## ON THE WEAKLY PRECOMPACT AND UNCONDITIONALLY CONVERGING OPERATORS

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Abstract. In this paper we present some results about wV (weak property V of Pełczyński) or property  $wV^*$  (weak property  $V^*$  of Pełczyński) in Banach spaces. We show that E has property wV if for any reflexive subspace F of  $E^*$ ,  $^{\perp}F$  has property wV. It is shown that G has property wV if under some condition  $K_{w^*}(E^*, F^*)$  contains the dual of G. Moreover, it is proved that  $E^*$  contains a copy of  $c_0$  if and only if E contains a copy of  $\ell_1$  where E has property  $wV^*$ . Finally, the identity between  $L(C(\Omega, E), F)$  and  $WP(C(\Omega, E), F)$  is investigated.

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**1. Introduction.** In order to prevent any doubt, we shall fix some terminology. Throughout in this article  $E, F, G, \ldots$  will denote Banach spaces and  $E^*$  the dual of E. The term operator means a bounded linear map. A series  $\sum x_n$  in E is said to be *weakly unconditionally Cauchy* (w.u.C) if for each  $x^* \in E^*$ ,  $\sum |x^*(x_n)| < \infty$ . An operator  $T : E \to F$  is said to be an *unconditionally converging operator* if T maps w.u.C series in E into unconditionally convergent series in F. T is said to be a *weakly precompact operator* if  $T(B_E)$  is a weakly precompact set in F (i.e., for any bounded sequence  $(x_n)$  in  $E, (T(x_n))$  has a weakly Cauchy subsequence). Let L(E, F), K(E, F),W(E, F), WP(E, F) and  $K_{w^*}(E^*, F)$  denote the Banach space of operators, compact operators, weakly compact operators between two Banach spaces respectively. For a compact Hausdorff space  $\Omega, C(\Omega, E)$  is the Banach space of all continuous E-valued functions on  $\Omega$  with the supremum norm. A subset H of  $E^*$  is said to be a V-set if

$$\lim_n \sup_{x^* \in H} |x^*(x_n)| = 0,$$

where  $\sum x_n$  is any w.u.C series in *E*. A Banach space *E* has the *Property V* if any *V*-set in *E*<sup>\*</sup> is relatively weakly compact. A Banach space *E* has the *wV-property* if any *V*-set in *E*<sup>\*</sup> is a weakly precompact set [19]. For the notions and terminology used and not defined in this paper see [4] and [5].

One of the most important problems of Banach space theory is to recognize the classical properties in Banach spaces. The study of V and  $V^*$  properties go back to Pełczyński [13]; also wV and  $wV^*$  properties go back to E. Saab and P. Saab [19].

A complete characterization of their equivalences and properties has been obtained through the efforts of [2], [3], [6], [7], [8], [14], [18] and [19]. In [13] it was shown that the Banach space *E* has property *V* if and only if every unconditionally converging operator from *E* to any Banach space *F* is a weakly compact operator. Also *E* has Property  $V^*$  if and only if any conjugate unconditionally converging operator from  $E^*$ into  $F^*$  is a weakly compact operator ([6] and [7]). In the present paper we show that *E* has property wV if some special subspace of it has property wV. Weakly precompact operators from  $C(\Omega, E)$  to *F* will be characterized; in fact we show that the condition  $L(C(\Omega, E), F) = WP(C(\Omega, E), F)$  is equivalent to *E* containing a complemented copy of  $\ell_1$ . It is well known that *E* contains a complemented copy of  $\ell_1$  if and only if  $E^*$ contains a copy of  $c_0$ . We shall show that the complemented condition can be replaced by the  $wV^*$  property of *E*.

**2.** Property wV. It is well known that E has Property V if and only if E has property wV and  $E^*$  is weakly sequentially complete [19].

A subset H of E is said to be a  $V^*$ -set if

$$\lim_n \sup_{x \in H} |x_n^*(x)| = 0,$$

where  $\sum x_n^*$  is any w.u.C series in  $E^*$ . A Banach space *E* has the *Property*  $V^*$  if any  $V^*$ -subset of *E* is relatively weakly compact. A Banach space *E* has the  $wV^*$ -property if any  $V^*$ -subset of *E* is weakly precompact set [19]. E. Saab and P. Saab proved that *E* has Property  $V^*$  if and only if *E* has property  $wV^*$  and *E* is weakly sequentially complete [19].

The following result characterizes the V and  $V^*$  properties.

**PROPOSITION 2.1.** 

(a) [13]  $E^*$  has Property  $V^*$  if E has Property V.

(b) [13] *E* has Property  $V^*$  if  $E^*$  has Property *V*.

(c) [19] *E* has property wV if and only if for any Banach space *F* any unconditionally converging operator  $T : E \to F$  has a weakly precompact adjoint.

(d) [19] *E* has property  $wV^*$  if and only if for any Banach space *F* any operator  $T: F \rightarrow E$  is a weakly precompact operator if its adjoint is an unconditionally converging operator.

In the following proposition we show that E has property wV if some subspace of it has property wV. The next lemma provides the basic criterion for weak precompactness of bounded sequences.

LEMMA 2.2. [9] Let E be a Banach space, F a reflexive subspace of E and  $Q : E \rightarrow E/F$  the canonical quotient map. Let  $(x_n) \subseteq E$  be a bounded sequence such that  $(Q(x_n))$  is a weakly Cauchy sequence. Then  $(x_n)$  is a weakly precompact set.

**PROPOSITION 2.3.** Let F be a reflexive subspace of  $E^*$ . Assume that  ${}^{\perp}F = \{x \in E : y^*(x) = 0, \forall y^* \in F\}$  has property wV. Then E has property wV.

*Proof.* Let  $Q: E^* \to E^*/F$  be the canonical quotient map and  $i: E^*/F \to ({}^{\perp}F)^*$  the natural surjective isomorphism. It is well known that  $iQ: E^* \to ({}^{\perp}F)^*$  is weak\* to weak\* continuous [12], and so there is an operator  $S:{}^{\perp}F \to E$  such that  $iQ = S^*$ . Suppose  $T: E \to G$  is any unconditionally converging operator from E to any Banach space G. Then TS is an unconditionally converging operator too. From the assumption

 $(TS)^* = S^*T^* = iQT^*$  is weakly precompact. *i* is a surjective isomorphism and so  $QT^*$  is weakly precompact. It follows that for any bounded sequence  $(z_n^*) \subseteq G^*$  there is a subsequence  $(y_n^*)$  of  $(z_n^*)$  such that  $(QT^*(y_n^*))$  is a weak Cauchy sequence. According to Lemma 2.2  $(T^*(y_n^*))$  has a weak Cauchy subsequence. Consequently,  $T^*$  is a weakly precompact operator.

The Banach space of operators S(E, F) with the  $\mathcal{K}$ -property is a subspace of L(E, F) in which weak convergence and pointwise weak convergence on sequences coincide. See [1] and [11].

DEFINITION 2.4.  $A \subseteq S(E, F)$  is said to be a *quasi-V- set* if the following conditions satisfy:

(a)  $\lim_{n} \sup_{T \in \mathcal{A}} |T(x_n \otimes y^*)| = \lim_{n} \sup_{T \in \mathcal{A}} |y^*(T(x_n))| = 0,$ 

(b)  $\lim_{n} \sup_{T \in A} |T(x \otimes y_{n}^{*})| = \lim_{n} \sup_{T \in A} |y_{n}^{*}(T(x))| = 0$ , where  $\sum x_{n}$  and  $\sum y_{n}^{*}$  are w.u.C series in *E* and *F*<sup>\*</sup> respectively.

LEMMA 2.5. Suppose that  $H^*$  is a subspace of S(E, F). Then every V-set in  $H^*$  is a quasi-V-set.

*Proof.* Suppose that  $A \subseteq H^*$  is a *V*-set.  $\sum x_n \otimes y^*$  is a w.u.C series in *H* because  $H^* \subseteq L(E, F) \subseteq (E \otimes F^*)^*$ , and so  $\sum |T(x_n \otimes y^*)| = \sum |T(x_n)(y^*)| < \infty$ . Hence,  $\sum x_n \otimes y^*$  is a w.u.C series. Therefore,  $(x_n \otimes y^*)$  converges uniformly to zero on *A*. On the other hand  $\sum |T(x \otimes y_n^*)| = \sum |T(x)(y_n^*)| < \infty$ . Similarly  $(x \otimes y_n^*)$  converges uniformly to zero on *A*.

THEOREM 2.6. Suppose that  $F^*$  is a separable Banach space and  $S(E, F^*)$  is a space of operators with the  $\mathcal{K}$ -property. Suppose  $A \subseteq S(E, F^*)$  is a quasi-V-set. Then A is weakly precompact if E and F have property V.

*Proof.* Let  $(h_n) \subseteq A$  be an arbitrary sequence. Since  $F^*$  is separable, one can consider  $Y \subseteq F$  as a countable separating set for  $F^*$ . For each w.u.C series  $\sum x_n$  and  $\sum y_n^*$  in E and  $F^*$  respectively, we have

$$(h_n^*(y))(x_n) = h_n(x_n \otimes y) \to 0, \tag{1}$$

$$(h_n(x))(y_n) = h_n(x \otimes y_n) \to 0.$$
<sup>(2)</sup>

Therefore,  $(h_n^*(y))$  is a *V*-set of  $E^*$ . Hence, by the countability of *Y* there is a subsequence of  $(h_n)$  which we denote again by  $(h_n)$  such that  $(h_n^*(y))$  is weakly convergent for each  $y \in Y$ . We claim that  $(h_n(x))$  is weakly Cauchy for each  $x \in E$ . From (2)  $(h_n(x))$  is a *V*-set in  $F^*$  and so it has a weakly convergent subsequence. We claim that  $(h_n(x))$  has only one weak cluster point. To see this, suppose that  $z_1^*$  and  $z_2^*$  are two weak cluster points for  $(h_n(x))$ . There are two subsequences  $(h_{k(n)}(x))$  and  $(h_{p(n)}(x))$  such that

$$z_1^* = weak - lim h_{k(n)}(x), \quad z_2^* = weak - lim h_{p(n)}(x).$$

Now for any  $y \in Y$  we have

$$z_{1}^{*}(y) = \lim h_{k(n)}(x)(y)$$
  
=  $\lim h_{k(n)}^{*}(y)(x)$   
=  $\lim h_{n}^{*}(y)(x)$   
=  $\lim h_{p(n)}^{*}(y)(x)$   
=  $\lim h_{p(n)}(x)(y)$   
=  $z_{2}^{*}(y)$ .

Then  $z_1^* = z_2^*$  since Y is a separating set. According to the definition of  $S(E, F^*)$ , the sequence  $(h_n)$  is a weakly Cauchy sequence which proves that A is a weakly precompact set.

COROLLARY 2.7. Suppose that  $E^*$  and F have property V. Then any quasi-V-set of  $K_{w^*}(E^*, F^*)$  is weakly precompact.

*Proof.* We recall that  $K_{w^*}(E^*, F^*)$  has the  $\mathcal{K}$ -property [11]. Consider a quasi-V-set H of  $K_{w^*}(E^*, F^*)$ . According to the Eberlein-Smulian theorem [4] we consider the case  $H = (h_n)$ , and so we can assume that  $F^*$  is separable. Then Theorem 2.6 completes the proof.

COROLLARY 2.8. Let  $E^*$  and F have property V and let  $K_{w^*}(E^*, F^*)$  contain the dual of a Banach space G. Then G has property wV.

*Proof.* Suppose that  $(h_n) \subseteq G^*$  is a *V*-set. Similar to what is done in the proof of Theorem 2.6 one can assume that  $(h_n^*(y))$  is a weakly convergent sequence for each  $y \in Y$  where *Y* is a countably separating set for *H* and *H* is a separable subspace of  $F^*$  containing all the ranges of the  $h_n$ 's. The rest of the proof is similar to the proof of Theorem 2.6.

**3. Property**  $wV^*$ . The concept of  $V^*$ -set was introduced, as a dual concept to that of V-set which was first studied by A. Pełczyński in his fundamental paper [13]. F. Bombal in [3] proved that every closed subspace of an order continuous Banach lattice has property  $wV^*$ . We should like to extend this result to Banach spaces from the case of an order continuous Banach lattice.

**PROPOSITION 3.1.** Suppose that E has property  $wV^*$ . Then any closed subspace F of E has property  $wV^*$ .

*Proof.* Let  $H \subseteq F$  be a  $V^*$ -set. Consider a w.u.C series  $\sum x_n^*$  in  $E^*$ . It is easy to see that  $\sum \tilde{x_n^*}$  is a w.u.C series, where  $\tilde{x_n^*}$  is the restriction of  $x_n^*$  to F. Therefore  $(\tilde{x_n^*})$  converges uniformly to zero on H. Then  $(x_n^*)$  converges uniformly to zero on H. That H is a weakly precompact set in F follows directly from this and the fact that H is a  $V^*$ -set in E.

A key ingredient in the proof of the next proposition will be the isometric embedding occurring in the following lemma.

LEMMA 3.2 [10]. Let  $E_0$  be a separable subspace of E. Then there is a separable subspace Z of E that contains  $E_0$  and an isometric embedding  $J : Z^* \to E^*$  such that  $J(z^*)(z) = z^*(z)$  for each z in Z and  $z^*$  in  $Z^*$ . In particular  $J(Z^*)$  is 1-complemented in  $E^*$ .

In [3] it is shown that E has property  $wV^*$  if and only if every closed separable subspace of E has property  $wV^*$ , where E has the separable complementation property. Here, we prove this result without the separable complementation property.

**PROPOSITION 3.3.** *E* has property  $wV^*$  if and only if any closed separable subspace of E has property  $wV^*$ .

*Proof.*  $(\Rightarrow)$ . This follows from Proposition 3.1.

( $\Leftarrow$ ). Suppose  $H \subseteq F$  is a bounded subset that is not weakly precompact. We shall show that H is not a  $V^*$ -set in E. From Rosenthal's  $\ell_1$  Theorem [15], H contains a subsequence  $(x_n)$  as a copy of  $\ell_1$ . Let  $F = [x_n]$  be the closed linear span of  $(x_n)$ which is certainly a separable subspace of E. There is a separable subspace Z of Eand an isometric embedding J which satisfies the conditions of Lemma 3.2. That  $(x_n)$ is not a  $V^*$ -set in E follows from this and the assumption that Z has property  $wV^*$ . Consequently, there is a (w.u.C.) series  $\sum x_n^*$  in  $Z^*$  such that

$$\lim_n \sup_k |z_n^*(x_k)| \neq 0.$$

Choose  $x_k^* = J z_k^*$ . Then it is easy to see that  $\sum x_k^*$  is a w.u.C series in  $E^*$  and

$$\lim_{k} \sup_{n} |x_{k}^{*}(x_{n})| = \lim_{k} \sup_{n} |Jz_{k}^{*}(x_{n})|$$
$$= \lim_{k} \sup_{n} |z_{k}^{*}(x_{n})|$$
$$\neq 0$$

and the proof is complete.

The following result provides us with a criterion for non-weakly precompactness of an operator. To get started, we first provide a way of characterizing a  $V^*$ -set.

LEMMA 3.4 [6]. A subset H of E is a V<sup>\*</sup>-set if and only if the image of any operator  $T: E \rightarrow \ell_1$  on H is relatively compact.

THEOREM 3.5.  $T : E \to F$  is not a weakly precompact operator if and only if T fixes a copy of  $\ell_1$ .

*Proof.* ( $\Leftarrow$ ). Without loss of generality we can assume that  $T : \ell_1 \to \ell_1$  is an isomorphism. We claim that  $\{e_n : n \in \mathbb{N}\}$  is a bounded sequence in  $\ell_1$  but  $(T(e_n))$  has no weak Cauchy subsequence. On the contrary, from the Schur property of  $\ell_1$  [4, p. 85], it has a norm Cauchy subsequence and thus a norm convergent subsequence. Now T is an isomorphism and thus  $(e_n)_n$  has a norm convergence subsequence in  $\ell_1$ , which is a contradiction.

( $\Rightarrow$ ). Since *T* is not weakly precompact there is a bounded sequence  $(x_n)$  in *E* such that  $(T(x_n))$  has no weak Cauchy subsequence. From Rosenthal's  $\ell_1$  Theorem, there is a subsequence  $(T(x_{n_k}))$  equivalent to the unit vector basis of  $\ell_1$ .  $(x_{n_k})$  cannot have a weak Cauchy subsequence. Again using Rosenthal's  $\ell_1$  Theorem there is a subsequence  $(x_{n'})$  such that  $(x_{n'})$  and  $(T(x_{n'}))$  are equivalent to the unit vector basis of  $\ell_1$ . Theorem there is a subsequence  $(x_{n'})$  such that  $(x_{n'})$  and  $(T(x_{n'}))$  are equivalent to the unit vector basis of  $\ell_1$ . Then  $\tilde{T} : [x_{n'}] \rightarrow [Tx_{n'}]$  is an isomorphism, where  $\tilde{T}$  is the restriction of T to  $[x_{n'}]$ .

THEOREM 3.6. Suppose that E has property  $wV^*$  and  $(x_n)$  is a bounded but not weakly precompact sequence in E. Then there is a subsequence  $(x_{n_k})$  equivalent to the unit vector basis of  $\ell_1$  such that  $[x_{n_k}]$  is complemented in E.

*Proof.* From the assumption  $(x_n)$  is not a  $V^*$ -set in E. According to Lemma 3.4, there is an operator  $T : E \to \ell_1$  such that  $(Tx_n)$  is not relatively compact in  $\ell_1$ . Hence, T is not a weakly precompact operator. Now according to Theorem 3.5, T fixes a copy of  $\ell_1$  and so  $[Tx_{n_k}]$  is complemented in  $\ell_1$  by a projection  $Q : \ell_1 \to [Tx_{n_k}]$  [4, p. 55].

Now consider the following compositions

$$E \xrightarrow{T} \ell_1 \xrightarrow{Q} [T(x_{n_k})] \xrightarrow{\tilde{T}^{-1}} [x_{n_k}],$$

where  $\tilde{T}$  is the restriction of T on  $[x_{n_k}]$ . Now  $\tilde{T}^{-1}QT$  is the required projection.

We recall that  $E^*$  has a copy of  $c_0$  if and only if E has a complemented copy of  $\ell_1$  [4, 48]. This leads to our next result.

COROLLARY 3.7. Suppose E has property  $wV^*$ . Then  $E^*$  contains a copy of  $c_0$  if and only if E contains a copy of  $\ell_1$ .

*Proof.*  $(\Rightarrow)$ . This follows from the previous paragraph.

( $\Leftarrow$ ). Since *E* contains a copy of  $\ell_1$  there is a sequence  $(x_n) \subseteq E$  equivalent to the unit vector basis of  $\ell_1$ . Therefore, it is not a weakly precompact set in *E*. The assertion we are after follows quickly from Theorem 3.6.

Our final result now follows.

THEOREM 3.8. Suppose F has property  $wV^*$ . Then one of the two following statements holds.

(a) *E* contains a complemented copy of  $\ell_1$ . (b)  $L(C(\Omega, E), E) = WP(C(\Omega, E), E)$ 

(b)  $L(C(\Omega, E), F) = WP(C(\Omega, E), F).$ 

*Proof.* Suppose that (b) does not hold. Then there is a bounded operator T:  $C(\Omega, E) \to F$  which is not weakly precompact. According to Theorem 3.5, T fixes a copy of  $\ell_1$ ; i.e., there is a sequence  $(f_n)$  in  $C(\Omega, E)$  such that  $(f_n)$  and  $(T(f_n))$  are equivalent to the unit vector basis of  $\ell_1$ .  $(T(f_n))$  is not weakly precompact in F, where F has property  $wV^*$ . Hence, according to Theorem 3.6,  $[Tf_n]$  is complemented in F by a projection P. Then the following composition.

$$C(\Omega, E) \xrightarrow{T} F \xrightarrow{P} [T(f_n)] \xrightarrow{\tilde{T}^{-1}} [f_n],$$

is a projection, where  $\tilde{T}$  is the restriction of T to  $[f_n]$ . Also  $\tilde{T}^{-1}PT$  is a projection from  $C(\Omega, E)$  to  $[f_n]$ . This means that  $C(\Omega, E)$  has a complemented copy of  $\ell_1$ . Consequently, E has a complemented copy of  $\ell_1$  [17].

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