

EVERY CURVE OF GENUS NOT GREATER THAN EIGHT LIES ON A $K3$ SURFACE

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Abstract. Let C be a smooth irreducible complete curve of genus $g \geq 2$ over an algebraically closed field of characteristic 0. An ample $K3$ extension of C is a $K3$ surface with at worst rational double points which contains C in the smooth locus as an ample divisor.

In this paper, we prove that all smooth curve of genera $2 \leq g \leq 8$ have ample $K3$ extensions. We use Bertini type lemmas and double coverings to construct ample $K3$ extensions.

§1. Introduction

Let C be a smooth irreducible complete curve of genus $g \geq 2$ over an algebraically closed field k of characteristic 0. An *ample $K3$ extension* of C is a $K3$ surface S with at worst rational double points which contains C in the smooth locus as an ample divisor. If C is contained in a smooth $K3$ surface, then we obtain an ample $K3$ extension by contracting all (-2) -curves disjoint from C .

The purpose of this paper is to show

MAIN THEOREM. *All smooth curves of genera $2 \leq g \leq 8$ have ample $K3$ extensions. Moreover, they have smooth ample extensions except the following cases;*

- $g = 6, 7, 8$ and $K_C = 2D$ where D is a g_{g-1}^2 , or
- $g = 8$ and $K_C = A + 2B$ where A is a g_4^1 and B is a g_5^1 .

In these exceptional cases, the canonical model $C \subset \mathbb{P}^{g-1}$ is contained in a weighted projective variety. Rational double points come from the singularities of the weighted projective variety (Lemma 2.6).

Since the dimension of the moduli space of curves of genus g is $3g - 3$ and the dimension of the moduli space of pairs (S, C) of a $K3$ surface S

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and a curve $C \subset S$ of genus g is $19 + g$, general smooth curves have no ample $K3$ extensions for $g \geq 12$. For $g = 10$, by [M4], general curves have no ample $K3$ extensions. For $g = 11, 9$, by [MM] and [M4], general curves have ample $K3$ extensions, but special cases are still unknown.

In [ELMS], D. Eisenbud, H. Lange, G. Martens, and F.-O. Schreyer studied curves of Clifford dimension r , genus $4r - 2$, degree $4r - 3$, and Clifford index $2r - 3$. They made an example of such a curve of Clifford dimension $r = 6$ which does not lie on any $K3$ surfaces. In [W], J. Wahl studied Gaussian map on a curve C , which is the map $\phi : \bigwedge^2 H^0(\omega_C) \rightarrow H^0(\omega_C^3)$, essentially defined by $f dz \wedge g dz \mapsto (fg' - f'g) dz^3$. And he showed that if ϕ is surjective then C does not lie on any $K3$ surface. An easiest example of a curve with surjective Gaussian map is a complete intersection of two quintic in \mathbb{P}^3 .

In Section 2, we prepare some lemmas to construct ample $K3$ extensions, namely, double covering and Bertini type lemmas. In Section 3, we study hyperelliptic curves, trigonal curves, and bielliptic curves, and construct $K3$ extensions which preserve the hyperelliptic pencils, trigonal pencils, and 2:1-morphisms onto the elliptic curves respectively by these lemmas. In Section 4, we construct $K3$ extensions of remaining curves.

NOTATION AND CONVENTIONS. For a smooth variety X , we denote by K_X the canonical divisor class of X and by $\omega_X := \mathcal{O}_X(K_X)$ the canonical line bundle. A g_d^r on a curve is a line bundle \mathcal{L} of degree d such that $h^0(\mathcal{L}) \geq r + 1$.

§2. How to make a $K3$ extension

2.1. $K3$ extension as a double cover

Let X be a scheme and \mathcal{L} a line bundle over X . A global section $s \in H^0(X, \mathcal{L}^{-2})$ yields an algebra structure on $\mathcal{O}_X \oplus \mathcal{L}$. Then $\pi : Y = \text{Spec}(\mathcal{O}_X \oplus \mathcal{L}) \rightarrow X$ is a double covering branched along $B = (s)_0$.

LEMMA 2.1. *Let X be a smooth regular surface (i.e., smooth complete surface with $H^1(X, \mathcal{O}_X) = 0$). Let B be a smooth member of $|-2K_X|$. Then the double cover $\pi : Y \rightarrow X$ branched over B , obtained as above, is a smooth $K3$ surface.*

Proof. The double covering Y is obviously smooth, and has the irregularity

$$h^1(Y, \mathcal{O}_Y) = h^1(X, \mathcal{O}_X \oplus \mathcal{O}_X(K_X)) = h^1(X, \mathcal{O}_X) + h^1(X, \mathcal{O}_X(K_X)) = 0$$

by our assumption. Since the canonical divisor class K_Y of Y is linearly equivalent to $\pi^*K_X + R$ where R is the ramification divisor class, and R is linearly equivalent to $\pi^*\mathcal{O}_X(-K_X)$ in this situation, we conclude that K_Y is linearly equivalent to zero. \square

2.2. Bertini type lemmas for smooth extension

Let S be a surface in \mathbb{P}^g and C a hyperplane section of S . Then we have a commutative diagram;

$$\begin{array}{ccc} S & \subset & \mathbb{P}^g \\ & \cup & \cup \text{ hyperplane section} \\ S \cap \mathbb{P}^{g-1} = C & \subset & \mathbb{P}^{g-1}. \end{array}$$

LEMMA 2.2. ([R, 3.3]) *Assume that $S \subset \mathbb{P}^g$ is a surface with at worst rational double points. Then the following conditions are equivalent;*

- (i) S is a K3 surface embedded by a very ample complete linear system.
- (ii) Every smooth hyperplane section is a canonical curve of genus g .
- (iii) One smooth hyperplane section is a canonical curve of genus g .

According to this lemma, we only need to show that the extension S is smooth or S has at worst rational double points as its singularities for our main theorem. We shall often use Bertini’s theorem which guarantees us the existence of smooth extensions; if Λ is a base point free linear system on a smooth variety X , then every general member of Λ is smooth ([GH, p. 137]). The same holds true under the weaker assumption that there exists a member which is smooth at p for every base point p of Λ .

LEMMA 2.3. (Bertini type lemma for complete linear sections) *Let Λ be a linear system of dimension n on X . Assume that the base locus B of the system Λ is smooth of codimension $n + 1$, i.e., B is a complete intersection of basis divisors of Λ , then general members of Λ are smooth.*

Proof. General members D of a linear system Λ are smooth away from the base loci. Since B is smooth complete intersection of D and n divisors of Λ , D is also smooth around B . \square

LEMMA 2.4. (Bertini type lemma for two divisors) *Let W be a smooth divisor and \mathcal{L} a line bundle on X . Let $D \subset W$ be a smooth member of the linear system $|\mathcal{L}|_W$. Assume that $H^1(X, \mathcal{L}(-W)) = 0$ and the linear system $|\mathcal{L}(-W)|$ is base point free. Then D has a smooth extension, i.e., there is a smooth divisor $\tilde{D} \in |\mathcal{L}|$ on X which satisfies $\tilde{D} \cap W = D$.*

Proof. Since $H^1(X, \mathcal{L}(-W)) = 0$, the restriction map

$$H^0(X, \mathcal{L}) \longrightarrow H^0(W, \mathcal{L}|_W)$$

is surjective, and therefore there is a divisor $\overline{D} \in |\mathcal{L}|$ such that $\overline{D} \cap W = D$.

Consider the linear subsystem

$$\Lambda = \langle \overline{D}, |\mathcal{L}(-W)| + W \rangle \subset |\mathcal{L}|$$

generated by \overline{D} and the members of $|\mathcal{L}(-W)| + W$. Since $|\mathcal{L}(-D)|$ is base point free, the base locus of Λ is $\overline{D} \cap W = D$. By Bertini's theorem, there is a divisor $\tilde{D} \in \Lambda$ which is smooth away from $D = \tilde{D} \cap W$. Since $D = \tilde{D} \cap W$ is smooth complete intersection, \tilde{D} is smooth around D , hence smooth everywhere. □

LEMMA 2.5. (Bertini type lemma for more divisors) *Let D_1, \dots, D_s , and W be divisors on X . Assume that $C := W \cap D_1 \cap \dots \cap D_s$ is a smooth complete intersection, and $D_i \cap Bs|D_i - W| = \emptyset$ for $i = 1, \dots, s$. Then there exist divisors $\tilde{D}_1, \dots, \tilde{D}_s$ such that $\tilde{D}_i \sim D_i$ for $i = 1, \dots, s$, $S := \tilde{D}_1 \cap \dots \cap \tilde{D}_s$ is smooth, and $S \cap W = C$.*

Proof. We prove the case $s = 2$. Induction goes for $s \geq 2$.

First, consider the linear system

$$\Lambda_1 = \langle D_1, |D_1 - W| + W \rangle \subset |D_1|$$

on X . Since $D_1 \cap Bs|D_1 - W| = \emptyset$, we have $Bs(\Lambda_1) = D_1 \cap W$. Let \tilde{D}_1 be a general member of Λ_1 , then \tilde{D}_1 is smooth away from $D_1 \cap W = \tilde{D}_1 \cap W$.

Next, consider the linear system

$$\Lambda_2 = ((D_2, |D_2 - W| + W)|_{\tilde{D}_1} \subset |(D_2|_{\tilde{D}_1})|$$

on \tilde{D}_1 . Since $D_2 \cap Bs|D_2 - W| = \emptyset$, we have $Bs(\Lambda_2) = \tilde{D}_1 \cap D_2 \cap W = C$ which is a smooth complete intersection. Therefore a general member $D'_2 \in \Lambda_2$ satisfies $D'_2 \cap W = \tilde{D}_1 \cap D_2 \cap W = C$ and is smooth away from $\text{Sing}(\tilde{D}_1) \cup Bs(\Lambda_2) \subset (W \cap \tilde{D}_1) \cup C$. Since D'_2 meets W only at C , D'_2 is smooth away from C .

It is clear, from the definition of Λ_2 , that there exist an extension $\tilde{D}_2 \in |D_2|$ of D'_2 , i.e., $\tilde{D}_2 \cap \tilde{D}_1 = D'_2$. Since $S = \tilde{D}_1 \cap \tilde{D}_2 = D'_2$ is smooth away from $C = W \cap \tilde{D}_1 \cap \tilde{D}_2$, S is smooth everywhere. □

A weighted projective variety $X \subset \mathbb{P}(a_1 : a_2 : \dots : a_n)$ is said to be *quasi-smooth* if its affine cone $\text{Cone}(X) \subset \mathbb{A}(a_1 : a_2 : \dots : a_n) = \mathbb{A}^n$ is smooth outside the vertex $0 \in \mathbb{A}^n$. If a weighted projective variety X is quasi-smooth, then X has at worst cyclic quotient singularities.

LEMMA 2.6. (Bertini type lemma for weighted projective varieties) *Let X be a quasi-smooth weighted projective variety. Assume that C is a smooth complete intersection of divisors in X , and satisfies the same assumptions as in Lemma 2.3, 2.4, or 2.5.*

Then there is an extension S of C which has at worst cyclic quotient singularities. Moreover, if C is smooth curve and X is Gorenstein, then the extension S has at worst rational double points.

Proof. Since C is smooth, its affine cone $\text{Cone}(C)$ is smooth outside the vertex. By Bertini type lemmas, we can construct an extension $\text{Cone}(S)$ of $\text{Cone}(C)$, which is smooth outside the vertex. Therefore S has at worst cyclic quotient singularities.

If C is a curve and X is Gorenstein, then the extension S is a surface with at worst Gorenstein cyclic quotient singularities. Therefore these singularities are rational double points. □

§3. Curves with very special linear systems

The main tool in this section is the rational normal scrolls $\mathbb{F} = \mathbb{F}(a_1, \dots, a_n)$. We denote by H (instead of M in [R]) the pull back of the hyperplane section divisor class by the natural projective morphism $\mathbb{F} \rightarrow \mathbb{P}^N$ ($N = \sum(a_i + 1) - 1$), and by L the fiber (class) of the projection $\mathbb{F} \rightarrow \mathbb{P}^1$. As in [R], we denote by F_i the i -th coordinate divisor $\{x_i = 0\}$, which is a divisor of class $H - a_iL$.

3.1. Hyperelliptic cases

Let C be a smooth hyperelliptic curve of genus g . Then the canonical divisor K_C defines a two-to-one map $\Phi_{|K_C|}$ from C onto a rational normal curve \overline{C} of degree $g - 1$ in \mathbb{P}^{g-1} . The morphism $\Phi_{|K_C|} : C \rightarrow \overline{C} (\subset \mathbb{P}^{g-1})$ is branched over $2g + 2$ points P_1, \dots, P_{2g+2} . Since C is smooth, these points are distinct.

We consider a commutative diagram

$$\begin{array}{ccccc}
 & & \mathbb{F} & \hookrightarrow & \mathbb{P}^g \\
 & & \cup & & \cup \\
 C & \xrightarrow{2:1} & \overline{C} & \hookrightarrow & \mathbb{P}^{g-1},
 \end{array}$$

where \mathbb{F} is the two-dimensional rational normal scroll of degree $g - 1$ and $\overline{\mathcal{C}}$ is embedded into \mathbb{F} as a hyperplane section. The canonical divisor of \mathbb{F} is $K_{\mathbb{F}} = -2H + (g - 3)L$. We take

$$\begin{cases} \mathbb{F}(\frac{g-1}{2}, \frac{g-1}{2}) & \text{if } g \text{ is odd,} \\ \mathbb{F}(\frac{g}{2}, \frac{g}{2} - 1) & \text{if } g \text{ is even.} \end{cases}$$

as \mathbb{F} .

PROPOSITION 3.1. *If $2 \leq g \leq 9$, there is a smooth curve $B \in |-2K_{\mathbb{F}}|$ which passes through P_1, \dots, P_{2g+2} .*

Proof. Since $-2K_{\mathbb{F}} \sim 4H - 2(g - 3)L$ and $\overline{\mathcal{C}} \sim H$, we have an exact sequence

$$0 \longrightarrow \mathcal{O}_{\mathbb{F}}(3H - 2(g - 3)L) \longrightarrow \mathcal{O}_{\mathbb{F}}(-2_{\mathbb{F}}) \longrightarrow \mathcal{O}_{\overline{\mathcal{C}}}(-2K_{\mathbb{F}}) \longrightarrow 0.$$

Since the degree of $\mathcal{O}_{\overline{\mathcal{C}}}(-2K_{\mathbb{F}})$ is

$$\begin{aligned} (4H - 2(g - 3)L)H &= 4H^2 - 2(g - 3)HL \\ &= 4(g - 1) - 2(g - 3) = 2g + 2 \end{aligned}$$

on $\overline{\mathcal{C}} \cong \mathbb{P}^1$, we have $\mathcal{O}_{\overline{\mathcal{C}}}(-2K_{\mathbb{F}}) \cong \mathcal{O}_{\mathbb{P}^1}(2g + 2)$ and $P_1 + \dots + P_{2g+2}$ is a smooth member of the system $|\mathcal{O}_{\overline{\mathcal{C}}}(-2K_{\mathbb{F}})|$.

If g is odd, we have

$$\begin{aligned} H^1(\mathbb{F}, \mathcal{O}_{\mathbb{F}}(3H - 2(g - 3)L)) &= H^1(\mathbb{P}^1, (\text{Sym}^3(\mathcal{O}_{\mathbb{P}^1}(\frac{g-1}{2}) \oplus \mathcal{O}_{\mathbb{P}^1}(\frac{g-1}{2})))(-2(g - 3))) \\ &= H^1(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}(\frac{9-g}{2})^{\oplus 4}), \end{aligned}$$

and this vanishes for $g \leq 11$. Moreover, since

$$3H - 2(g - 3)L = 3(H - \frac{g-1}{2}L) + (\frac{9-g}{2})L,$$

the linear system $|3H - 2(g - 3)L|$ is base point free for $g \leq 9$. Therefore there is a smooth extension $B \in |-2K_{\mathbb{F}}|$ of $P_1 + \dots + P_{2g+2} \in |-2K_{\mathbb{F}}|_{\overline{\mathcal{C}}}$ by Lemma 2.4.

If g is even, since

$$\begin{aligned} \pi_*\mathcal{O}_{\mathbb{F}}(3H - 2(g - 3)L) &\cong \\ \mathcal{O}_{\mathbb{P}^1}(6 - \frac{g}{2}) \oplus \mathcal{O}_{\mathbb{P}^1}(5 - \frac{g}{2}) \oplus \mathcal{O}_{\mathbb{P}^1}(4 - \frac{g}{2}) \oplus \mathcal{O}_{\mathbb{P}^1}(3 - \frac{g}{2}), \end{aligned}$$

$H^1(\mathbb{F}, \mathcal{O}_{\mathbb{F}}(3H - 2(g - 3)L))$ vanishes for $g \leq 8$, and the linear system $|3H - 2(g - 3)L|$ is base point free for $g \leq 6$. Therefore, by Lemma 2.4, there is a smooth extension $B \in |-2K_{\mathbb{F}}|$ for $g = 2, 4, 6$.

If $g = 8$, the system $|3H - 2(g - 3)L| = |3H - 10L|$ has $F_1 \sim H - 4L$ as its base component, and the system $|3H - 10L - F_1| = |2(H - 3L)|$ is base point free. We may assume that P does not intersect F_1 , since there is an action of $PGL(1)$ on $\overline{C} \cong \mathbb{P}^1$. Let $B \subset \mathbb{F}$ be an extension of the 18 branch points $P = P_1 + \dots + P_{18} \subset \overline{C}$ such that $F_1 \not\subset B$. We now consider the linear system

$$\begin{aligned} \Lambda &= \langle B, |3H - 10L| + \overline{C} \rangle \\ &= \langle B, |2(H - 3L)| + F_1 + \overline{C} \rangle. \end{aligned}$$

By Lemma 2.4, we can choose B so general that B is smooth outside $B \cap F_1$. Since $F_1 \cong \mathbb{P}^1$ is smooth, general members of Λ are smooth at $B \cap F_1$. Hence general members of Λ are smooth everywhere. \square

3.2. Trigonal cases

Let C be a smooth non-hyperelliptic trigonal curve of genus $g \geq 5$. Then C is contained in a 2-dimensional rational normal scroll $\mathbb{F} = \mathbb{F}(a_1, a_2)$ of degree $a_1 + a_2 = g - 2$, and C is a divisor linearly equivalent to $3H - (g - 4)L$. By [S], we have a bound

$$\frac{2g - 2}{3} \geq a_1 \geq a_2 \geq \frac{g - 4}{3}.$$

If $g = 5$, C is contained in $\mathbb{F} = \mathbb{F}(2, 1)$ and C is a divisor of class $3H - L$. There is a commutative diagram

$$\begin{array}{ccc} \tilde{\mathbb{F}} := \mathbb{F}(1, 1, 1) & \xhookrightarrow{\tilde{\varphi}} & \mathbb{P}^5 \\ \cup & & \cup \\ C \subset \mathbb{F} := \mathbb{F}(2, 1) & \xhookrightarrow{\varphi} & \mathbb{P}^4, \end{array}$$

and \mathbb{F} is a divisor linearly equivalent to the hyperplane section \tilde{H} on $\tilde{\mathbb{F}}$. Since $2\tilde{H} - \tilde{L} = 2(\tilde{H} - \tilde{L}) + \tilde{L}$, the system $|2\tilde{H} - \tilde{L}|$ is base point free. We have

$$\begin{aligned} H^1(\tilde{\mathbb{F}}, \mathcal{O}_{\tilde{\mathbb{F}}}(2\tilde{H} - \tilde{L})) &= H^1(\mathbb{P}^1, \text{Sym}^2(\mathcal{O}_{\mathbb{P}^1}(1)^{\oplus 3})(-1)) \\ &= H^1(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}(1)^{\oplus 6}) = 0, \end{aligned}$$

and therefore by Lemma 2.4, there is a smooth surface S of class $3\tilde{H} - \tilde{L}$ in $\tilde{\mathbb{F}}$. Thus C has a smooth $K3$ extension.

For a smooth trigonal curve of genus g , what we have to do is;

- (1) classify the type (a_1, a_2) of \mathbb{F} and find a type (b_1, b_2, b_3) of $\tilde{\mathbb{F}}$ suitable for extension,
- (2) check the vanishing of $H^1(\mathbb{P}^1, (\text{Sym}^2 \tilde{\mathcal{E}})(4 - g))$, and
- (3) check the freeness of the system $|2\tilde{H} - (g - 4)\tilde{L}|$.

where $\tilde{\mathcal{E}} = \mathcal{O}_{\mathbb{P}^1}(b_1) \oplus \mathcal{O}_{\mathbb{P}^1}(b_2) \oplus \mathcal{O}_{\mathbb{P}^1}(b_3)$.

The table below is the answer to (1). The condition (2) holds for $5 \leq g \leq 9$, and (3) holds for $g = 5, 6, 8$.

Table 1: trigonal curves

genus	\mathbb{F}	$\tilde{\mathbb{F}}$	base locus	vanishing of H^1
5	(2, 1)	(1, 1, 1)	\emptyset	$H^1(\mathbb{P}^1, (\text{Sym}^2 \tilde{\mathcal{E}})(-1)) = 0$
6	(3, 1) (2, 2)	(2, 1, 1)	\emptyset	$H^1(\mathbb{P}^1, (\text{Sym}^2 \tilde{\mathcal{E}})(-2)) = 0$
7	(4, 1) (3, 2)	(2, 2, 1)	$F_1 \cap F_2$	$H^1(\mathbb{P}^1, (\text{Sym}^2 \tilde{\mathcal{E}})(-3)) = 0$
8	(4, 2) (3, 3)	(2, 2, 2)	\emptyset	$H^1(\mathbb{P}^1, (\text{Sym}^2 \tilde{\mathcal{E}})(-4)) = 0$
9	(5, 2) (4, 3)	(3, 2, 2) (3, 3, 1)	F_1 $F_1 \cap F_2$	$H^1(\mathbb{P}^1, (\text{Sym}^2 \tilde{\mathcal{E}})(-5)) = 0$
10	(6, 2) (5, 3) (4, 4)	(4, 2, 2) (3, 3, 2) (4, 3, 1)	F_1 $F_1 \cap F_2$ $F_1 \cap F_2$	$h^1(\mathbb{P}^1, (\text{Sym}^2 \tilde{\mathcal{E}})(-6)) = 1$ $h^1(\mathbb{P}^1, (\text{Sym}^2 \tilde{\mathcal{E}})(-6)) = 0$ $h^1(\mathbb{P}^1, (\text{Sym}^2 \tilde{\mathcal{E}})(-6)) = 1$

For $g = 7$, since $H^1(\tilde{\mathbb{F}}, \mathcal{O}_{\tilde{\mathbb{F}}}(2\tilde{H} - 3\tilde{L})) = 0$, there is an extension $S' \in |3\tilde{H} - 3\tilde{L}|$ of C . The linear pencil

$$\Lambda = \langle S', |2\tilde{H} - 3\tilde{L}| + \mathbb{F} \rangle$$

has the base locus $Bs\Lambda = (S' \cap \mathbb{F}) \cup (S' \cap Bs|2\tilde{H} - 3\tilde{L}|) = C \cup (S' \cap F_1 \cap F_2)$.

We can choose the linear embedding $\mathbb{F} \subset \mathbb{F}(2, 2, 1)$ so that C does not contain $F_1 \cap F_2 \cap \mathbb{F}$. Therefore S' does not contain $F_1 \cap F_2 \cong \mathbb{P}^1$. Since S'

and $F_1 \cap F_2$ have the intersection number

$$\begin{aligned} (S')(F_1)(F_2) &= (3\tilde{H} - 3\tilde{L})(\tilde{H} - 2\tilde{L})^2 \\ &= 3\tilde{H}^3 - 15\tilde{H}^2\tilde{L} \\ &= 3 \cdot 5 - 15 \cdot 1 = 0, \end{aligned}$$

we conclude that $S' \cap F_1 \cap F_2$ is empty. Hence a general member S of Λ is smooth by Lemma 2.4. Thus C has a smooth K3 extension S .

3.3. Bielliptic cases

Let $C \subset \mathbb{P}^{g-1}$ be a smooth bielliptic canonical curve of genus g . By definition, there is a two-to-one morphism $f : C \rightarrow E$ from C onto an elliptic curve E . For any point p in E , set $f^*(p) = q_1 + q_2$, and define the line l_p in \mathbb{P}^{g-1} as follows;

$$l_p = \begin{cases} \text{the line passing through } q_1 \text{ and } q_2 & \text{if } q_1 \neq q_2, \\ \text{the tangent line to } C \text{ at } q_1 & \text{if } q_1 = q_2. \end{cases}$$

Let p, p' be points in E and set $f^*(p) = q_1 + q_2$ and $f^*(p') = q'_1 + q'_2$. Then

$$h^0(C, \mathcal{O}_C(q_1 + q_2 + q'_1 + q'_2)) = h^0(E, \mathcal{O}_E(p + p')) = 2,$$

and therefore q_1, q_2, q'_1 , and q'_2 are all lie in a 2-plane by the geometric version of Riemann-Roch theorem ([ACGH]). Since C is non-degenerate, this implies that all the lines l_p 's pass through a common point $p \in \mathbb{P}^{g-1} \setminus C$. The projection from p gives a two-to-one map $\pi_p : C \rightarrow E_{g-1}$ from C onto an elliptic curve $E_{g-1} \subset \mathbb{P}^{g-2}$ of degree

$$\deg E_{g-1} = \frac{1}{2} \deg C = g - 1.$$

Every elliptic curves $E := E_{g-1}$ of degree $g-1$ in \mathbb{P}^{g-2} , where $5 \leq g-1 \leq 8$, is smoothly extended to del Pezzo surfaces $S := S_{g-1}$ of degree $g - 1$ in \mathbb{P}^{g-1} . The extension S is the blowing-up $\pi : S \rightarrow \mathbb{P}^2$ of \mathbb{P}^2 at $9 - (g - 1)$ points, and the elliptic curve E is the strict transform of a nonsingular cubic curve which passes through all the center of the blowing-up.

Let $B = B_1 + \dots + B_{2g-2}$ be the branch locus of $\pi_p : C \rightarrow E$, and $R = R_1 + \dots + R_{2g-2}$ be the ramification locus. Then $K_C \sim \pi_p^*(K_E) + R \sim R$ since E is elliptic. We distinguish the ambient spaces \mathbb{P}^{g-1} of C and S ,

and denote them by \mathbb{P}_1^{g-1} and \mathbb{P}_2^{g-1} respectively. Let H_i ($i = 1, 2$) be the hyperplane divisor classes of \mathbb{P}_i^{g-1} . Then $H_1|_C = K_C \sim R$ and hence

$$2H_2|_E \sim \pi_{p*}H_1|_C \sim \pi_{p*}R \sim B.$$

On the other hand, we have $H_2|_E \sim -K_S|_E$, thus we conclude that

$$B \sim (-2K_S)|_E.$$

PROPOSITION 3.2. *There is a smooth curve $X \in |-2K_S|$ on S which passes through B_1, \dots, B_{2g-2} .*

Proof. Let $h \in \text{Pic}(S)$ be the pull-back of a line of \mathbb{P}^2 and $e = e_1 + \dots + e_{10-g}$ be the sum of all the exceptional divisors. Since $K_S \sim -3h + e$ and $E \sim 3h - e \sim -K_S$, there is an exact sequence

$$0 \longrightarrow \mathcal{O}_S(-K_S) \longrightarrow \mathcal{O}_S(-2K_S) \longrightarrow \mathcal{O}_E(-2K_S) \longrightarrow 0.$$

Since $-K_S \sim H_2|_S$, the system $|-K_S| = |\mathcal{O}_S(H_2)| = |\mathcal{O}_S(1)|$ is base point free and $H^1(\mathcal{O}_S(-K_S)) = H^1(\mathcal{O}_S(1))$ vanishes. Therefore, by Lemma 2.5, $B \in |(-2K_S)|_E|$ extends to a smooth curve $X \in |-2K_S|$. □

§4. Curves without very special linear systems

4.1. Genus ≤ 5

Every curve of genus 2 is hyperelliptic, so we have done before. Every non-hyperelliptic curve of genus 3 is a plane quartic, every non-hyperelliptic curve of genus 4 is a complete intersection of hypersurfaces of degree three and four in \mathbb{P}^3 , and every non-hyperelliptic non-trigonal curve of genus 5 is a complete intersection three quadric hypersurfaces. Hence they are $K3$ by Lemma 2.5.

4.2. Genus 6

Let C be a smooth non-hyperelliptic, non-trigonal, non-bielliptic canonical curve of genus 6. There are two cases remaining;

1. C is not plane quintic, and
2. C is smooth plane quintic.

Case 1. In this case, by [M2], there is a commutative diagram

$$\begin{array}{ccc} G = \text{Grass}(5, 2) & \subset & \mathbb{P}^9 \\ & \cup & \cup \\ C \subset S_5 = G \cap \mathbb{P}^5 & \subset & \mathbb{P}^5, \end{array}$$

where S_5 is a quintic del Pezzo surface and C is a hyperquadric section of S_5 .

Let H_1, H_2, H_3, H_4 be the hyperplanes and Q the hyperquadric in \mathbb{P}^9 such that $C = G \cap H_1 \cap H_2 \cap H_3 \cap H_4 \cap Q$. Then the systems $|(H_i - H_1)|_G| = |\mathcal{O}_G|$ and $|(Q - H_1)|_G| = |\mathcal{O}_G(1)|$ are base point free and $H^1(\mathcal{O}_G) = H^1(\mathcal{O}_G(1)) = 0$. Therefore there are extensions $\tilde{H}_2, \tilde{H}_3, \tilde{H}_4$ and \tilde{Q} such that $S := G \cap \tilde{H}_2 \cap \tilde{H}_3 \cap \tilde{H}_4 \cap \tilde{Q}$ is a smooth surface. Thus C has a smooth K3 extension.

Case 2. If C has a g_5^2 , then there is an isomorphism from C onto a smooth plane quintic $C_5 = \{f(x_0, x_1, x_2) = 0\} \subset \mathbb{P}^2$, and the canonical model is the image of C_5 under the Veronese embedding $\mathbb{P}^2 \hookrightarrow \mathbb{P}^5$.

Let $L = \{l(x_0, x_1, x_2) = 0\} \subset \mathbb{P}^2$ be a line which meets C_5 transversally at 5 distinct points. Let $S \rightarrow \mathbb{P}^2$ be the blowing-up at $L \cap C_5$, and \bar{L} and \bar{C}_5 be the strict transform of L and C_5 respectively. Then $\bar{L} + \bar{C}_5$ is a smooth member of $|-2K_S|$, and therefore the double covering $X \rightarrow S$ is the smooth K3 surface which contains a curve isomorphic to C .

Remark. The pull back of L is a (-2) -curve on the smooth K3 surface X . Collapsing this and we get a singular ample K3 extension $\tilde{X} = \{l(x)y^2 + f_5(x) = 0\}$ in the weighted projective space $\mathbb{P}(1 : 1 : 1 : 2)$.

4.3. Genus 7

Let C be a smooth non-hyperelliptic non-trigonal non-bielliptic curve of genus 7. There are three cases remaining;

1. C has a g_4^1 but no g_6^2 ,
2. C has a g_6^2 but is not bielliptic.
3. C is non-tetragonal (i.e., C has no g_4^1 's)

For Case 3, our main theorem is immediate from the Bertini type lemma 2.3 and the Mukai linear section theorem.

THEOREM 4.1. ([M3]) *A curve C of genus 7 is a transversal linear section of the 10-dimensional orthogonal Grassmannian $X \subset \mathbb{P}^{15}$ if and only if C is not tetragonal.*

Case 1. Let α be a g_4^1 and $\beta := \omega_C \alpha^{-1}$ its Serre adjoint. Then β is a g_8^3 by the Riemann-Roch theorem. Since C has no g_6^2 the morphism $\Phi_{|\beta|} : C \rightarrow \mathbb{P}^3 = \mathbb{P}^*H^0(\beta)$ is an embedding and the multiplication map

$$\mu : H^0(\alpha) \otimes H^0(\beta) \longrightarrow H^0(\omega_C)$$

is surjective by [M3]. Hence we have a linear embedding

$$\mu^* : \mathbb{P}^6 = \mathbb{P}^*(H^0(\omega_C)) \longrightarrow \mathbb{P}^*(H^0(\alpha) \otimes H^0(\beta))$$

and there is a commutative diagram

$$\begin{array}{ccc} \mathbb{P}^1 \times \mathbb{P}^3 & \xrightarrow{\text{Segre}} & \mathbb{P}^7 \\ \Phi_{|\alpha|} \times \Phi_{|\beta|} \uparrow & & \uparrow \mu^* \\ C & \xrightarrow{\text{canonical}} & \mathbb{P}^6. \end{array}$$

By [M3], C is a complete intersection of divisors of bidegrees $(1, 1)$, $(1, 2)$ and $(1, 2)$ in $\mathbb{P}^1 \times \mathbb{P}^3$. Let $W = (\mathbb{P}^1 \times \mathbb{P}^3) \cap \mu^*(\mathbb{P}^6)$ be the divisor of bidegree $(1, 1)$ and D_1, D_2 the divisors of degree $(1, 2)$ such that $C = W \cap D_1 \cap D_2$. Since $|D_i - W| = |\mathcal{O}_{\mathbb{P}^1 \times \mathbb{P}^3}(0, 1)|$ is base point free for $i = 1, 2$ and $H^1(\mathcal{O}_{\mathbb{P}^1 \times \mathbb{P}^3}(0, 1)) = 0$, by Lemma 2.5, we have extensions \tilde{D}_1 and \tilde{D}_2 of D_1 and D_2 respectively such that $S = \tilde{D}_1 \cap \tilde{D}_2$ is a smooth surface. Thus C has a $K3$ extension.

Case 2. Let α be a g_6^2 and $\beta = \omega_C \alpha^{-1}$ its Serre adjoint. Then β is also a g_6^2 by the Riemann-Roch theorem.

If α is not isomorphic to β , we have a commutative diagram

$$\begin{array}{ccc} \mathbb{P}^2 \times \mathbb{P}^2 & \xrightarrow{\text{Segre}} & \mathbb{P}^8 \\ \Phi_{|\alpha|} \times \Phi_{|\beta|} \uparrow & & \uparrow \mu^* \\ C & \xrightarrow{\text{canonical}} & \mathbb{P}^6. \end{array}$$

By [M3], all morphisms in the diagram are embeddings, and C is a complete intersection of divisors of bidegrees $(1, 1)$, $(1, 1)$ and $(2, 2)$ in $\mathbb{P}^2 \times \mathbb{P}^2$. Let H_1 and H_2 be divisors of bidegree $(1, 1)$ and D a divisor of bidegree $(2, 2)$ such that $C = H_1 \cap H_2 \cap D$. Then the systems $|H_2 - H_1| = |\mathcal{O}_{\mathbb{P}^2 \times \mathbb{P}^2}|$ and $|D - H_1| = |\mathcal{O}_{\mathbb{P}^2 \times \mathbb{P}^2}(1, 1)|$ are base point free and $H^1(\mathcal{O}_{\mathbb{P}^2 \times \mathbb{P}^2}) = H^1(\mathcal{O}_{\mathbb{P}^2 \times \mathbb{P}^2}(1, 1)) = 0$. Therefore, by Lemma 2.5, we have extensions \tilde{H}_2 and \tilde{D} such that $S := \tilde{H}_2 \cap \tilde{D}$ is a smooth $K3$ extension of C .

If α is isomorphic to β , then by [M3] the canonical embedding $C \hookrightarrow \mathbb{P}^6$ factors through the weighted projective space $\mathbb{P}(1 : 1 : 1 : 2)$, and C is a complete intersection of two divisors D_3 and D_4 in $\mathbb{P}(1 : 1 : 1 : 2)$ of degree 3 and 4 respectively. By Lemma 2.6, we can extend these divisors to \tilde{D}_3 and \tilde{D}_4 in $\mathbb{P}(1 : 1 : 1 : 2 : 2)$ of degree 3 and 4 such that $S = \tilde{D}_3 \cap \tilde{D}_4$ has at

worst cyclic quotient singularities. These singularities are Gorenstein since $\mathbb{P}(1 : 1 : 1 : 2 : 2)$ is so. Thus S has only rational double points as its singularities and S is an ample $K3$ extension of C .

4.4. Genus 8

Let C be a non-hyperelliptic, non-trigonal, non-bielliptic smooth curve of genus 8. We have one of the following;

1. C has a g_4^1 but has no g_6^2 ,
2. C has a g_6^2 but is not bielliptic,
- 3-1. C has a g_7^2 α such that $\alpha^2 \not\cong \omega_C$, but C has no g_4^1 ,
- 3-2. C has a g_7^2 α such that $\alpha^2 \cong \omega_C$, but C has no g_4^1 , or
4. C has no g_7^2 .

For Case 4, it is immediate from Bertini type lemma 2.3 and the Mukai linear section theorem.

THEOREM 4.2. ([M2]) *A curve C of genus 8 is a transversal linear section of the 8-dimensional Grassmannian variety $Gr(2, 6) \subset \mathbb{P}^{14}$ if and only if it has no g_7^2*

Case 1. In this case we have

THEOREM 4.3. ([M1], [MI]) *The canonical curve C is the complete intersection of four divisors in $\mathbb{P}^1 \times \mathbb{P}^4$ of bidegrees $(1, 1)$, $(1, 1)$, $(1, 2)$ and $(0, 2)$.*

Let X be the unique irreducible divisor of bidegree $(0, 2)$ in $\mathbb{P}^1 \times \mathbb{P}^4$ which contains C . Let D'_1 , D'_2 , and E' be the divisors on X of bidegrees $(1, 1)$, $(1, 1)$ and $(1, 2)$ respectively, such that $C = D'_1 \cap D'_2 \cap E'$ in X . Since $|E' - D'_2|$ and $|D'_1 - D'_2|$ are base point free linear systems and since $H^1(\mathcal{O}_X(D'_1 - D'_2)) = 0$, there are divisors D'_0 and E'_0 of bidegrees $(1, 1)$ and $(1, 2)$ such that $S = D'_0 \cap E'_0$ is smooth away from the singular locus $\text{Sing}(X)$ of X .

If X is $\mathbb{P}^1 \times \mathbb{P}(1 : 1 : 2 : 2)$, then $\dim \text{Sing}(X) = 2$ and we can choose D'_0 and E'_0 so general that $S = D'_0 \cap E'_0$ has at worst ordinally double points as its singularities.

If X is $\mathbb{P}^1 \times \text{Cone}(\mathbb{P}^1 \times \mathbb{P}^1 \subset \mathbb{P}^3)$ or $\mathbb{P}^1 \times (\text{smooth quadric})$, then $\dim \text{Sing}(X) \leq 1$ and therefore a general intersection $S = D'_0 \cap E'_0$ does not meet $\text{Sing}(X)$. Hence S is smooth.

Case 2. By [M1], the canonical curve C is the complete intersection of two divisors in X of classes $|-K_X|$ and $|\frac{1}{2}K_X|$, where X is a blowing-up of \mathbb{P}^3 at a one point. Then $|\frac{1}{2}K_X|$ is very ample and therefore C is a hyperplane section of D . Since $|\frac{1}{2}K_X| = |2h - e|$ is base point free, C has a smooth extension $\tilde{D} \in |-K_X|$ by Lemma 2.5.

Case 3. Let α be a g_7^2 and $\beta = \omega_C \alpha^{-1}$ its Serre adjoint. By the Riemann-Roch theorem, β is also a g_7^2 .

Case 3-1. If α is not isomorphic to β , then by [MI], the canonical curve C is the complete intersection of three divisors in $\mathbb{P}^2 \times \mathbb{P}^2$ of bidegrees $(1, 1)$, $(1, 2)$ and $(2, 1)$.

Let $W = (\mathbb{P}^2 \times \mathbb{P}^2) \cap \mathbb{P}^7$ be the unique divisor of bidegree $(1, 1)$, and D_1, D_2 divisors of bidegrees $(1, 2)$ and $(2, 1)$ respectively such that $C = W \cap D_1 \cap D_2$. Then $|D_i - W|$ is base point free, $H^0(D_i - W) \neq 0$, and $H^1(D_1 - D_2) = 0$. Therefore, by the Lemma 2.5, there are divisors \tilde{D}_1, \tilde{D}_2 of bidegrees $(1, 2)$ and $(2, 1)$ such that $S := \tilde{D}_1 \cap \tilde{D}_2$ is smooth and $\tilde{D}_1 \cap \tilde{D}_2 \cap W = C$. Thus S is a smooth $K3$ extension of C .

Case 3-2. If α is isomorphic to β , then the canonical embedding factors through a weighted projective space

$$\mathbb{P}(1 : 1 : 1 : 2 : 2) = \mathbb{P}(1^3 : 2^2) = \text{Proj } k[x_0, x_1, x_2, y_0, y_2],$$

where $\{x_0, x_1, x_2\}$ is a basis of $H^0(\alpha)$ and $\{y_0, y_1, \text{Sym}^2(x)\}$ that of $H^0(\alpha^2) = H^0(\omega_C)$.

$$C \hookrightarrow \mathbb{P}(1^3 : 2^2) \hookrightarrow \mathbb{P}(2^6 : 2^2) \cong \mathbb{P}^7 = \mathbb{P}^*H^0(\omega_C).$$

THEOREM 4.4. ([MI]) *The canonical model C is the complete linear section of the weighted Grassmann $G := Gr(2, (\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{3}{2}, \frac{3}{2})) \subset \mathbb{P}(1^3 : 2^6 : 3^1)$,*

$$[C \subset \mathbb{P}(1^3 : 2^2)] = [G \subset \mathbb{P}(1^3 : 2^6 : 3^1)] \cap \mathbb{P}(1^3 : 2^2).$$

Since C is smooth, its affine cone

$$\text{Cone}(C) = \text{Cone}(G) \cap \mathbb{A}(1 : 1 : 1 : 2 : 2) \subset \mathbb{A}(1^3 : 2^6 : 3^1),$$

is smooth away from the vertex. By the Bertini type lemma 2.6, there is a general 5-dimensional plane $\mathbb{P}(1 : 1 : 1 : 2 : 2 : 2)$ containing $\mathbb{P}(1 : 1 : 1 : 2 : 2)$ such that $S := G \cap \mathbb{P}(1 : 1 : 1 : 2 : 2)$ has at worst rational double points. Therefore C has an ample $K3$ extension.

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