

## AUTOMORPHISMS OF HOMOGENEOUS $C^*$ -ALGEBRAS

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For a homogeneous  $C^*$ -algebra we identify the quotient of the automorphism group by the locally unitary automorphisms as a subgroup of the homeomorphisms of the spectrum. We sharpen a known criterion on the spectrum that ensures that all locally unitary automorphisms of the algebra are inner.

In [7] Phillips and Raeburn proved the existence of two short exact sequences

$$1 \rightarrow \text{Inn}(A) \rightarrow \text{Aut}_{C_0(X)}(A) \xrightarrow{\phi} H^2(X, \mathbb{Z})$$

and

$$1 \rightarrow \text{Aut}_{C_0(X)}(A) \rightarrow \text{Aut}(A) \xrightarrow{\psi} \text{Hom}_\delta(X)$$

for a separable continuous trace  $C^*$ -algebra  $A$  with spectrum  $X$ . Under the additional assumption that  $A$  is stable, they concluded that both  $\phi$  and  $\psi$  are surjective. In this note we investigate what happens when  $A$  is  $n$ -homogeneous,  $n \in \mathbb{N}$ . Since a homogeneous  $C^*$ -algebra has continuous trace the interesting question is what can be said about the ranges of  $\phi$  and  $\psi$ .

In Theorem 1 we identify the range of  $\psi$  as the subgroup of homeomorphisms of  $X$  which fix the isomorphism class of the canonical fibre-bundle defined by  $A$ , thus obtaining a complete analogue of Phillips and Raeburn's result for stable algebras.

For  $\phi$  it is a priori known that the range is contained in the

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torsion subgroup of  $H^2(X, \mathbb{Z})$  when  $X$  is compact. We sharpen this to the effect that  $\phi$  maps into elements whose order divides  $n$ , whether  $X$  is compact or not. Combining these results it follows that the quotient group  $\text{Aut}(A)/\text{Inn}(A)$  can be identified in most cases.

Let  $A$  be a  $C^*$ -algebra with primitive ideal spectrum  $X$ . Assume  $X$  is Hausdorff in the Jacobson topology.

For each  $x \in X$ , let  $A(x)$  denote the quotient  $C^*$ -algebra  $A/x$ , and for each  $a \in A$ , let  $a(x)$  denote the image of  $a$  in  $A(x)$ .

Consider the disjoint union  $B = \bigcup_{x \in X} A(x)$  with the obvious projection

$$p : B \rightarrow X .$$

Sets of the form

$$\{b \in B \mid p(b) \in U, \|b - a(p(b))\| < \epsilon\}$$

where  $U \subseteq X$  is open,  $a \in A$  and  $\epsilon > 0$ , constitute a base for a topology on  $B$  such that the triple  $(p, B, X)$  becomes a  $C^*$ -bundle [3]. For each  $a \in A$  we can define a cross-section  $f_a : X \rightarrow B$  by

$$f_a(x) = a(x), \quad x \in X .$$

By results of Fell [2] the map  $A \ni a \rightarrow f_a$  defines a  $*$ -isomorphism of  $A$  onto the cross-sections of  $(p, B, X)$  which vanish in norm at infinity.

In the case that  $A$  is a  $n$ -homogeneous  $C^*$ -algebra, it follows from a result of Fell [2], that there is an open covering  $\{V_i\}_{i \in I}$  of  $X$  and homeomorphisms

$$\phi_i : V_i \times M_n(\mathbb{C}) \rightarrow p^{-1}(V_i)$$

such that the maps  $\phi_{i,x} \equiv \phi_i(x, \cdot)$  define  $*$ -isomorphisms of  $M_n(\mathbb{C})$  onto  $p^{-1}(x) = A(x), x \in V_i$ . So in this case  $(p, B, X)$  is a locally trivial fibre bundle with group  $\text{Aut}(M_n(\mathbb{C}))$  and fibre space  $M_n(\mathbb{C})$ . This is the canonical fibre bundle associated with  $A$ .

Locally trivial fibre bundles over  $X$  with group  $\text{Aut}(M_n(\mathbb{C}))$  and fibre space  $M_n(\mathbb{C})$  are classified by the cohomology set

$$H^1(X, \text{Aut}(M_n(\mathbb{C}))_{\mathcal{C}})$$

where  $\text{Aut}(M_n(\mathbb{C}))_{\mathcal{C}}$  is the sheaf of germs of continuous  $\text{Aut}(M_n(\mathbb{C}))$ -valued functions on  $X$  (see [4], pp. 37-41).

If  $A$  is a  $n$ -homogeneous  $C^*$ -algebra the corresponding element  $\eta(A) \in H^1(X, \text{Aut}(M_n(\mathbb{C}))_{\mathcal{C}})$  is represented by  $\{V_i, \alpha_{ij}\}_{i \in I}$ , where the functions

$$\alpha_{ij} : V_i \cap V_j \rightarrow \text{Aut}(M_n(\mathbb{C}))$$

are given by  $\alpha_{ij}^x = \phi_{i,x}^{-1} \phi_{j,x}$ ,  $x \in V_i \cap V_j$ .

The group of homeomorphisms of  $X$ ,  $\text{Hom}(X)$ , acts on  $H^1(X, \text{Aut}(M_n(\mathbb{C}))_{\mathcal{C}})$  in the obvious way, that is if  $\{U_i, \beta_{ij}\}_{i \in J}$  represents an element  $\eta$  of  $H^1(X, \text{Aut}(M_n(\mathbb{C}))_{\mathcal{C}})$  and  $\psi \in \text{Hom}(X)$ , then the action of  $\psi$  takes  $\eta$  to the element  $\psi^*(\eta)$  represented by  $\{\psi(U_i), \beta_{ij} \circ \psi^{-1}\}_{i \in J}$ .

Given an element  $\eta \in H^1(X, \text{Aut}(M_n(\mathbb{C}))_{\mathcal{C}})$ , we let  $\text{Hom}_{\eta}(X)$  denote the subgroup of  $\text{Hom}(X)$  consisting of homeomorphisms that fixes  $\eta$ , that is

$$\text{Hom}_{\eta}(X) = \{\psi \in \text{Hom}(X) \mid \psi^*(\eta) = \eta\}.$$

If  $\alpha \in \text{Aut}(A)$ , we let  $\rho(\alpha)$  denote the homeomorphism on the primitive ideal spectrum  $X$  induced by  $\alpha$ . Then  $\rho$  defines a homomorphism

$$\rho : \text{Aut}(A) \rightarrow \text{Hom}(X).$$

If we let  $LU(A)$  denote the locally unitary automorphisms of  $A$ , [8], we have

**THEOREM 1.** *For a  $n$ -homogeneous  $C^*$ -algebra  $A$  with primitive ideal spectrum  $X$ , we have an exact sequence of groups:*

$$1 \rightarrow LU(A) \rightarrow \text{Aut}(A) \xrightarrow{\rho} \text{Hom}_{\eta(A)}(X) \rightarrow 1.$$

**Proof.** That  $\ker \rho = LU(A)$  follows from ([9], Theorem 3.4) since  $\ker \rho$  consists of the  $\pi$ -inner automorphisms. So it suffices to identify  $\text{Hom}_{\eta(A)}(X)$  as the range of  $\rho$ .

To prove  $\text{ran}(\rho) \subseteq \text{Hom}_{\eta(A)}(X)$ , we must show that

$$\{\rho(\alpha)(V_i), \alpha_{ij} \circ \rho(\alpha)^{-1}\}_{i \in I}$$

represents  $\eta(A)$  in  $H^1(X, \text{Aut}(M_n(\mathbb{C}))_c)$ , where  $\alpha$  is an arbitrary automorphism of  $A$ .

With the notation introduced above,  $\alpha$  induces a map  $\tilde{\alpha} : B \rightarrow B$  defined on  $A(x) = p^{-1}(x)$  by

$$\tilde{\alpha}(a(x)) = \alpha(a)(\rho(\alpha)(x)), \quad a \in A, \quad x \in X.$$

Then  $\tilde{\alpha}$  defines a homeomorphism on  $B$  such that  $p \circ \tilde{\alpha} = \rho(\alpha) \circ p$ .

Let  $M_{(i,j)} = V_i \cap \rho(\alpha)(V_j)$ ,  $i, j \in I$ . Define

$\beta_{(i,j)} : M_{(i,j)} \rightarrow \text{Aut}(M_n(\mathbb{C}))$  by

$$\beta_{(i,j)}^x = \phi_{i,x}^{-1} \circ \tilde{\alpha} \circ \phi_{j, \rho(\alpha)^{-1}(x)}$$

Then  $\beta_{(i,j)}$  is continuous and

$$\alpha_{ik} = \beta_{(i,j)}(\alpha_{jl} \circ \rho(\alpha)^{-1})\beta_{(k,l)}^{-1}$$

on  $M_{(i,j)} \cap M_{(k,l)}$ . By the definition of  $H^1(X, \text{Aut}(M_n(\mathbb{C}))_c)$  this gives the desired conclusion, that is  $\text{ran}(\rho) \subseteq \text{Hom}_{\eta(A)}(X)$ .

Now let  $\psi \in \text{Hom}_{\eta(A)}(X)$ . We will construct a  $*$ -automorphism  $\alpha_\psi$  of  $A$  such that  $\alpha_\psi$  induces  $\psi$  on  $X$ , that is  $\rho(\alpha_\psi) = \psi$ .

Since  $\psi \in \text{Hom}_{\eta(A)}(X)$  there is a common refinement  $\{M_p\}_{p \in J}$  of  $\{V_i\}_{i \in I}$  and  $\{\psi(V_i)\}_{i \in I}$ , functions  $\tau, \sigma : J \rightarrow I$  such that

$$M_p \subseteq V_{\tau(p)}, \quad M_p \subseteq \psi(V_{\sigma(p)}), \quad p \in J$$

and continuous maps  $\beta_p : M_p \rightarrow \text{Aut}(M_n(\mathbb{C}))$  such that

$$(1) \quad \alpha_{\tau(p)\tau(q)} = \beta_p(\alpha_{\sigma(p)\sigma(q)} \circ \psi^{-1})\beta_q^{-1} \text{ on } M_p \cap M_q.$$

Let  $f : X \rightarrow B$  be a cross-section. Define a family  $\{f_i\}_{i \in I}$  of continuous maps

$$f_i : V_i \rightarrow M_n(\mathbb{C})$$

by  $f_i(x) = \phi_{i,x}^{-1}(f(x))$ ,  $x \in V_i$ .

Then

$$(2) \quad f_i = \alpha_{ij}(f_j) \text{ on } V_i \cap V_j .$$

Now define continuous functions  $g_p : M_p \rightarrow M_n(\mathbb{C})$  by

$$g_p = \beta_p(f_{\sigma(p)} \circ \psi^{-1}) .$$

Using (1) and (2) one sees that

$$(3) \quad \alpha_{\tau(p)\tau(q)}(g_q) = g_p \text{ on } M_p \cap M_q .$$

Next define maps  $\tilde{g}_i : V_i \rightarrow M_n(\mathbb{C})$  by

$$\tilde{g}_i(x) = \alpha_{i\tau(p)}^x(g_p(x)) , \quad x \in M_p \cap V_i .$$

It follows from the cocycle relation of the  $\alpha_{ij}$ 's and (3), that the  $\tilde{g}_i$ 's are well-defined and that  $\alpha_{ij}(\tilde{g}_j) = \tilde{g}_i$  on  $V_i \cap V_j$ .

Hence we can define a cross-section

$$\alpha_\psi(f) : X \rightarrow B$$

by

$$\alpha_\psi(f)(x) = \phi_{i,x}(\tilde{g}_i(x)) , \quad x \in V_i .$$

In this way we have defined a map  $\alpha_\psi : A \rightarrow A$  which is clearly an injective \*-homomorphism. We need to prove that  $\alpha_\psi$  is surjective.

So let  $h : X \rightarrow B$  be a cross-section and construct continuous maps  $h_i : V_i \rightarrow M_n(\mathbb{C})$  as above such that

$$(4) \quad \alpha_{ij}(h_j) = h_i \text{ on } V_i \cap V_j .$$

Then consider the functions  $f_i : V_i \rightarrow M_n(\mathbb{C})$  defined by

$$f_i(x) = \alpha_{i\sigma(p)}^x(\beta_p^{\psi(x)-1}(h_{\tau(p)}(\psi(x)))) , \quad x \in \psi^{-1}(M_p) \cap V_i .$$

Using the cocycle relation of the  $\alpha_{ij}$ 's together with (1) and (4) one sees that the  $f_i$ 's are well-defined and that

$$\alpha_{ij}(f_j) = f_i \text{ on } V_i \cap V_j .$$

If  $f$  is the cross-section constructed from the  $f_i$ 's, then  $\alpha_\psi(f) = h$ . Hence  $\alpha_\psi$  is surjective, that is  $\alpha_\psi$  is an automorphism of  $A$ .

Since  $\alpha_\psi(f)(x) = 0$  if and only if  $f(\psi^{-1}(x)) = 0$ , we conclude that the homeomorphism of  $X$  induced by  $\alpha_\psi$  is  $\psi$ , that is  $\rho(\alpha_\psi) = \psi$ .  $\square$

The proof of the surjectivity of  $\rho$  in the preceding argument is based on [7], proof of Theorem 2.22. All we have done is to make explicit the identifications used by Phillips and Raeburn.

Next we turn to the inclusion  $\text{Inn}(A) \subseteq \text{LU}(A)$  of the inner automorphisms  $\text{Inn}(A)$  of  $A$  into the locally unitary automorphisms. According to [7], a theorem of Knus [6] implies that  $\text{Inn}(A) = \text{LU}(A)$  when  $X$  is compact and  $H^2(X, \mathbb{Z})$  is torsion-free. We prove a slightly stronger result which applies in situations where  $X$  is non-compact and in some situations where  $H^2(X, \mathbb{Z})$  does contain torsion elements.

Let  $G$  be a discrete group and denote by  $\text{Inn}(G, A)$  and  $\text{LU}(G, A)$  the inner and the locally unitary actions of  $G$  on  $A$ , respectively, [8]. Even though  $G$  need not be abelian we can consider the dual group  $\hat{G}$  of characters on  $G$ .  $\hat{G}$  is compact in the topology of point-wise convergence and we can construct the cohomology set  $H^1(X, \hat{G}_c)$ , where  $\hat{G}_c$  denotes the sheaf of germs of  $\hat{G}$ -valued continuous functions on  $X$ . Since  $\hat{G}$  is abelian  $H^1(X, \hat{G}_c)$  is in fact an abelian group and we can consider this group as a pointed set with the trivial element as the base point. Note that also  $\text{Inn}(G, A)$  and  $\text{LU}(G, A)$  are pointed sets with the trivial action as base point in both cases.

**THEOREM 2.** *Let  $A$  be an  $n$ -homogeneous  $C^*$ -algebra with primitive ideal spectrum  $X$  and  $G$  a discrete group. Then there is an exact sequence of pointed sets*

$$0 \rightarrow \text{Inn}(G, A) \rightarrow \text{LU}(G, A) \xrightarrow{\mu} H^1(X, \hat{G}_c)$$

such that  $\mu$  maps into the elements of  $H^1(X, \hat{G}_c)$  whose order divides  $n$ .

**Proof.** Let  $\alpha : G \rightarrow \text{Aut}(A)$  be a locally unitary action. This means that, if  $M(A)$  denotes the multiplier algebra of  $A$ , then we can choose

an open cover  $\{N_i\}_{i \in I}$  of  $X$  and maps

$$U_i : G \rightarrow M(A)$$

such that  $\alpha_g(a)(x) = U_i(g)(x)a(x)U_i(g)(x)^*$ ,  $a \in A$ ,  $x \in N_i$ ,  $g \in G$  and  $G \ni g \mapsto U_i(g)(x)$  is a unitary representation of  $G$  for each  $x \in N_i$ .

Note that we have tacitly extended the quotient map  $A \rightarrow A(x)$  to the multiplier algebra  $M(A)$ . However, by shrinking the  $N_i$ 's and multiplying the  $U_i$ 's with suitable central elements of  $A$ , we can assume that  $U_i(g) \in A$  for all  $i \in I$ ,  $g \in G$ .

Define maps  $\chi_{ij}^g : N_i \cap N_j \rightarrow \mathbb{T}$  by

$$(5) \quad \chi_{ij}^g(x)1_x = U_i(g)(x)^*U_j(g)(x), \quad x \in N_i \cap N_j, \quad g \in G.$$

Here  $1_x$  denotes the unit in  $A(x) \simeq M_n(\mathbb{C})$ . Then  $G \ni g \mapsto \chi_{ij}^g(x)$  defines a character  $\phi_{ij}(x)$ , and, using the traces for instance, one sees that the corresponding map

$$\phi_{ij} : N_i \cap N_j \rightarrow \hat{G}$$

is continuous. Since  $\phi_{ij}\phi_{jk} = \phi_{ik}$  on  $N_i \cap N_j \cap N_k$  we conclude that  $\{\phi_{ij}\}_{i,j \in I}$  defines an element  $\mu(\alpha) \in H^1(H, \hat{G}_c)$ .

It is not hard to see that the element  $\mu(\alpha)$  depends only on the locally unitary action  $\alpha$  and not on any of the choices we have made.

Let  $\text{Det}_x$  denote the determinant map on  $A(x) \simeq M_n(\mathbb{C})$ . Then (5) yields that

$$(6) \quad (\chi_{ij}^g(x))^n = \overline{\text{Det}_x(U_i(g)(x))} \text{Det}_x(U_j(g)(x))$$

for all  $g \in G$ ,  $x \in N_i \cap N_j$ .

Since  $\text{Det}_x$  is continuous, it follows that we have continuous maps  $\phi_i : N_i \rightarrow \hat{G}$  given by

$$\phi_i(x)(g) = \text{Det}_x(U_i(g)(x)), \quad x \in N_i, \quad g \in G.$$

Then (6) tells us that  $n\eta(A) = 0$  in  $H^1(X, \hat{G}_c)$ .

To complete the proof it suffices to show that  $\mu(\alpha) = 0$  implies  $\alpha \in \text{Inn}(G, A)$ .

But if  $\mu(\alpha) = 0$ , we can shrink the  $N_i$ 's and assume that  $\phi_{i,j}(x) = \chi_j(x)^{-1} \chi_i(x)$ ,  $x \in N_i \cap N_j$ , where  $\chi_i : N_i \rightarrow \hat{G}$  are continuous maps,  $i \in I$ .

Consider  $A$  as consisting of cross-sections of the canonical bundle associated with  $A$ .

Then define multipliers  $U_g$  of  $A$  by

$$(U_g a)(x) = \chi_i(g)(x) U_i(g)(x) a(x)$$

and

$$(a U_g)(x) = \chi_i(g)(x) a(x) U_i(g)(x), \quad g \in G, \quad x \in N_i, \quad a \in A.$$

Since  $\chi_i(g) U_i(g) = \chi_j(g) U_j(g)$  over  $N_i \cap N_j$ , the  $U_g$ 's are well-defined.

Then  $G \ni g \mapsto U_g$  is a unitary representation of  $G$  as multipliers of  $A$  such that  $\alpha_g = A d U_g$ ,  $g \in G$ . Hence we conclude that  $\alpha \in \text{Inn}(G, A)$ .  $\square$

**COROLLARY 3.** *Let  $A$  be a  $n$ -homogeneous  $C^*$ -algebra with paracompact spectrum  $X$ . Then there is an exact sequence of groups*

$$1 \rightarrow \text{Inn}(A) \rightarrow LU(A) \xrightarrow{\mu} H^2(X, \mathbb{Z})$$

*such that  $\mu$  maps into the elements of  $H^2(X, \mathbb{Z})$  whose order divides  $n$ .*

**Proof.** Apply Theorem 2 with  $G = \mathbb{Z}$  and use that  $H^2(X, \mathbb{Z}) \cong H^1(X, \mathbb{T}_c)$ . The only thing to check is that the map  $\mu$  of Theorem 2 induces a group homomorphism  $\mu : LU(A) \rightarrow H^2(X, \mathbb{Z})$  in this case.  $\square$

**COROLLARY 4.** *If  $A$  is a  $n$ -homogeneous  $C^*$ -algebra with paracompact spectrum  $X$  such that  $H^2(X, \mathbb{Z})$  contains no nontrivial element of order dividing  $n$ , then*

$$\text{Aut}(A)/\text{Inn}(A) \cong \text{Hom}_{\mathbb{Z}}(X, \mathbb{Z}).$$

Proof. Combine Theorem 1 and Corollary 3.  $\square$

COROLLARY 5. If  $X$  is a locally compact paracompact space and  $n \in \mathbb{N}$  an integer such that no nontrivial element of  $H^2(X, \mathbb{Z})$  has an order dividing  $n$ , then every automorphism  $\alpha$  of  $C_0(X, M_n(\mathbb{C}))$  is given by a pair  $(u, \psi)$ , where  $u$  is a unitary in  $C_b(X, M_n(\mathbb{C}))$  and  $\psi$  is a homeomorphism of  $X$ , that is

$$\alpha(f)(x) = u(x)f(\psi(x))u(x)^* , f \in C_0(X, M_n(\mathbb{C})) , x \in X .$$

Proof. Combine Theorem 1 and 2 and use that the sequence of Theorem 1 splits in this case, together with the fact that  $C_b(X, M_n(\mathbb{C}))$  is the multiplier algebra of  $C_0(X, M_n(\mathbb{C}))$ .  $\square$

In [5], Example (d), Kadison and Ringrose gave examples of  $\pi$ -inner (that is locally unitary) automorphisms of  $C(PU(n), M_n(\mathbb{C}))$  which are not inner.  $PU(n)$  is the projective unitary group  $U(n)/\mathbb{T} \simeq \text{Aut}(M_n(\mathbb{C}))$  and  $H^2(PU(n), \mathbb{Z}) \simeq \mathbb{Z}_n$  for all  $n \in \mathbb{N}$  [1]. It follows from Corollary 3 that all locally unitary automorphisms of  $C(PU(n), M_m(\mathbb{C}))$  are inner whenever  $n$  and  $m$  are mutually prime.

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