

ON THE COMMUTATIVITY OF SOME CLASS OF RINGS

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1. Introduction

Throughout, R will denote an associative ring with center Z . For elements x, y of R and k a positive integer, we define inductively $[x, y]_0 = x$, $[x, y] = [x, y]_1 = xy - yx$, $[x, y, y, \dots, y]_k = [[x, y, y, \dots, y]_{k-1}, y]$. A ring R is said to satisfy the k -th Engel condition if $[x, y, y, \dots, y]_k = 0$. By an integral domain we mean a nonzero ring without nontrivial zero divisors. The purpose of this note is to generalize Theorem 1 in Ikeda-C. Koc (1974) and Herstein (1962) and Theorem 3.1.3 in Herstein (1968). The result is the following:

THEOREM. *Let k be a fixed nonnegative integer. Suppose R is a ring satisfying*

(1) $[x, y, y, \dots, y]_k - [x, y, y, \dots, y]_k^2 f(x, y) \in Z$ for all $x, y \in R$, where $f(x, y)$ is a polynomial with integer coefficients which does not depend on x and y ,

or

(2) $[[x, y, y, \dots, y]_k, z^m] = 0$ for all $x, y \in R$ where m is a fixed positive integer. Then

- (i) *The commutator ideal $C(R)$ of R lies in the prime radical $P(R)$ of R ,*
- (ii) $[x, y, y, \dots, y]_k^2 = [x, y, y, \dots, y]_k$ implies $[x, y, y, \dots, y]_k = 0$,
- (iii) $P(R)$ is locally nilpotent.

2. Lemmata

We begin with

LEMMA 1. *Let R be a ring such that for each $x, y \in R$ there exists a polynomial $f_{x,y}(x, y)$ with integer coefficients which depend on x and y such that*

(3) $[x, y, y, \dots, y]_k - [x, y, y, \dots, y]_k^2 f_{x,y}(x, y) \in Z$. Then the idempotents of R lie in the center Z of R .

PROOF. Let e be a nonzero idempotent in R and x be any element of R . Then $[ex, e] = exe - ex$, $[ex, e, \dots, e]_k = (-1)^{k+1}[ex, e]$, $[ex, e, \dots, e]_k^2 = 0$ and $(ex, e, \dots, e)_k - [ex, e, \dots, e]_k^2 f_{ex,e}(ex, e) \in Z$ imply $[ex, e] \in Z$. Similarly $[xe, e] \in Z$. Hence $e[ex, e] = [ex, e]e = 0$ and $[xe, e]e = e[xe, e] = 0$, from which we obtain $ex = xe = exe$ for all $x \in R$. So $e \in Z$.

LEMMA 2. *Let R be a prime ring satisfying (3). Then R is an integral domain.*

PROOF. Suppose $xy = 0$ and $x \neq 0$. Let r be any element in R . Then

$$[yrx, y, y, \dots, y]_k = (-1)^{k+1}y^{k+1}rx \text{ and } [yrx, y, y, \dots, y]_k^2 = 0$$

imply $(-1)^{k+1}y^{k+1}rx \in Z$. By taking the commutator of $(-1)^{k+1}y^{k+1}rx$ and y we obtain $y^{k+2}rx = 0$ for all $r \in R$. Hence $y^{k+2}Rx = 0$. This implies $y^{k+2} = 0$ for all y in the right annihilator of x , which is a right ideal. Since R is prime, Lemma 1.1 of Herstein (1969) implies $y = 0$. This completes the proof.

LEMMA 3. *Suppose R is an integral domain satisfying (3). Then the center of R cannot be zero.*

PROOF. We assume that $Z = (0)$ and obtain a contradiction. If R is commutative then R must be a zero integral domain which is a contradiction since R is a nonzero ring. Suppose R is not commutative. By using the fact that any integral domain satisfying the k -th Engel condition is commutative Herstein (1962), we can find x, y in R such that $a = [x, y, y, \dots, y]_k \neq 0$. Hence $a = a^2 f_{x,y}(x, y)$ which implies that $af_{x,y}(x, y)$ is an identity, and so lies in the center which is zero. It follows that $a = 0$. This contradiction proves the lemma.

LEMMA 4. *Let R be an integral domain satisfying (1) with finite center Z . Then R is commutative.*

PROOF. We first note that $[xy, x, x, \dots, x]_k = x[y, x, x, \dots, x]_k$ and the non-zero elements Z^* of Z form a finite cyclic group with identity 1, say. Then 1 is also an identity of R . Let $x \neq 0 \in R$. If x is in Z , then x has an inverse. Suppose x is not in Z . Then we can find at least one y in R such that $[y, x] \neq 0$. In this case, if $[y, x, x, x]_k \neq 0$, then

$$0 \neq [xy, x, x, \dots, x]_k = x[y, x, x, \dots, x]_k,$$

$$x[y, x, x, \dots, x]_k^2 - [xy, x, x, \dots, x]_k^2 f(xy, y) \in Z$$

imply x has an inverse. Suppose $[y, x, x, \dots, x]_k = 0$. Let T denote the subring of R generated by x and xy . If T satisfies the k -th Engel condition, it must be commutative. This leads to $[x, y] = 0$ since x, xy are in T and T is an integral domain. This contradiction gives rise to the existence of some a and b in T

such that $[a, b, b, \dots, b]_k \neq 0$. By considering a and b we conclude that x has an inverse in this case also. So far we have proved that each nonzero element x of R has an inverse. This shows that R is a division ring. On the other hand, the division ring R satisfies the polynomial identity

$$([x, y, y, \dots, y]_k - [x, y, y, \dots, y]_k^2 f(x, y))z = z([x, y, y, \dots, y]_k - [x, y, y, \dots, y]_k^2 f(x, y)).$$

Hence R is finite dimensional over its center Z , which is finite Kaplansky (1948). It follows that R is a finite integral domain. Thus R is commutative by Wedderburn's theorem.

LEMMA 5. *Let R be an integral domain satisfying (1) with an infinite center Z . Then R is commutative.*

PROOF. Decompose $f(x, y)$ into homogeneous parts $\sum_i f_i(x, y)$ and let $t_i, i = 1, 2, \dots, n$, denote the degree of $f_i(x, y)$. Since Z has infinitely many elements, we can find $\lambda_1, \lambda_2, \dots, \lambda_n, \lambda_{n+1} \in Z$ such that the determinant

$$D = \begin{vmatrix} \lambda_1^{k+1} & \lambda_1^{t_1+2k+2} \dots & \lambda_1^{t_n+2k+2} \\ \lambda_2^{k+1} & \lambda_2^{t_1+2k+2} \dots & \lambda_2^{t_n+2k+2} \\ & 2 & \dots \\ \lambda_{n+1}^{k+1} & \lambda_{n+1}^{t_1+2k+2} \dots & \lambda_{n+1}^{t_n+2k+2} \end{vmatrix}$$

is non-zero. For any $\lambda \in Z$, we may replace x and y by λx and λy respectively in (1). Using the fact that $D \neq 0$ and R is an integral domain we obtain $D[x, y, y, \dots, y]_k \in Z$, and so $[x, y, y, \dots, y]_{k+1} = 0$ for all x, y in R . Thus R is commutative because it is an integral domain satisfying the $k + 1$ -st Engel condition Herstein (1962).

By combining Lemma 2, Lemma 4 and Lemma 5 we obtain Lemma 6

LEMMA 6. *Every prime ring satisfying (1) is commutative.*

LEMMA 7. *Let R be a prime ring satisfying the polynomial identity (2). Then R is an integral domain.*

PROOF. Let $x \neq 0, y$ and r be any elements of R such that $xy = 0$. From (2) we obtain $[[yrx, y, y, \dots, y]_k, y^m] = 0$ implying $y^{m+k+1}rx = 0$ for all r in R . Since R is prime and $x \neq 0$, it follows that $y^{m+k+1} = 0$ for all y in the right annihilator of x . Hence $y = 0$ by Lemma 1.1 of Herstein (1969).

LEMMA 8. *Let R be a prime ring satisfying (2). Then R is commutative.*

PROOF. From the preceding Lemma it follows that R is an integral domain. Then Posner's theorem, [Theorem 5.6 of McCoy (1964)] implies that R can be

embedded in a division ring R' satisfying the same identity as does R . The division ring R' satisfying (2) is commutative [Lemma 2, Ikeda-C. Koc (1974)]. Hence R is commutative, since it is a subring of R' .

3. Proof of the theorem

Let P be any prime ideal of R . Then the prime ring R/P is commutative by Lemmas 6 and 8. Hence each commutator $[x, y] = 0$ and so the commutator ideal $C(R)$ lies in P . Since P is an arbitrary prime ideal, $C(R)$ lies in the prime radical $P(R)$, thus proving (i). Since $P(R)$ is a nil ideal [Theorem 4.21 McCoy (1964)], to prove (ii) it is enough to show that $x' = 0$ implies x is in $P(R)$. For this, assume $x' = 0$. The commutative prime ring R/P does not contain nonzero nilpotent elements. So x lies in each prime ideal and therefore in $P(R)$, thus proving (ii). We have just proved $C(R)$ is in $P(R)$. It is well known that $P(R)$ lies in the Jacobson radical $J(R)$ of R . If $[x, y, y, \dots, y]_k^2 = [x, y, y, \dots, y]_k$, then $[x, y, y, \dots, y]_k$ would be an idempotent in $J(R)$ implying that $[x, y, y, \dots, y]_k = 0$ which proves (iii). Since $P(R)$ is nil and satisfies a polynomial identity, it is locally nilpotent [Theorem 5, Kaplansky (1948)].

4. Examples

The existence of a polynomial satisfying (3) with not necessarily integral coefficients which depend on a pair of elements of R need not imply the commutativity of R , even if R is a division ring. Therefore, some restrictions on the polynomial or on its coefficients are necessary in the hypothesis of the Theorem:

EXAMPLE 1. Let R denote the ring of real quaternions and for each $x, y \in R$, we define

$$f_{x,y}(x, y) = \begin{cases} [x, y]^{-1} & \text{if } [x, y] \neq 0 \\ 0 & \text{if } [x, y] = 0 \end{cases}$$

In R , (3) is satisfied by $k = 1$ and $f_{x,y}(x, y)$ defined above, which depends on x and y but does not have integral coefficients. Indeed R is not commutative.

To fix the polynomial as in (1) again need not, in general, imply the commutativity of the ring:

EXAMPLE 2. Let R denote the subring of the ring of all 3×3 matrices over the Galois field $\text{GF}(2)$ generated by e_{12}, e_{13}, e_{23} (or e_{21}, e_{31}, e_{32}) where e_{ij} , $ij = 1, 2, 3$, denotes the matrix with 1 at the (i, j) entry and zeros elsewhere. It is readily verified that $[x, y]^2 = 0$ and $xy = 0$ or $e_{13}(e_{31})$ and $e_{13}(e_{31}) \in Z$. Hence (1) is satisfied by any polynomial and $k = 1$. But R is indeed non-commutative.

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