} + X_1$ where $X_\lambda = X \cap g_\lambda$ and X_0 corresponds to the summands satisfying $\varepsilon_1 + \dots + \varepsilon_r = 0$. Since $\tilde{\mathfrak{h}}_i$ commutes with $\mathfrak{h}_{\pm 1/2}^* = \mathfrak{h}_{\pm 1/2}^*$, X_0 is a sum of irreducible $\tilde{\mathfrak{h}}_i$ -modules isomorphic

to \mathfrak{p}_1 .

Next we note that $\mathfrak{n} \cap \mathfrak{g}_0 \subset \mathfrak{w}_0 + j\mathfrak{g}_1$ holds. Otherwise there exists some $n \in \mathfrak{n} \cap \mathfrak{g}_0$, $n = a + t + jx$, where $a \in \mathfrak{w}_0$, $x \in \mathfrak{g}_1$ and $0 \neq t \in \mathfrak{t}_0$. It is easy to see that we can even assume $t \in (\mathfrak{t}_0)_1$ where $(\mathfrak{t}_0)_k$, $k = 0, 1/2, 1$, are the weight spaces in \mathfrak{t}_0 of a maximal idempotent of \mathfrak{t}_0 . Since \mathfrak{n} is invariant under all $\text{Re}(\text{adj}c)$, c a minimal idempotent in \mathfrak{t}_0 , we can even assume $\mathfrak{n} \cap (\mathfrak{t}_0)_1 = 0$. But now it is easy to derive that \mathfrak{n} contains a minimal idempotent of \mathfrak{t}_0 . This is a contradiction since e was chosen maximal in \mathfrak{g}' .

Now suppose $R = \text{Re}(\text{adj}c)$ where c is the maximal idempotent of some summand \mathfrak{v} of $\mathfrak{t}_0 + \mathfrak{k}$ which is different from the one associated with \mathfrak{h}_i . Then $R\mathfrak{h}_{\pm 1/2} \subset \mathfrak{h}_{\pm 1/2}$ by our choice of \mathfrak{h} (see 3.3) and $R\mathfrak{p}_1 = 0$. Hence $RX \subset X$. Since R and $\text{ad}\mathfrak{h}_i$ commute, RX is also an \mathfrak{h}_i -module. Moreover, the eigenspaces $X_0^{(r)}$ of R in X_0 are \mathfrak{h}_i -modules. By the remark above they are even a sum of modules isomorphic to \mathfrak{p}_1 . Therefore, since $X_0^{(1)} \subset \mathfrak{v}$, $X_0^{(1)} = 0$. This shows that $\mathfrak{r} = X + \mathfrak{n}$ has no component in $R\mathfrak{v}$. But we have seen in the proof of 3.17 that $\mathfrak{r} \cap R\mathfrak{v} \neq 0$ holds. This is a contradiction, proving the lemma.

3.19. We consider $\mathfrak{p} = \mathfrak{t}_0 + \mathfrak{k}$ more closely. First we split $\mathfrak{p} = \bigoplus_i \mathfrak{p}_0 + \mathfrak{k}_0$ where each ${}_i\mathfrak{p}$ corresponds to an irreducible symmetric tube domain and \mathfrak{k}_0 is an ideal of \mathfrak{p} contained in \mathfrak{k} . Hence ${}_i\mathfrak{p} = {}_i\mathfrak{p}_0 + {}_i\mathfrak{p}_1$ and ${}_i\mathfrak{p}_1$ contains a maximal idempotent \mathfrak{p}_i of ${}_i\mathfrak{p}$.

Let ${}_i\mathfrak{h}^* = {}_i\mathfrak{h}_{-1/2} + {}_i\mathfrak{h}_0^* + {}_i\mathfrak{h}_{1/2}$ be a simple summand of \mathfrak{h}^* . Then $({}_i\mathfrak{h}_{1/2}, {}_i\mathfrak{h}_{-1/2})$ carries naturally the structure of a simple Jordan pair [11; chapter III]. Using a Cartan involution of this Jordan pair [12; § 5], we even get the structure of a compact Jordan triple system on $V = {}_i\mathfrak{h}_{1/2}$ [12; § 5]. Then ${}_i\mathfrak{h}_0^*$ is the “structure algebra” of V .

LEMMA. *The Jordan triple V has rank $V \leq 1$.*

Proof. Suppose V has rank ≥ 2 , then there exist at least two minimal orthogonal idempotents v_1, v_2 of V . Using the Peirce decomposition of V relative to v_1, v_2 it is easy to see that $\mathfrak{gl}(2, \mathbf{R}) \subset {}_i\mathfrak{h}_0^*$ such that its off-diagonal parts are contained in some rootspace of ${}_i\mathfrak{h}_0^*$ (relative to some maximal \mathbf{R} -split toral subalgebra). We can choose (different) subalgebras $\mathfrak{sl}(2, \mathbf{R})$ of \mathfrak{h} so that the corresponding Cartan algebras are spanned by f_0, f'_0 and $f_0 + f'_0$ which corresponds to the matrices $E_{11} = \text{diag}\{1, 0\}$, $E_{22} = \text{diag}\{0, 1\}$ and $E = \text{diag}\{1, 1\}$. These facts can be derived easily from

[15; IV, § 2]. Since f_0 and f'_0 have only the eigenvalues $0, \pm 1$, on ${}^i\mathfrak{p}$ and since $gl(2, \mathbf{R})$ splits into rootspaces of ${}^i\mathfrak{h}_0^*$ it is easy to see that there exist minimal idempotents c_1, c_2 in ${}^i\mathfrak{p}_1$ such that $f_0 = \lambda je + jc_1 - jc_2 - jq + r, f'_0 = \lambda je + jc_2 - jc_1 - jq + r'$, where $\lambda \in \{0, 1\}, q = p_i - c_1 - c_2$ and r' acts trivially on ${}^i\mathfrak{p}_1$. Since $f_0 + f'_0$ has also only the eigenvalues $0, \pm 1$ on ${}^i\mathfrak{p}_1, \lambda = 0$ follows. Similarly we get $q = 0$. This implies in particular, that the cone corresponding to ${}^i\mathfrak{p}$ has rank ≤ 2 . But then $f_0 + f'_0$ centralizes, ${}^i\mathfrak{h}_0^*$ whence $f_0 + f'_0$ has only the eigenvalues ± 2 on ${}^i\mathfrak{h}_{\pm 1/2}$. Moreover, $ad(f_0 + f'_0)|{}^i\mathfrak{p}_1 = 0$ and there exists a k such that $ad(f_0 + f'_0)|{}^k\mathfrak{p}_1 \neq 0$. We recall that $ad(f_0 + f'_0)$ has only the eigenvalues $0, \pm 1$ in \mathfrak{g}_0 .

Consider the vector space U spanned by "monomials" of type $[{}_{r_1}\mathfrak{h}_{\varepsilon_1}, [{}_{r_2}\mathfrak{h}_{\varepsilon_2}, \dots, {}^i\mathfrak{p}_1] \dots]$, where r_k is arbitrary, $\varepsilon_i = \pm 1/2$ and i is fixed. It is easy to see that U is an \mathfrak{h} -module and invariant under \mathfrak{b} . Moreover, $f_0 + f'_0$ has only the eigenvalues $0, \pm 2$ on U . Hence $ad(f_0 + f'_0)|U \cap \mathfrak{g}_0 = 0$. This implies that U has no component in ${}^k\mathfrak{p}_1$, if $ad(f_0 + f'_0)|{}^k\mathfrak{p}_1 \neq 0$. As in the proof of 3.18 we consider $\mathfrak{r} = U + \mathfrak{n}$ and obtain a contradiction, since ${}^k\mathfrak{p}_1 \subset \mathfrak{r}$. This proves the lemma.

3.20. We continue the investigations of the last section. We assume that \mathfrak{h} has a simple subalgebra ${}^i\mathfrak{h} = {}^i\mathfrak{h}_{-1/2} + {}^i\mathfrak{h}_0 + {}^i\mathfrak{h}_{1/2}$ such that ${}^i\mathfrak{h}_0$ has a noncompact simple subalgebra. We have seen in the last section that $V = {}^i\mathfrak{h}_{1/2}$, considered as Jordan triple, has rank $V = 1$.

V is said to be of "algebra type" if there exists some subalgebra $sl(2, \mathbf{R})$ of ${}^i\mathfrak{h}$ such that the corresponding f_0 has only the eigenvalue 2 on ${}^i\mathfrak{h}_{1/2}, -2$ or ${}^i\mathfrak{h}_{-1/2}$ and 0 on ${}^i\mathfrak{h}_0$.

LEMMA. $V = {}^i\mathfrak{h}_{1/2}$ is of algebra type.

Proof. Suppose this is wrong, then our assumptions imply that there exists a subalgebra $sl(3, \mathbf{R}) \cong \mathfrak{h}' \subset {}^i\mathfrak{h}$ such that $\mathfrak{h}' = \mathfrak{h}'_{-1/2} + \mathfrak{h}'_0 + \mathfrak{h}'_{1/2}$ where (we may assume w.r.g) $\mathfrak{h}'_{-1/2} \cong \left\{ \begin{pmatrix} 0 & 0 \\ a & 0 \end{pmatrix}; a \in \mathbf{R}^2 \right\}, \mathfrak{h}'_0 \cong \left\{ \begin{pmatrix} -\text{tr}(A) & 0 \\ 0 & A \end{pmatrix}, A \in gl(2, \mathbf{R}) \right\}, \mathfrak{h}'_{1/2} \cong \left\{ \begin{pmatrix} 0 & b' \\ 0 & 0 \end{pmatrix}; b \in \mathbf{R}^2 \right\}$. Moreover, we can assume that the rootspaces of $sl(3, \mathbf{R})$ are contained in rootspaces of ${}^i\mathfrak{h}$ (relative to some maximal \mathbf{R} -split toral subalgebra). This follows from [15] and [12; § 3.2]. We consider the two copies of $sl(2, \mathbf{R})$ inside $\mathfrak{h}' = sl(3, \mathbf{R})$ spanned by $f_{-1/2}, f_0, f_{1/2}$ and $\tilde{f}_{-1/2}, \tilde{f}_0, \tilde{f}_{1/2}$ respectively, where one has the following correspondences: $f_{1/2} \leftrightarrow (1, 0), \tilde{f}_{1/2} \leftrightarrow (0, 1), f_{-1/2} \leftrightarrow (1, 0)^t, \tilde{f}_{-1/2} \leftrightarrow (0, 1)^t, f_0 = [f_{1/2}, f_{-1/2}]$

$\leftrightarrow \text{diag}\{1, -1, 0\}$, $\tilde{f}_0 = [\tilde{f}_{1/2}, \tilde{f}_{-1/2}] \leftrightarrow \text{diag}\{1, 0, -1\}$. Moreover, we know that the subalgebra $sl(2, \mathbf{R}) \subset \mathfrak{h}'_0$ acts on a selfdual cone in ${}_{i,p}$. We may assume that it acts in the natural way on a three dimensional subspace H of ${}_{i,p}$ realized as 2×2 symmetric matrices. We denote $c_1 = \text{diag}\{1, 0\}$, $c_2 = \text{diag}\{0, 1\}$, $x_{12} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \in H$. Since f_0 and \tilde{f}_0 are selfadjoint (with integral eigenvalues) we can label elements in \mathfrak{g} by the pair of eigenvalues corresponding to f_0 and \tilde{f}_0 respectively. We also note that f_0 and \tilde{f}_0 have only the eigenvalues $0, \pm 1$, in \mathfrak{g}_0 . Thus we may assume that c_1 belongs to $(1, -1)$, c_2 to $(-1, 1)$ and x_{12} to $(0, 0)$.

A straightforward computation in $sl(3, \mathbf{R})$ shows $[f_0, f_{\pm 1/2}] = \pm 2f_{\pm 1/2}$, $[f_0, \tilde{f}_{\pm 1/2}] = \pm \tilde{f}_{\pm 1/2}$, and $[\tilde{f}_0, f_{\pm 1/2}] = \pm f_{\pm 1/2}$, $[\tilde{f}_0, \tilde{f}_{\pm 1/2}] = \pm 2\tilde{f}_{\pm 1/2}$. Moreover, for $x = [f_{-1/2}, f_{1/2}]$ we have $[x, \tilde{f}_{-1/2}] = 0$ and $[x, c_2] = 0$. The eigenvalues of $y = [f_{1/2}, c_2]$ are $(1, 2)$. Since c_2 has eigenvalue -1 relative to f_0 , $y \neq 0$. Also note that y has eigenvalue 2 for \tilde{f}_0 , whence $[\tilde{f}_{-1/2}, y] \neq 0$ and $[\tilde{f}_{-1/2}, [\tilde{f}_{-1/2}, y]] \neq 0$. But $[\tilde{f}_{-1/2}, y] = [\tilde{f}_{-1/2}, [f_{1/2}, c_2]] = [x, c_2] + [f_{1/2}, [\tilde{f}_{-1/2}, c_2]] = [f_{1/2}, [\tilde{f}_{-1/2}, c_2]]$, hence $[\tilde{f}_{-1/2}, [\tilde{f}_{-1/2}, y]] = [\tilde{f}_{-1/2}, [f_{1/2}, [\tilde{f}_{-1/2}, c_2]]] = [x, [\tilde{f}_{-1/2}, c_2]] + [f_{1/2}, [\tilde{f}_{-1/2}, [\tilde{f}_{-1/2}, c_2]]] = 0$, a contradiction. This proves the lemma.

3.21. By the results of the last sections we know that for each simple summand ${}_{i,h} = {}_{i,h_{-1/2}} + {}_{i,h_0} + {}_{i,h_{1/2}}$ of \mathfrak{h} , ${}_{i,h_{\pm 1/2}} \neq 0$, on the space $V = {}_{i,h_{1/2}}$ we obtain naturally the structure of a simple Jordan triple of algebra type and of rank 1. This implies [14; Lemma 2.1] that V is isomorphic to a Jordan triple of a quadratic form $[\mathbf{R}^n; \text{Id}]$, $n \geq 1$. Moreover, in all these cases the “structure algebra” ${}_{i,h_0} = [{}_{i,h_{-1/2}}, {}_{i,h_{1/2}}]$ is isomorphic to $\mathbf{R} \oplus {}_{i,\mathfrak{k}}$ where ${}_{i,\mathfrak{k}} = \mathfrak{k} \cap {}_{i,h_0}$ [13; § 5]. It is easy to see that ${}_{i,\mathfrak{k}} = 0$ if and only if ${}_{i,h} \cong sl(2, \mathbf{R})$.

Finally, in all the cases except $[\mathbf{R}; \text{Id}]$ above, the Jordan triple C is naturally a subtriple of V .

3.22. As a corollary of the last section we see that the noncompact part of $[{}_{h_{-1/2}}, {}_{h_{1/2}}]$ is also the center of this Lie algebra. In particular, a “ f_0 ” as considered before, contained in $[{}_{h_{-1/2}}, {}_{h_{1/2}}]$, commutes with $\mathfrak{h} \cap \mathfrak{g}_c$. Therefore such an f_0 has only the eigenvalues $0, \pm 2$ on \mathfrak{h} (see also Lemma 3.20). Moreover, by 3.11 and 3.12 we can assume that f_0 has a non-vanishing “ q -part”.

LEMMA. $f_0 = \lambda j_e + jq_1 - jq_2 + w_0$, where $\lambda \in \{0, 1\}$, q_i is a sum of maximal tripotents of irreducible factors of \mathfrak{t}_0 and $q_1 + q_2$ is the maximal

idempotent of t_0 .

Proof. Suppose there exists an idempotent $0 \neq c \in t_0$, $[f_0, c] = 0$, where $f_0 \in \mathfrak{sl}(2, \mathbf{R}) \cong \mathbf{R}f_{-1/2} + \mathbf{R}f_0 + \mathbf{R}f_{1/2}$. Let U be the \mathfrak{h} -module generated by c . It is easy to see that f_0 has only even integral eigenvalues on $U \cap \mathfrak{g}_0$. Hence $[f_0, U \cap \mathfrak{g}_0] = 0$. As before we consider $\mathfrak{r} = \mathfrak{n} + U$ and see that no element of \mathfrak{r} has a component in $\text{Re}(\text{adj}q)t_0$. This is a contradiction since $[\text{Re}(\text{adj}q)t_0] \cap \mathfrak{r} \neq 0$ as shown in 3.17 and the assertion follows.

3.23. In this section we reduce further the possibilities for \mathfrak{h} .

LEMMA. $\mathfrak{h}^* = \mathfrak{h}_{-1/2} + [\mathfrak{h}_{-1/2}, \mathfrak{h}_{1/2}] + \mathfrak{h}_{1/2}$ is simple.

Proof. Suppose there exist different simple summands ${}_1\mathfrak{h}$ and ${}_2\mathfrak{h}$ of \mathfrak{h}^* . By 3.20 we know that these Lie algebras have real rank one. Let f_1, f_2 be corresponding elements "of type f_0 ". Then, by Lemma 3.22, $f_i = \lambda_i j e + j q'_i + w_{o_i}$ for $i = 1, 2$. Since we can assume that also $f_1 + f_2$ is "of type f_0 ", $\text{ad}(f_1 + f_2)$ has only the eigenvalues $0, \pm 1$ in \mathfrak{g}_0 and 0 or 1 in \mathfrak{g}_1 . But $f_1 + f_2 = (\lambda_1 + \lambda_2) j e + j(q'_1 + q'_2) + (w_{o_1} + w_{o_2})$ and $q'_1 + q'_2 = 0$ follows. The remaining case $f_1 + f_2 = \lambda' j e + w'_0$ was already excluded in 3.11 and 3.12. This proves the lemma.

3.24. From 3.17 we know that t_0 corresponds to a tube domain. Hence $t_0 = \mathfrak{u} + j\mathfrak{u}$ where $\mathfrak{u} \subset \mathfrak{r}$. By 3.18, if $t_0 + \mathfrak{k}$ contains a noncompact semisimple ideal of \mathfrak{h} , then \mathfrak{u} corresponds to an irreducible cone. Otherwise all occurring cones are one dimensional by 3.22.

LEMMA. t_0 corresponds to an irreducible symmetric tube domain.

Proof. Let c be the maximal idempotent of an irreducible summand of t_0 . By the remarks above we can assume that the corresponding cone is one dimensional. Since $[\mathfrak{h}_0, c] \subset \mathbf{R}c$ we see that $U = \sum [\mathfrak{h}_{\epsilon_1}[\dots[\mathfrak{h}_{\epsilon_n}, c]\dots]]$, $\epsilon_i = \pm 1/2$, is an \mathfrak{h} -module and invariant under \mathfrak{b} . We recall $\mathfrak{h} = \mathfrak{h}^* + \mathfrak{h}$ where $\mathfrak{h}^* = \mathfrak{h}_{-1/2} + [\mathfrak{h}_{-1/2}, \mathfrak{h}_{1/2}] + \mathfrak{h}_{1/2}$ and $\mathfrak{h} \subset \mathfrak{g}_0$. Moreover, by 3.23, \mathfrak{h}^* is simple. Since $R = \text{Re}(\text{adj}c')$ leaves \mathfrak{h} invariant by construction 3.3, we see that for every idempotent c' of \mathfrak{u} the derivation R of \mathfrak{h} is sI for some value s on $\mathfrak{h}_{1/2}$ and then $-sI$ on $\mathfrak{h}_{-1/2}$. Suppose that also $[jc', c] = 0$ holds, then $[jc', U \cap \mathfrak{g}_0] = 0$. Therefore $\mathfrak{r} = \mathfrak{n} + U$ has no component in $\mathbf{R}c'$. But $c' \in \mathfrak{u} \subset \mathfrak{r}$, a contradiction.

3.25. The above considerations restrict the possibilities for $\mathfrak{h}^* = \mathfrak{h}_{1/2} + [\mathfrak{h}_{-1/2}, \mathfrak{h}_{1/2}] + \mathfrak{h}_{1/2}$ quite a bit. But we can even show

LEMMA. $\mathfrak{h}^* \cong \mathfrak{sl}(2, \mathbf{R})$.

Proof. Suppose this is wrong. Then from 3.21 it follows that $\mathfrak{sl}(2, \mathbf{C}) \subset \mathfrak{h}^*$. (Since \mathbf{C} is a subtriple of V this follows from [12; § 3.2].) Moreover, the rootspaces of $\mathfrak{sl}(2, \mathbf{C})$ are contained in rootspaces of \mathfrak{h}^* (relative to some maximal \mathbf{R} -split toral subalgebra). We choose f_0 for the canonically embedded $\mathfrak{sl}(2, \mathbf{R}) \subset \mathfrak{sl}(2, \mathbf{C})$. From 3.22 we know that f_0 is of type $f_0 = \lambda je + \varepsilon jq + w_0$, $\varepsilon = \pm 1$. Therefore, f_0 has the eigenvalue 0 on $w_0 + ju + \mathfrak{k} + jg_1$ and the eigenvalue ε on u . We also note, that f_0 has on $\mathfrak{h}_{\pm 1/2}$ the eigenvalue ± 2 . Let U denote the \mathfrak{h} -module generated by u . It is easy to see that $[\mathfrak{h}_\alpha, [\mathfrak{h}_\alpha, u]] = 0$ and $[\mathfrak{h}_\alpha, [\mathfrak{h}_{-\alpha}, u]] \subset u$ for $\alpha = \pm 1/2$. This shows that $U = u + [\mathfrak{h}^*, u]$ holds. Let $[\mathfrak{h}_{-1/2}, \mathfrak{h}_{1/2}] \cong \mathbf{R} \oplus \mathfrak{k}^*$, where $\mathfrak{k}^* \subset \mathfrak{k}$. Then $[\mathfrak{k}^*, u] = 0$ since u is either one-dimensional or it is associated with some ideal $\mathfrak{h} \subset \mathfrak{g}_0$ of \mathfrak{h} . Let $W \subset U$ be an irreducible $\mathfrak{sl}(2, \mathbf{C})$ -submodule of U . Then $W = W_0 + W_\alpha$ where $W_\beta = W \cap \mathfrak{g}_\beta$. Since $f_0 \in \mathfrak{sl}(2, \mathbf{C})$ and $W_0 \subset u$, W is not a trivial representation. Hence $W \cong \mathbf{C}^2$. The subalgebra $\mathfrak{v} = \mathbf{C} \operatorname{diag}\{i, -i\}$ of $\mathfrak{sl}(2, \mathbf{C})$ corresponds to a subalgebra of \mathfrak{k}^* . Considered as subalgebra of $\mathfrak{sl}(2, \mathbf{C})$ it acts non-trivially on W_0 , but as subalgebra of \mathfrak{k}^* it acts trivially on $W_0 \subset u$. This is a contradiction.

3.26. From 3.24 we know that the cone C corresponding to t_0 is irreducible. Moreover, C is not one-dimensional only if it is associated with an ideal $\mathfrak{h} \subset \mathfrak{g}_0$ of \mathfrak{h} . In this case we set $\mathfrak{k} = \mathfrak{h} \cap \mathfrak{k}$. Then \mathfrak{k} is maximal compact in \mathfrak{h} .

LEMMA. *It suffices to consider the case where C is one-dimensional.*

Proof. Since $\mathfrak{h}^* \cong \mathfrak{sl}(2, \mathbf{R})$, $\mathfrak{g}_\alpha = \mathbf{R}f_\alpha + \mathfrak{v}_\alpha$ where $\mathfrak{v}_\alpha \subset \operatorname{rad} \mathfrak{g}$, $\alpha = \pm 1/2$. Therefore $[\mathfrak{g}_{-1/2}, \mathfrak{g}_{1/2}] \subset \mathbf{R}f_0 + \operatorname{nil}(\mathfrak{g})$. We also note that $\mathfrak{g}_0 = w_0 + t_0 + jg_1$ is a solvable subalgebra of \mathfrak{g}_0 , $\mathfrak{g}_0 + \mathfrak{k} = \mathfrak{g}_0$. It is easy to verify that $\mathfrak{g} = \mathfrak{g}_{-1/2} + \mathfrak{g}_0 + \mathfrak{g}_{1/2} + \mathfrak{g}_1$ is a Kähler subalgebra of \mathfrak{g} satisfying $\mathfrak{g} + \mathfrak{k} = \mathfrak{g}$. Since \mathfrak{g}_0 is solvable, the maximal semisimple subalgebra of \mathfrak{g} is $\mathfrak{h}^* \cong \mathfrak{sl}(2, \mathbf{R})$. In particular there is no ideal of \mathfrak{h} contained in \mathfrak{g}_0 . Therefore, applying the previous sections to \mathfrak{g} shows that we can assume that t_0 corresponds to a one dimensional cone.

3.27. From 3.25 we know $\mathfrak{h}^* \cong \mathfrak{sl}(2, \mathbf{R})$. Let f_0 denote the canonical generator of the Cartan subalgebra of \mathfrak{h}^* . Then $f_0 = \lambda je + \varepsilon q + w_0$. From 3.26 it follows that we can assume $u = \mathbf{R}q$. Moreover, as in the proof of 3.25 we see that for the \mathfrak{h} -module U , generated by u we have $U =$

$Rq + R[f_{1/2}, q]$, if $\varepsilon = -1$ and $U = Rq + R[f_{-1/2}, q]$, if $\varepsilon = 1$. We also know $\mathfrak{r} = U + \mathfrak{n}$ and $\mathfrak{g} = \mathfrak{g}' + U + jU$. Note that $(\mathfrak{g}_{1/2} + \mathfrak{g}_{-1/2})$ modulo $(\mathfrak{g}'_{1/2} + j\mathfrak{g}'_{1/2})$ is at most two-dimensional.

In the following sections we will exclude the four possibilities for f_0 : $\lambda = 0, 1, \varepsilon = \pm 1$.

3.28. Since $\mathfrak{g}/\mathfrak{g}'$ is of low dimension it is natural to consider some of the cases $\mathfrak{g}_\lambda = \mathfrak{g}'_\lambda$.

LEMMA. *The case $\mathfrak{g}_{1/2} = \mathfrak{g}'_\lambda$ does not occur.*

Proof. Suppose $\mathfrak{g}_{1/2} = \mathfrak{g}'_{1/2}$. Then $\mathfrak{g}_{1/2} = \mathfrak{u}_{1/2} + \mathfrak{w}_{1/2}$, where $\mathfrak{u}_{1/2}$ and $\mathfrak{w}_{1/2}$ are defined as in 3.7. Recall $\mathfrak{u}_{1/2} = j\mathfrak{n}_{-1/2} = [e, \mathfrak{n}_{-1/2}] \subset \mathfrak{n}$, whence $-\mathfrak{i}$ in $\mathfrak{g}' - [\mathfrak{w}_{1/2}, \mathfrak{u}_{1/2}] = 0$. Under our assumptions we also know that $\mathfrak{u}_{1/2}$ is the bilinear kernel of ρ restricted to $\mathfrak{g}_{1/2}$. The closedness condition for ρ implies that $\mathfrak{w}_0 + \mathfrak{t}_0$ leaves $\mathfrak{u}_{1/2}$ invariant. From this and the integrability condition it follows that $\mathfrak{w}_0 + \mathfrak{t}_0$ acts symplectically (in the sense of [7; § 6]) on $\mathfrak{g}'_{1/2}/\mathfrak{u}_{1/2}$. Therefore \mathfrak{w}_0 has only the (real) eigenvalue 0 and $j\mathfrak{q}$ has only the (real) eigenvalues 0, $\pm 1/2$. Hence, the eigenvalues of f_0 on $\mathfrak{g}_{1/2}$ are $\lambda/2$ and $\lambda/2 \pm \varepsilon/2$. It is easy to see that for $\lambda = 0, 1$ and $\varepsilon = \pm 1$ the eigenvalue 2 does not occur. But then $\mathfrak{h}_{1/2} = 0$, a contradiction.

3.29.

LEMMA. *The case $f_0 = \lambda j e + j\mathfrak{q} + \mathfrak{w}_0$ does not occur.*

Proof. By 3.28 we can assume $\mathfrak{g}_{1/2} \neq \mathfrak{g}'_{1/2}$. Since, by 3.27, $(\mathfrak{g}_{1/2} + \mathfrak{g}_{-1/2})$ modulo $(\mathfrak{g}'_{1/2} + \mathfrak{g}'_{-1/2})$ is at most two-dimensional, we know $\mathfrak{g}_{-1/2} = \mathfrak{g}'_{-1/2} + R\mathfrak{v}_{-1/2}$. In particular $f_{-1/2} = j\mathfrak{u}_{1/2} + b\mathfrak{v}_{-1/2}$ for some $\mathfrak{u}_{1/2} \in \mathfrak{u}_{1/2} \subset \mathfrak{n}$, $b \in R$. This implies $\rho(j\mathfrak{u}_{1/2}, \mathfrak{u}_{1/2}) = \rho(f_{-1/2} - b\mathfrak{v}_{-1/2}, \mathfrak{u}_{1/2})$. We want to show that this expression vanishes. Then $\mathfrak{u}_{1/2} = 0$ and $f_{-1/2} \in R\mathfrak{v}_{-1/2} \subset \mathfrak{r} \subset \text{nil}(\mathfrak{g})$, a contradiction. To see that $\rho(j\mathfrak{u}_{1/2}, \mathfrak{u}_{1/2})$ vanishes we note that f_0 has the eigenvalue -2 on $f_{-1/2}$ and -1 on $\mathfrak{v}_{-1/2}$. In the situation under consideration f_0 can only have the eigenvalues 2, $-1, 0$ on $\mathfrak{g}_{1/2}$. We note that $(\nu + \mu)\rho(x_{-1/2}^{(\nu)}, n_{1/2}^{(\mu)}) = \rho([f_0, x_{-1/2}^{(\nu)}], n_{1/2}^{(\mu)}) + \rho(x_{-1/2}^{(\nu)}, [f_0, n_{1/2}^{(\mu)}]) = \rho(f_0, [x_{-1/2}^{(\nu)}, n_{1/2}^{(\mu)}])$ holds. If $n_{1/2}^{(\mu)} \in \mathfrak{n}$, then $[x_{-1/2}^{(\nu)}, n_{1/2}^{(\mu)}] \in \mathfrak{n}_0^{(\nu + \mu)}$. This expression vanishes if $\nu + \mu \neq 0$ as shown in 3.18. Therefore $\rho(\mathfrak{g}_{-1/2}^{(\nu)}, n_{1/2}^{(\mu)}) = 0$ if $\nu + \mu \neq 0$. This applies in particular to $\nu = -2, -1$ and $\mu = 0, -1$. To finish the proof of this lemma it suffices to show $n_{1/2}^{(2)} = 0$. But if $n_{1/2}^{(2)} \neq 0$, then also $0 \neq [f_{-1/2}, [f_{-1/2}, n_{1/2}^{(2)}]] \subset \mathfrak{n}_{-1/2}^{(-2)}$. To see that this is impossible we consider

the space $\mathfrak{v} = \mathfrak{n}_{-1/2} = j\mathfrak{n}_{-1/2}$. Since $j\mathfrak{n}_{-1/2} = [e, \mathfrak{n}_{-1/2}]$ we see that \mathfrak{v} is invariant under $\mathfrak{w}_0 + \mathfrak{t}_0$. It is easy to see that the representation of $\mathfrak{w}_0 + \mathfrak{t}_0$ on \mathfrak{v} is symplectic. Therefore $j\mathfrak{q}$ has only the (real) eigenvalues $0, \pm 1/2$ and w_0 only the (real) eigenvalue 0 on \mathfrak{v} . Thus f_0 cannot have the eigenvalue -2 on \mathfrak{v} . This contradiction finishes the proof of the lemma.

3.30. In this section we finish the proof of ‘‘Case 3’’ by showing

LEMMA. *The case $f_0 = \lambda j e - j\mathfrak{q} + w_0$ does not occur.*

Proof. By 3.28 we can assume $\mathfrak{g}_{1/2} \neq \mathfrak{g}'_{1/2}$. We also know $U = R\mathfrak{q} + R\mathfrak{v}_{1/2}$ and $\mathfrak{g} = \mathfrak{g}' + U + jU$. In particular $\mathfrak{g}_{-1/2} = \mathfrak{g}'_{-1/2} + R(j\mathfrak{v}_{1/2})_{-1/2}$. Splitting $\mathfrak{v}_{1/2} = \mathfrak{u}_{1/2} + \mathfrak{w}_{1/2}$ where $\mathfrak{u}_{1/2} \in \mathfrak{u}_{1/2} \subset \mathfrak{n}$ and $\mathfrak{w}_{1/2} \in \mathfrak{v}_{1/2}$ (see 3.7) we see $j\mathfrak{v}_{1/2} = j\mathfrak{u}_{1/2} + j\mathfrak{w}_{1/2}$, hence $(j\mathfrak{v}_{1/2})_{-1/2} = j\mathfrak{u}_{1/2} \in \mathfrak{g}'$. Therefore $\mathfrak{g}_{-1/2} = \mathfrak{g}'_{-1/2}$. But then $j\mathfrak{g}_{-1/2} = [e, \mathfrak{g}_{-1/2}]$ and $\mathfrak{v} = \mathfrak{g}_{-1/2} + j\mathfrak{g}_{-1/2}$ is left invariant by $\mathfrak{w}_0 + \mathfrak{t}_0$. From this it follows that $j\mathfrak{q}$ has only the (real) eigenvalues $0, \pm 1/2$ and w_0 has only the (real) eigenvalue 0 on \mathfrak{v} . Therefore f_0 does not have the eigenvalue -2 on $\mathfrak{g}_{-1/2}$ and the lemma is proven.

APPENDIX. We want to prove the following general result.

LEMMA. *Let \mathfrak{q} be an algebraic Lie algebra of endomorphisms of some vector space V and $\mathfrak{b} \subset \mathfrak{q}$ an abelian subspace such that every $b \in \mathfrak{b}$ is a semisimple endomorphism of V .*

Then there exists a maximal semisimple subalgebra \mathfrak{h} of \mathfrak{q} and an algebraic abelian subalgebra $\mathfrak{a} \subset \text{rad}(\mathfrak{g})$ that consists of semisimple endomorphisms such that

- a) $[\mathfrak{h}, \mathfrak{a}] = 0,$
- b) $\mathfrak{b} \subset \mathfrak{h} + \mathfrak{a}.$

Proof. We prove the assertion by induction on $m = \dim \mathfrak{b}$. If $m = 1$ it suffices to consider some $0 \neq q \in \mathfrak{b}$. From [3, chap. VI, § 4, Proposition 18] we know that there exists a Cartan subalgebra \mathfrak{c} of \mathfrak{q} containing q . Hence by loc. cit. Proposition 20 there exists a maximal semisimple subalgebra \mathfrak{h}' of \mathfrak{q} such that $\mathfrak{c}'_h = \mathfrak{h}' \cap \mathfrak{c}$ is a Cartan subalgebra of \mathfrak{h}' and $\mathfrak{c} = \mathfrak{c}'_h + (\mathfrak{c} \cap \text{rad}(\mathfrak{q}))$. We note that \mathfrak{c}'_h consists of semisimple endomorphisms of V . From [3, chap. V, § 4, Proposition 5] we derive that we can write $\text{rad}(\mathfrak{q}) = \mathfrak{a}' + \mathfrak{n}$ where \mathfrak{a}' is abelian, algebraic and commutes with \mathfrak{h}' and where $\mathfrak{n} \subset \text{rad}(\mathfrak{q})$ is the greatest ideal of \mathfrak{q} consisting of nilpotent endomorphisms. Then $\mathfrak{q} = \mathfrak{h} + \mathfrak{q} + \mathfrak{n}$ and $\mathfrak{h} + \mathfrak{q}$ is semisimple. We write

$n = n_0 + n_1$ where n_0 is in the kernel of $H = \text{ad}(h + a)$ and n_1 is in the sum \mathfrak{w} of the eigenspaces of H for eigenvalues $\lambda \neq 0$. Clearly, H is invertible on \mathfrak{w} . We denote by $\mathfrak{n}^{(k)}$ the space of k -fold commutators of elements from \mathfrak{n} , $\mathfrak{n}^{(1)} = \mathfrak{n}$. Then $\mathfrak{n}^{(k)}$ is left invariant by H . Since $\mathfrak{n}^{(k)} \supset \mathfrak{n}^{(k+1)}$ there exists some H -invariant complement $u^{(k)}$ of $\mathfrak{n}^{(k+1)}$ in $\mathfrak{n}^{(k)}$. We note that for every eigenspace \mathfrak{v}_λ of H we have $\mathfrak{v}_\lambda \cap \mathfrak{n}^{(k)} = \mathfrak{v}_\lambda \cap u^{(k)} + \mathfrak{v}_\lambda \cap \mathfrak{n}^{(k+1)}$. We write $n_0 = u_0^{(1)} + n_0^{(2)}$ and $n_1 = u_1^{(1)} + n_1^{(2)}$; then $u^{(1)}$, $n_0^{(2)}$ both are in the kernel of H and H is invertible on $u^{(1)} \cap \mathfrak{w}$. Let $A_1 = \exp \text{ad}(H^{-1}u_1^{(1)})$. Then $A_1(h + a + n) = h + a + n - [h + a + n, H^{-1}u_1^{(1)}] \text{ mod } \mathfrak{n}^{(2)} = h + a + u_0^{(1)} + u_1^{(1)} - u^{(1)} \text{ mod } \mathfrak{n}^{(2)} = h + a + u_0^{(1)} \text{ mod } \mathfrak{n}^{(2)}$. We iterate this procedure and assume that we have found already inner automorphisms A_1, \dots, A_{r-1} so that $A_{r-1}, \dots, A_1(h + a + n) = h + a + u_0^{(1)} + u_0^{(2)} + \dots + u_0^{(r-1)} + n^{(r)}$ for some $n^{(r)} \in \mathfrak{n}^{(r)}$. We write $n^{(r)} = n_0^{(r)} + n_1^{(r)}$ and $n_j^{(r)} = u_j^{(r)} + n_j^{(r+1)}$, $j = 0, 1$, with $n_0^{(r)} \in \ker H$ and $n_j^{(r)} \in \mathfrak{w}$. Set $A_r = \exp \text{ad}(H^{-1}u_1^{(r)})$. Then $A_r, \dots, A_1(h + a + n) = A_r(h + a + u_0^{(1)} + \dots + u_0^{(r-1)} + n^{(r)}) = h + a + u_0^{(1)} + \dots + u_0^{(r)} + u_1^{(r)} - [h + a + u_0^{(1)} + \dots, H^{-1}u_1^{(r)}] \text{ mod } \mathfrak{n}^{(r+1)} = h + a + u_0^{(1)} + \dots + u_0^{(r)} \text{ mod } \mathfrak{n}^{(r+1)}$. Hence we find an inner automorphism of \mathfrak{q} such that $W(h + a + n) = h + a + x$ where $[h + a, x] = 0$. But $W(h + a + n)$ and $h + a$ are semisimple endomorphisms and x is nilpotent. Therefore $x = 0$. We set $\mathfrak{h} = W^{-1}\mathfrak{h}'$ and $\mathfrak{a} = W^{-1}\mathfrak{a}'$. Then $\mathfrak{q} = W^{-1}\mathfrak{h} + W^{-1}\mathfrak{a} \in \mathfrak{h} + \mathfrak{a}$ and the assertion follows.

Assume now $\dim \mathfrak{b} = m$ and the assertion holds for dimensions less than m . We write $\mathfrak{b} = \mathfrak{b}' \oplus \mathfrak{R}q$ and apply the induction hypothesis to \mathfrak{b}' . The corresponding subalgebras will be denoted by \mathfrak{h}' and \mathfrak{a}' . Hence $\mathfrak{b}' = \mathfrak{h}' + \mathfrak{a}'$, where $\mathfrak{h}' \in \mathfrak{h}'$, $\mathfrak{a}' \in \mathfrak{a}'$, for all $\mathfrak{b}' \in \mathfrak{b}'$ and $q = h + a + n$ where \mathfrak{n} is as above. Since $[\mathfrak{b}', q] = 0$ we have

$$(1) \quad [\mathfrak{b}', h + a] = 0,$$

$$(2) \quad [\mathfrak{b}', n] = 0.$$

Now we repeat the proof above and note that in every step the inner automorphisms A_i fix \mathfrak{b}' . From this the assertion follows.

Added in proof. The following paper builds on the present article: J. Dorfmeister, K. Nakajima. The fundamental conjecture for homogeneous Kähler manifolds, *Acta Math.*, 161 (1988), 23–70.

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