#### PAPER

# The nominal/FM Yoneda Lemma

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### Abstract

This paper explores versions of the Yoneda Lemma in settings founded upon FM sets. In particular, we explore the lemma for three base categories: the category of nominal sets and equivariant functions; the category of nominal sets and all finitely supported functions, introduced in this paper; and the category of FM sets and finitely supported functions. We make this exploration in ordinary, enriched and internal settings. We also show that the finite support of Yoneda natural transformations is a *theorem for free*.

Keywords: Yoneda Lemma; nominal sets; FM sets

## 1. Introduction

The purpose of this paper is to establish some instances of the Yoneda Lemma in settings involving both nominal sets and FM sets. We, therefore, assume readers are fluent in the basics of category theory (Lane 1998) and in particular the Yoneda Lemma. We also assume that readers have some knowledge of basic enriched and internal category theory, although we have included an Appendix devoted to the notation we shall use. In relation to the enriched setting, we will work with both the *weak* and *strong* enriched Yoneda Lemmas as presented by Kelly in (1982). We also assume readers are familar with nominal and FM sets; for an excellent and comprehensive introduction to nominal and FM sets, see the monograph by Pitts (2013) and Gabbay's thesis (http://www.gabbay.org.uk/papers.html#thesis). While the Yoneda Lemma is the central focus of the paper, we would like to begin with background material that provides a basis and some motivation for our results.

This paper originates from thinking about Nominal Equational Logic introduced in Clouston and Pitts (2007) and also Gabbay and Mathijssen (2009). (Note that we are not referring to the system Clouston 2011). Let us recall some of the basic ingredients of Nominal Equational Logic (NEL), with the notation below based on Figure 5 of Clouston and Pitts (2007). NEL is a simple type theory that provides equational reasoning (over algebraic expressions). There are three forms of judgement, although in the referenced Figure 5, one judgement form is written down in terms of another, so it appears as though there are just two judgements. One form is that of freshness judgements a # M in side conditions which assert that the atom a is fresh for the expression M (see, for example, a vital side condition of the (ATM-INTRO) rule): NEL expressions M are elements of FM sets, and the (syntactic) freshness judgement above is made in this context. In addition, there is a formal relational judgement a # M = M', which encodes M semantically equals M, and indirectly (the third form) the atom a is semantically fresh for M via the equation for reflexivity. One can then give denotations to expressions in the category of FM sets, which we abbreviate to  $\mathcal{FM}$   $\mathcal{Set}$ , with the deduction system of NEL being sound and complete.

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The author along with Frank Nebel introduced the Nominal Lambda Calculus NLC in Crole and Nebel (2013) (again, no deep knowledge is required for reading this paper). NLC is a (dependent) type theory that extends Nominal Equational Logic with higher order functions, much as the lambda calculus LC extends 'standard' equational EL. Now in Crole (1996), Crole proves (amongst other things) that LC is a conservative extension of EL by using logical relations categorical gluing; for functional gluing see, for example, Lambek and Scott (1986) (or Carboni and Johnstone 1995; Moerdijk 1982 for topos specific background).

Let us say a little more about the ideas at the heart of gluing proofs of such conservative extensions. Suppose that *Th* is an EL theory and that *Th'* is the LC theory generated by taking the ground types and function symbols of *Th'* to be those of *Th*. Let  $E(x: \gamma): \gamma'$  be a *Th'* expression where  $\gamma, \gamma'$  are ground types. The statement that *Th'* is a conservative extension of *Th* means that there is a *Th* expression  $M(x: \gamma): \gamma'$  for which E = M is provable in *Th'*; roughly speaking such *E* can always be  $\beta\eta$ -reduced to *M*.

Let us write  $\mathscr{C}(Th)$  for the classifying category of Th (see, for example, Crole 1993 or Johnstone 2002). One may prove that Th' is a conservative extension of Th categorically by establishing that there is a full embedding  $I: \mathscr{C}(Th) \to \mathscr{C}(Th')$ . If so, then  $\mathscr{C}(Th)(\gamma, \gamma') \cong_1 \mathscr{C}(Th')(I\gamma, I\gamma')$ . Thus, a morphism  $E: I\gamma \to I\gamma'$  in the classifying category  $\mathscr{C}(Th')$  is formally equal to IM where  $M: \gamma \to \gamma'$  (and E = IM means E = M in Th').

Suppose that  $\mathscr{D}$  is a category, with objects A and B, and that  $\mathscr{V}$  a cartesian closed category such as  $\mathscr{Set}$  or  $\mathscr{WCPO}$ . The Yoneda Embedding is a functor  $Y : \mathscr{D}^{op} \to \mathscr{VD}$  where  $Y : A \mapsto (Y^A : \mathscr{D} \to \mathscr{V})$ . Crole has shown (Crole 1993, 1996) that the existence of  $\cong_1$  can be reduced to showing that the functor category  $\mathscr{VD}$  is also a ccc and that  $\mathscr{D}(A, B) \cong_2 \mathscr{VD}(Y^A, Y^B)$ . Now, isomorphisms such as  $\cong_2$ , and the cartesian closure of functor categories like  $\mathscr{VD}$ , can be established using instances of the (enriched) Yoneda Lemma.

Thus, to develop an FM version of gluing, we would like to have versions of the Yoneda Lemma and cartesian closure when  $\mathscr{V}$  is

- Nom the category of nominal sets and equivariant functions (Pitts 2003, 2013);
- *FM Nom* the category of nominal sets and all finitely supported functions, introduced in this paper; and
- FM Set the category of FM sets (Clouston 2011, 2014) and finitely supported functions,

where there are inclusions  $\mathcal{N}om \hookrightarrow \mathcal{FM} \ \mathcal{N}om \hookrightarrow \mathcal{FM} \ \mathcal{Set}$ . The first is a luff subcategory, the second a full subcategory.

This paper studies the Yoneda Lemma for these categories. In working with our future applications, we found that we needed to perform various concrete computations, which were only enabled by unravelling the abstract details of the enriched category theory. It seemed interesting to provide a short summary of the results.

In more detail: In Section 2, we summarise our basic notation; and we recall that both  $\mathcal{FM}$  Nom and  $\mathcal{FM}$  Set are incomplete. Incompleteness is important since a strong Yoneda Lemma requires completeness. We also look at cartesian closure of the  $\mathcal{V}$  categories. In Sections 3 and 4, we present versions of the weak and strong Yoneda Lemma. In Section 5, we study some results that follow from the weak and strong Yoneda Lemmas, and further, although there is no strong Yoneda Lemma for  $\mathcal{FM}$  Nom and  $\mathcal{FM}$  Set, each has an internal version. In Section 6, we prove the cartesian closure of the categories which we require in nominal gluing, by applying our Yoneda results. In Section 7 we conclude.

### 2. Some Notation and a Routemap

In this section, we summarise the notation we use, and review a few known results that help to provide a routemap for our results about the Yoneda Lemma.

## 2.1 Notation

We introduce some basic notation. Suppose that  $f: X \to Y$  is a function between (ZF or FM) sets. We may write  $f(x \in X) \in Y$  instead of f(x) to indicate the source set for x and target set for f(x). We occasionally use the notation  $f: x \mapsto f(x)$ . Let  $X' \subseteq X$  be a subset. We shall write  $f_{|X'}$  for the function restriction  $X' \to Y$  whose graph is that of  $f \cap (X' \times Y)$ . If, further, the image im(f) of f is a subset of  $Y' \subseteq Y$ , then we sometimes write  $f_{|X'}: X' \to Y'$  to indicate this. If  $x \in X'$  we often write just f(x) instead of  $f_{|X'}(x)$ .

Let  $\mathbb{A} \stackrel{\text{def}}{=} \{a_1, \ldots, a_k, \ldots\}$  be an enumerated infinite set of atoms (names) (Gabbay and Pitts 2002). We write  $\pi, \pi'$  or similar for any permutation on  $\mathbb{A}$  with finite domain; and  $\tau, \tau'$  for transpositions. *Perm* denotes the set of such permutations (equivalently those generated by transpositions  $\tau_{a,b} = (a b)$ ). The composition of  $\pi$  and  $\pi'$ , with  $\pi'$  applied first, is denoted by  $\pi \circ \pi'$  or  $\pi\pi'$ . If  $X = (|X|, \cdot)$  is a nominal or FM set, we write  $\pi \cdot x$  for the action of  $\pi$  on x; we sometimes abuse notation and write  $\pi \cdot (x \in X)$  for the action on x if we wish to explicitly alert the reader to the set X of which x is a member. The space of finitely supported functions from (nominal or FM sets) X to Y is denoted by  $X \Rightarrow_{fs} Y$ . If X is an FM set, and  $x \in X$ , we write supp(x) for the support of x, and a # x ( $\tau_{a,b} \# x$ ) to denote that  $a \notin supp(x)$  ( $a, b \notin supp(x)$ ). We work with the standard definition of support:  $S \subseteq \mathbb{A}$  supports  $x \in X$  just in case

$$(\forall \pi)((\forall a \in S)(\pi \cdot a = a) \Longrightarrow \pi \cdot x = x)$$

or equivalently  $(\forall a, b \in \mathbb{A})(a, b \notin S \Longrightarrow \tau_{a,b} \cdot x = x)$ . Clearly for some  $y \in Y$ , supp(y) supports  $x \in X$  just in case  $(\forall a, b \in \mathbb{A})(\tau_{a,b} \# y \Longrightarrow \tau_{a,b} \cdot x = x)$ . We write  $X_{es}$  for the set (in fact nominal subset) of emptily supported elements of X. Of course  $|X_{es}| = |X|_{es} \stackrel{\text{def}}{=} \{x \in X \mid (\forall \pi)(\pi \cdot x = x)\}$ . If S is a set, we write  $S < \infty$  as a notation for S is finite. We will make use of the following trivial lemma (easy proof omitted).

**Lemma 1.** Suppose that  $X = (|X|, \cdot)$  is a nominal set, and  $\phi : |X| \cong_{\operatorname{Set}} S : \psi$  is an isomorphism (bijection) of sets. Then S is also a nominal set with the canonical permutation action  $\pi * s \stackrel{\text{def}}{=} \phi(\pi \cdot \psi(s))$ , where we have  $\operatorname{supp}(s) = \operatorname{supp}(\psi(s) \in X)$ , and further  $\Phi : X \cong_{\operatorname{Nom}} (S, *) : \Psi$ .

#### 2.2 FM Nom and FM Set are not complete

Recall that the strong Yoneda Lemma, for any  $\mathscr{V}$ -enriched functor  $F: \mathscr{C} \to \mathscr{V}^{er}$  where  $\mathscr{V}^{er}$  is  $\mathscr{V}$  enriched over itself, *stipulates that*  $\mathscr{V}$  *is complete*. However, it is well known that  $\mathscr{FM}$   $\mathscr{Set}$  is not complete; see, for example, Gabbay (*http://www.gabbay.org.uk/papers.html#thesis*). Arguably, the fundamental idea behind the proof goes all the way back to the original work of Mostowski (1939); the very same idea applies to  $\mathscr{FM}$   $\mathscr{N}om$ . However, to make our paper self-contained, we outline the incompleteness of  $\mathscr{FM}$   $\mathscr{N}om$ .

First, let us consider how products are defined in  $\mathcal{N}om$ . Let *I* be any (indexing) set. Then the product  $\prod_{i \in I} A_i$  consists of families  $(a_i \in A_i \mid i \in I)$  with finite support, where the permutation action on the family is pointwise. Indeed, suppose we are given a family of morphisms  $f_i: X \to A_i$  for each  $i \in I$ . Since in  $\mathcal{N}om$  each morphism  $f_i$  is equivariant, we have  $supp(f_i(x)) \subseteq supp(x)$ , with supp(x) finite. Thus, the family  $(f_i(x) \mid x \in X)$  is an element of the product object and so we

may define a universal morphism  $h: X \to \prod_{i \in I} A_i$  by  $h(x) \stackrel{\text{def}}{=} (f_i(x) \mid x \in X)$  with  $pr_i \circ h = f_i$  for each  $i \in I$ .

Crucially, the assertion  $supp(f_i(x)) \subseteq supp(x)$  depends on the equivariance of the  $f_i$ . In  $\mathcal{FM}$  Nom, we know only that morphisms are finitely supported, and so it is not clear that (such) a universal morphism, for (such) a product object, would be well defined. Now, of course, there might be an alternative construction of product objects in  $\mathcal{FM}$  Nom. However, this is not the case.

**Proposition 2.**  $\mathcal{FM}$  Nom is not complete: in fact it does not have products. A proof for  $\mathcal{FM}$  Set is analogous. Nom is complete.

*Proof.* We assume, for a contradiction, that  $\mathscr{FM}$  Nom is complete. Consider  $P \stackrel{\text{def}}{=} \prod_{i \in \omega} \mathbb{A}$  (that is we take the product of  $\omega$  copies of  $\mathbb{A}$ ) with projections  $pr_i: P \to \mathbb{A}$ . Note that, by definition, all projection maps  $pr_i$  must be finitely supported. We now recursively select atoms from  $\mathbb{A}$  such that  $a_1 \notin supp(pr_1)$  and for i > 1 we pick  $a_i \notin \bigcup_{j \leq i} supp(pr_j) \cup \{a_j \mid j < i\}$ . Hence, we have infinitely many *distinct* atoms  $a_i$ , which satisfy  $\forall j \in \omega. \forall i \geq j.a_i \# pr_j$ .

Take morphisms  $\hat{a}_i : 1 \to \mathbb{A}$  defined by  $\hat{a}_i(*) \stackrel{\text{def}}{=} a_i$ . Each function  $\hat{a}_i$  is finitely supported by  $\{a_i\}$ . By the universal property for products, there exists a finitely supported morphism  $h: 1 \to P$  in  $\mathscr{FM}$ . Nom where  $\hat{a}_i = pr_i \circ h$ . Given that the support of h is finite, there exists  $r \in \omega$  such that for all  $s \ge r$  we have  $a_s \# h$ . Choose one such  $s_0$ . Then the atoms  $a_{s_0}$  and  $a_{s_0+1}$  are both fresh for  $pr_{s_0}$ . If  $\tau \stackrel{\text{def}}{=} (a_{s_0} a_{s_0+1})$  then  $\tau \cdot h = h$  and  $\tau \cdot pr_{s_0} = pr_{s_0}$ . Hence, the contradiction

$$\begin{aligned} a_{s_0} &= \hat{a}_{s_0}(*) = (pr_{s_0} \circ h)(*) = (\tau \cdot pr_{s_0} \circ \tau \cdot h)(*) \\ &= (\tau \cdot (pr_{s_0} \circ h))(*) \\ &= (\tau \cdot \hat{a}_{s_0})(*) = \tau \cdot (\hat{a}_{s_0}(*)) = \tau \cdot a_{s_0} = a_{s_0+1}. \end{aligned}$$

Since  $\mathcal{FM}$  Nom is a *full* subcategory of  $\mathcal{FM}$  Set the result is immediate: A is indeed an FM set, and the constructions (of morphism cones, cocones and universals) above remain unchanged in  $\mathcal{FM}$  Set by fullness. It is well known that Nom is complete.

**Remark 3.** In passing, we remark that *FM Set* and *FM Nom* have all limits in the case that the limiting object has finite support; see Gabbay (*http://www.gabbay.org.uk/papers.html#thesis*).

Proof. We consider FM Set only. Define

$$L \stackrel{\text{def}}{=} \left\{ (x_I \mid I) \mid x_I \in DI \land (\forall u \colon I \to I')((Du)(x_I) = x'_I) \land \bigcup_I supp(x_I) < \infty \right\}.$$

Then *L* is an FM set. The action  $\pi \cdot L$  is defined pointwise on tuples (more correctly, it is inherited via the FM hierarchy); and each tuple is finitely supported by construction, with  $\bigcup_I supp(\pi \cdot x_I) = \pi \cdot \bigcup_I supp(x_I)$ . The canonical projections  $pr_I \colon L \to DI$  are easily seen to be equivariant. Take any fs-cone ( $f_I \colon C \to DI \mid I$ ) and define  $h \colon C \to L$  by  $h(x \in C) \stackrel{\text{def}}{=} (f_I(x) \mid I)$ . h is finitely supported since  $\bigcup_I supp(f_I) < \infty$ . And  $h(x) \in L$  since it is supported by  $\bigcup_I supp(f_I(x)) = \bigcup_I supp(f_I) \cup supp(x) < \infty$ .

The next Proposition 4 provides a further simple guide to which forms of the Yoneda Lemma we can expect to hold.

**Proposition 4.** Each of the categories Nom,  $\mathcal{FM}$  Nom and  $\mathcal{FM}$  Set has finite products, and each is cartesian closed with the exponential of X and Y, in each case,  $X \Rightarrow_{fs} Y$ .

*Proof.* It is well known that  $\mathcal{N}om$  is a cartesian closed category. We briefly explore the proof that  $\mathcal{FM}$   $\mathcal{N}om$  is a ccc: the proof for  $\mathcal{FM}$   $\mathcal{Set}$  is analogous. Since  $\mathcal{N}om$  is a luff subcategory of  $\mathcal{FM}$   $\mathcal{N}om$ , we may take the exponential of  $X, Y \in ob \mathcal{FM}$   $\mathcal{N}om$  to be the nominal set  $X \Rightarrow_{fs} Y$ . Then the equivariant evaluation function  $ev: (X \Rightarrow_{fs} Y) \times X \to Y$  of  $\mathcal{N}om$  is also the evaluation function in  $\mathcal{FM}$   $\mathcal{N}om$ : If  $m: Z \times X \to Y$  is finitely supported, provided that  $\lambda m: Z \to X \Rightarrow_{fs} Y$  specified by  $\lambda m(z)(x) \stackrel{\text{def}}{=} \lambda x.m(z, x)$  is well defined in  $\mathcal{FM}$   $\mathcal{N}om$ , all remaining details trivially mimic those of  $\mathcal{N}om$ . First,  $\lambda m$  is finitely supported by supp(m) which we check in detail:  $(\tau \cdot \lambda m)(z)(x) = (\tau \cdot (\lambda m(\tau \cdot z)))(x) = \tau \cdot ((\lambda m(\tau \cdot z))(\tau \cdot x)) = \tau \cdot (m(\tau \cdot z, \tau \cdot x)) = \tau \cdot (m(\tau \cdot (z, x)) = (\tau \cdot m)(z, x) = m(z, x) = \lambda m(z)(x)$ . Second,  $(\lambda m)(z)$  is finitely supported in  $X \Rightarrow_{fs} Y$  by  $supp(m) \cup supp(z)$ : we omit the details which are similar to the previous calculation.

Side remark: note carefully that in  $\mathcal{N}om$  such internal homs do not correspond to the external hom  $\mathcal{N}om(X, Y)$  of equivariant functions from *X* to *Y*.

From Proposition 4 and from general results about enriched category theory (Kelly 1982, 2005), we know that if  $\mathcal{V}$  is any of  $\mathcal{N}om$ ,  $\mathcal{FM}$   $\mathcal{N}om$  and  $\mathcal{FM}$   $\mathcal{Set}$ ,  $\mathcal{V}$  enriches over itself as  $\mathcal{V}^{er}$  with  $\mathcal{V}^{er}(X, Y) \stackrel{\text{def}}{=} X \Rightarrow_{fs} Y$ . It, therefore, follows from enriched category theory that there is a weak Yoneda Lemma for each  $\mathcal{V}^{er}$ . And we already noted that Proposition 2 implies that  $\mathcal{FM}$   $\mathcal{N}om$  and  $\mathcal{FM}$   $\mathcal{Set}$  will not enjoy strong versions of the Yoneda Lemma, but since  $\mathcal{N}om$  is complete, we know there is a corresponding strong Yoneda Lemma.

In Sections 3 and 4, we study such weak and strong Yoneda Lemmas, and show that we can also prove some interesting subsidiary results. We will explore the Yoneda Lemma, both in the abstract, and also by looking at bare-hands proofs: We will see that a bare-hands approach throws light on the intricacies of the abstract machinery involved, and indeed yields interesting and useful results that *extend* those of the abstract category theory.

Please scan the Appendix notation on page 1022 if required since the remaining sections draw heavily on enriched and internal category theory. I have tried to use Kelly's notation as much as possible.

## 3. The Weak Yoneda Lemma

### 3.1 Weak Yoneda

Let  $\mathbb{C}$  be a *Nom*-enriched category and  $F: \mathbb{C} \to Nom^{er}$  a *Nom*-enriched functor. For  $A \in ob \mathbb{C}$  there is an enriched functor  $\mathbb{C}^A: \mathbb{C} \to Nom^{er}$ . Recall that this is specified by  $\mathbb{C}^A: B \in ob \mathbb{C} \mapsto \mathbb{C}(A, B) \in ob Nom$ , and the morphism

$$\mathbb{C}^{A}_{B,B'}: \mathbb{C}(B,B') \longrightarrow \mathscr{N}om^{er}(\mathbb{C}(A,B),\mathbb{C}(A,B')) \stackrel{\text{def}}{=} \mathbb{C}(A,B) \Rightarrow_{fs} \mathbb{C}(A,B')$$

is defined using Proposition 4, to be  $\lambda M_{A,B,B'}$ , the mate of the composition morphism for  $\mathbb{C}$  (see Section A.1 if required). If  $b \in \mathbb{C}(B, B')$  then we will sometimes denote  $\mathbb{C}^A_{B,B'}(b) \stackrel{\text{def}}{=} (\lambda M)(b)$  by one of the following alternative notations  $\mathbb{C}^A(b) \equiv \mathbb{C}(A, b) \equiv b_*$ . From the proof of Proposition 4,  $supp(b_*) = supp(b)$  since M is equivariant in  $\mathcal{N}om$ .

We will need to consider various kinds of 'natural families' of morphisms, such as those arising as (the components of) natural transformations between functors. We introduce some more notation which will play a useful role in this section. We define, where the *products are in Set and of underlying function spaces*,

$$\begin{aligned} Nat_{fs}(\mathbb{C}^{A}, F) \stackrel{\text{def}}{=} \\ & \left\{ \alpha \in \Pi_{C \in ob \ \mathbb{C}} | \mathbb{C}(A, C) \Rightarrow_{fs} FC| \mid \forall \theta \in \mathbb{C}(C, C'). \ (F\theta) \circ \alpha_{C} = \alpha_{C'} \circ \theta_{*} \right\} \end{aligned}$$

When no confusion can arise, to save space, we write NF for the *extensional equality of the* (*underlying*) *functions* ( $F\theta$ )  $\circ \alpha_C = \alpha_{C'} \circ \theta_*$  for all  $\theta \in \mathbb{C}(C, C')$ . We can then write

$$Nat_{es}(\mathbb{C}^{A}, F) \stackrel{\text{def}}{=} \left\{ \alpha \in \prod_{C \in ob \ \mathbb{C}} |(\mathbb{C}(A, C) \Rightarrow_{fs} FC)_{es}| \quad | \quad NF \right\}$$

The elements of these sets are called (finitely supported/emptily supported = equivariant) ordinary natural families. In the remainder of this section, the isomorphisms in boxes  $J \cong K$ , and only those, are *those that are immediate consequences of the enriched Yoneda Lemmas* (Kelly 1982).

**Theorem** (Weak Yoneda Lemma for Nom). Let  $\mathbb{C}$  be a Nom-enriched category and  $F: \mathbb{C} \to Nom^{er}$  a Nom-enriched functor. Then there are bijections

$$(FA)_{es} \cong_{\mathscr{S}et} \mathscr{N}om\operatorname{-Nat}(\mathbb{C}^A, F) \cong \mathscr{S}_{et} \operatorname{Nat}_{es}(\mathbb{C}^A, F)$$

*Proof.* The weak Yoneda Lemma states that  $El(FA) \cong_{Set} Nom-Nat(\mathbb{C}^A, F)$ . Now in Nom, El(X) is by definition the set of global elements of X, which is the set of emptily supported elements  $X_{es}$ . Thus the first bijection holds.

The *Nom*-natural transformations are (by definition – see the Appendix) families of global elements  $\hat{\alpha} = (\hat{\alpha}_C : 1 \rightarrow Nom^{er}(\mathbb{C}(A, C), FC) | C \in ob \mathbb{C})$  such that  $M \circ (\hat{\alpha}_{C'} \times \mathbb{C}^A) \circ \cong_R (\theta) = M \circ (F \times \hat{\alpha}_C) \circ \cong_L (\theta)$  for all  $\theta \in \mathbb{C}(C, C')$ . If one computes each side of the equation, noting that M in *Nom* is the regular composition  $\circ$ , one gets  $\hat{\alpha}_{C'} \circ \theta_* = (F\theta) \circ \hat{\alpha}_C$ . Since the families of global elements  $\hat{\alpha}$  are trivially in bijection with  $(\alpha_C \in (\mathbb{C}(A, C) \Rightarrow_{fs} FC)_{es} | C \in ob \mathbb{C})$ , the second bijection holds.

**Theorem** (Weak Yoneda Lemma for  $\mathscr{FM}$  Nom and  $\mathscr{FM}$  Set). Let  $\mathbb{C}$  be a  $\mathscr{V}$ -enriched category and  $F: \mathbb{C} \to \mathscr{V}^{er}$  a  $\mathscr{V}$ -enriched functor where  $\mathscr{V}$  is either  $\mathscr{FM}$  Nom or  $\mathscr{FM}$  Set. Then there are bijections

$$\overline{FA \cong_{\mathscr{S}et} \mathscr{V} - Nat(\mathbb{C}^A, F)} \cong_{\mathscr{S}et} Nat_{fs}(\mathbb{C}^A, F)$$

*Proof.* In each  $\mathscr{V}$ , El(X) is the whole of X. The result follows by computations very similar to those of Theorem 3.1.

## 4. The Strong Yoneda Lemma

To try to assist the reader, the meanings of symbols  $\mathbb{C}$ ,  $\mathbb{D}$ , S and T are defined in Section A.1. In this section, unless stated otherwise, we take  $\mathbb{C}$  any  $\mathscr{V} \stackrel{\text{def}}{=} \mathscr{N}om$ -enriched category,  $\mathbb{D} \stackrel{\text{def}}{=} \mathscr{N}om^{er}$ ,  $S \stackrel{\text{def}}{=} \mathbb{C}^A : \mathbb{C} \to \mathscr{N}om^{er}$  and  $T \stackrel{\text{def}}{=} F : \mathbb{C} \to \mathscr{N}om^{er}$  any  $\mathscr{N}om$ -functor. We then have

$$[\mathbb{C}, \mathscr{N}om^{er}](\mathbb{C}^A, F) \stackrel{\text{def}}{=} \int_{C \in ob \ \mathbb{C}} \mathscr{N}om^{er}(\mathbb{C}(A, C), FC) = \int_{C \in ob \ \mathbb{C}} \mathbb{C}(A, C) \Rightarrow_{fs} FC$$

with the second equality following from the definition of  $Nom^{er}$ . Note that we write  $id_A \stackrel{\text{def}}{=} j_A(*) \in \mathbb{C}(A, A)$ ; of course  $id_A$  is emptily supported.

**Theorem** (Strong Yoneda Lemma for *Nom*).

 $FA \cong_{\mathscr{N}om} [\mathbb{C}, \mathscr{N}om^{er}](\mathbb{C}^A, F) = Nat_{fs}(\mathbb{C}^A, F)$ 

with permutation action on  $\alpha \in [\mathbb{C}, \mathcal{N}om^{er}](\mathbb{C}^A, F)$  given by  $(\pi \cdot \int \alpha)_C = \pi \cdot {}_{\Rightarrow_{fs}} \alpha_C$ .

*Proof.* The end instance is the *Nom* equaliser  $EQR(\rho, \sigma)$  of the *Nom* diagram

$$\Pi_{C \in ob \ \mathbb{C}} \ \mathbb{C}(A, C) \Rightarrow_{fs} FC \xrightarrow{\rho} \\ \sigma \\ \Pi_{C, C' \in ob \ \mathbb{C}} \ \mathbb{C}(C, C') \Rightarrow_{fs} (\mathbb{C}(A, C) \Rightarrow_{fs} FC')$$

where, following the Appendix page 1023, we obtain

$$\sigma \stackrel{\text{def}}{=} \langle \sigma_{C,C'} \circ pr_{C'} \rangle \quad \sigma_{C,C'} = \lambda(M_{\mathbb{C}(A,C),\mathbb{C}(A,C'),FC'} \circ (id_{\mathbb{C}(A,C') \Rightarrow_{fs}FC'} \times \mathbb{C}^{A}_{C,C'}))$$
$$\rho \stackrel{\text{def}}{=} \langle \rho_{C,C'} \circ pr_{C} \rangle \quad \rho_{C,C'} = \lambda(M_{\mathbb{C}(A,C),FC,FC'} \circ (F_{C,C'} \times id_{\mathbb{C}(A,C) \Rightarrow_{fs}FC}) \circ \cong')$$

If  $\alpha \in \prod_{C \in ob \mathbb{C}} \mathbb{C}(A, C) \Rightarrow_{fs} FC$  and  $\theta \in \mathbb{C}(C, C')$ , by calculating with the definitions we see that the equaliser requirement  $\rho(\alpha) = \sigma(\alpha)$  also amounts to NF. Now, *working in*  $\mathcal{N}om$ , the product  $\prod_{C \in ob \mathbb{C}} \mathbb{C}(A, C) \Rightarrow_{fs} FC$  is

$$(\alpha_C \in \mathbb{C}(A, C) \Rightarrow_{fs} FC \mid C \in ob \ \mathbb{C}) \mid \bigcup_{C \in ob \ \mathbb{C}} supp(\alpha_C) < \infty$$

and the permutation action is given by  $(\pi \cdot \alpha)_C = \pi \cdot_{\Rightarrow_k} \alpha_C$ . Hence

$$[\mathbb{C}, \mathscr{N}om^{er}](\mathbb{C}^A, F) = EQR(\rho, \sigma) \in ob \ \mathscr{N}om$$

is

$$\left\{ (\alpha_C \in \mathbb{C}(A, C) \Rightarrow_{fs} FC \mid C \in ob \ \mathbb{C}) \mid \bigcup_{C \in ob \ \mathbb{C}} supp(\alpha_C) < \infty \land \mathrm{NF} \right\}$$

with  $(\pi \cdot \alpha)_C = \pi \cdot \beta_{fs} \alpha_C$ . It is immediate that

$$[\mathbb{C}, \mathscr{N}om^{er}](\mathbb{C}^A, F) \subseteq Nat_{fs}(\mathbb{C}^A, F)$$

We claim that this is in fact an equality. Choose any  $\alpha \in Nat_{fs}(\mathbb{C}^A, F)$ . If we can show  $supp(\alpha_C) \subseteq supp(\alpha_A(id_A))$ , it is then trivial that

$$\bigcup_{C \in ob} \sup p(\alpha_C) \subseteq \operatorname{supp}(\alpha_A(id_A)) < \infty,$$

and the proof is complete. Using an instance of NF with  $C \stackrel{\text{def}}{=} A$  and  $C' \stackrel{\text{def}}{=} C$  and hence  $\theta \in \mathbb{C}(A, C)$  we get

$$(F\theta)(\alpha_A(id_A)) = (F\theta \circ \alpha_A)(id_A) = (\alpha_C \circ \theta_*)(id_A) = \alpha_C(\theta \circ id_A) = \alpha_C(\theta)$$

Hence if  $\tau # \alpha_A(id_A)$ , noting  $\tau \cdot \theta \in \mathbb{C}(A, C)$  we have

$$(\tau \cdot \alpha_C)(\theta) = \tau \cdot (\alpha_C(\tau \cdot \theta)) \tag{1}$$

$$= \tau \cdot (F_{A,C}(\tau \cdot \theta)(\alpha_A(id_A)))$$
(2)

$$= \tau \cdot ((\tau \cdot (F_{A,C}\theta))(\alpha_A(id_A)))$$
(3)

$$= (F_{A,C}\theta)(\tau \cdot (\alpha_A(id_A)))$$
(4)

$$= (F_{A,C}\theta)(\alpha_A(id_A)) \tag{5}$$

$$=\alpha_C(\theta). \tag{6}$$

Equations (1) and (4) are trivial properties of any perm action; (2) and (6) are by instances of NF; (5) is from the freshness hypothesis; and (3) holds because  $F_{A,C}$ :  $\mathbb{C}(A, C) \rightarrow FA \Rightarrow_{fs} FC$  is equivariant.

We further examine the strong Yoneda Lemma in Theorem 4, looking in detail at the structures of the underlying sets.

**Theorem** (Strong Yoneda: Bare Hands Version). If |FA| is the underlying set of FA, there is a setbijection  $\Phi : |FA| \cong_{\mathscr{S}et} |Nat_{fs}(\mathbb{C}^A, F)| : \Psi$  given by  $\Psi(\alpha) \stackrel{\text{def}}{=} \alpha_A(id_A)$  and  $\Phi(x) \stackrel{\text{def}}{=} \overline{x}$  with  $\overline{x}_C(\theta) \stackrel{\text{def}}{=} (F\theta)(x)$ . Moreover  $|Nat_{fs}(\mathbb{C}^A, F)|$  becomes a nominal set with permutation action \* defined by passing the action of FA across the bijection, that is  $\pi * \alpha \stackrel{\text{def}}{=} \Phi(\pi \cdot_{FA} \Psi(\alpha))$ . Moreover the permutation action from Theorem 4 coincides with this action, that is  $\pi \cdot_{\int} \alpha = \pi * \alpha$ , leading to an isomorphism of nominal sets in  $\mathcal{N}$ om which is natural in A and F

$$\Phi: FA \cong_{\mathscr{N}om} (|Nat_{fs}(\mathbb{C}^A, F)|, *) = Nat_{fs}(\mathbb{C}^A, F) : \Psi.$$

*Proof.* The *Set* bijection is the standard one, hence  $NF(\overline{x})$  holds provided that each  $\overline{x}$  is finitely supported. Let  $\tau \# x \in FA$ . Then for any  $\theta \in \mathbb{C}(A, C)$ 

$$\begin{aligned} (\tau \cdot_{\Rightarrow_{f_s}} \overline{x}_C)(\theta) &= \tau \cdot_{FC} \left( \overline{x}_C (\tau \cdot_{\mathbb{C}(A,C)} \theta) \right) \\ &= \tau \cdot_{FC} \left( F_{A,C} (\tau \cdot_{\mathbb{C}(A,C)} \theta)(x) \right) \\ &= \tau \cdot_{FC} \left( (\tau \cdot_{\Rightarrow_{f_s}} (F_{A,C} \theta))(x) \right) \\ &= \tau \cdot_{FC} \left( \tau \cdot_{\Rightarrow_{f_s}} \left( (F_{A,C} \theta) (\tau \cdot_{FA} x) \right) \right) \\ &= (F_{A,C} \theta)(x) \\ &\stackrel{\text{def}}{=} \overline{x}_C(\theta). \end{aligned}$$

The calculation is easy, again using the fact that  $F_{A,C} : \mathbb{C}(A, C) \to FA \Rightarrow_{fs} FC$  is equivariant. Next,  $\pi \cdot \int \alpha = \pi * \alpha$  also follows from a calculation:

$$(\pi * \alpha)_{C}(\theta) = \frac{\Phi(\pi \cdot_{FA} \Psi(\alpha))(\theta)}{(\pi \cdot_{FA} \alpha_{A}(id_{A}))_{C}(\theta)}$$
  
=  $(F\theta)(\pi \cdot_{FA} \alpha_{A}(id_{A}))$   
=  $\pi \cdot_{FC} \pi^{-1} \cdot_{FC} (F\theta)(\pi \cdot_{FA} \alpha_{A}(id_{A}))$   
=  $\pi \cdot_{FC} ((\pi^{-1} \cdot_{FC} (F\theta))(\alpha_{A}(id_{A})))$   
=  $\pi \cdot_{FC} (F(\pi^{-1} \cdot_{\mathbb{C}(A,C)} \theta)(\alpha_{A}(id_{A})))$   
=  $\pi \cdot_{FC} \alpha_{C} (\pi^{-1} \cdot_{\mathbb{C}(A,C)} \theta)$   
=  $(\pi \cdot_{\int} \alpha)(\theta).$ 

That  $\Phi$  and  $\Psi$  are equivariant, and the *Nom* isomorphism, follows immediately from  $\cdot_{\int} = *$  and Lemma 1.

# 5. Variations of the Yoneda Lemmas

We can deduce the following interesting corollary from the previous results, where *ordinary natural families coincide with finitely supported natural families*.

**Corollary 5.** Let  $\mathbb{C}$  be a Nom-enriched category and  $F: \mathbb{C} \to Nom^{er}$  a Nom-enriched functor. For  $A \in ob \mathbb{C}$  if we define

$$Nat(\mathbb{C}^{A}, F) \stackrel{\text{def}}{=} (\{\alpha \in \prod_{C \in ob \mathbb{C}} (|\mathbb{C}(A, C)| \Rightarrow |FC|) \mid NF\}, \cdot_{f})$$

then we have

$$|Nat(\mathbb{C}^A, F)| =_{\mathscr{S}et} |Nat_{fs}(\mathbb{C}^A, F)|$$

that is, all such natural transformations are finitely supported; and moreover

1.6

$$FA \cong_{\mathscr{N}om} Nat(\mathbb{C}^A, F).$$

This result follows by combining previous results with the Yoneda Lemma over  $\mathscr{Set} = \mathscr{Set}^{er}$ and a 'localised' forgetful functor. We give the definitions. Let  $\mathbb{C}$  be  $\mathscr{N}om$ -enriched. The ( $\mathscr{Set}$ enriched) category<sup>1</sup>  $U\mathbb{C}$  has objects those of  $\mathbb{C}$ ,  $(U\mathbb{C})(A, B) \stackrel{\text{def}}{=} |\mathbb{C}(A, B)|$ , identity  $J_A^{U\mathbb{C}}$ :  $1 \rightarrow$  $(U\mathbb{C})(A, A) = |\mathbb{C}(A, A)|$  given by  $* \mapsto j_A^{\mathbb{C}}(*)$ , and (similarly)  $M^{U\mathbb{C}}$  is the set-theoretic function composition underlying  $M^{\mathbb{C}}$ . The functor UF:  $U\mathbb{C} \to \mathscr{Set}^{er}$  is defined by  $(UF)(A) \stackrel{\text{def}}{=} |FA|$  and  $(UF)_{A,B}: |\mathbb{C}(A, B)| \to \mathscr{Set}(FA, FB) = |FA| \Rightarrow |FB|$  is defined by

$$f \mapsto F_{A,B}(f) \in |FA \Rightarrow_{fs} FB| \stackrel{\text{def}}{=} (|FA| \Rightarrow |FB|)_{fs} \subseteq |FA| \Rightarrow |FB|.$$

Now we can give the proof.

*Proof.* We have  $(UF)(A) \cong_{\mathscr{S}et} [\mathbb{C}, \mathscr{S}et^{er}]((U\mathbb{C})^A, UF)$  from the  $\mathscr{S}et^{er}$  strong Yoneda Lemma where the RHS is the equaliser

$$EQR(\rho, \sigma) \stackrel{\text{def}}{=} \{ (\alpha_C \in (U\mathbb{C})(A, C) \Rightarrow (UF)C \mid C \in ob \mathbb{C}) \mid \text{NF} \},\$$

which is precisely  $|Nat(\mathbb{C}^A, F)|$ . From Theorem 4  $FA \cong_{Nom} Nat_{fs}(\mathbb{C}^A, F)$ . Hence by Lemma 1 we have  $|Nat(\mathbb{C}^A, F)| \cong_{Set} |Nat_{fs}(\mathbb{C}^A, F)|$ , and if one computes the (Yoneda) bijection explicitly, one sees that it is the identity. It follows from Lemma 1 that  $FA \cong_{Nom} Nat(\mathbb{C}^A, F)$ .

The following result, for ordinary categories  $\mathscr{C}$ , is also a corollary of the work so far.

**Theorem** (Ordinary Nominal Yoneda Lemma). Suppose that  $\mathscr{C}$  is an ordinary category, and that  $\mathscr{V}$  is either  $\mathscr{N}$ om or  $\mathscr{FM}$   $\mathscr{N}$ om. There is a trivial enrichment of  $\mathscr{C}$  over each  $\mathscr{V}$ , taking discrete hom-sets. Writing  $\mathscr{V}^{\mathscr{C}}$  for the ordinary functor category, and taking  $F \in ob \mathscr{V}^{\mathscr{C}}$ , we have

$$(FA)_{es} \cong_{\mathscr{S}et} \mathscr{N}om^{\mathscr{C}}(\mathscr{C}^A, F) \qquad FA \cong_{\mathscr{S}et} \mathscr{F}\mathscr{M} \mathscr{N}om^{\mathscr{C}}(\mathscr{C}^A, F).$$

Further, let the category  $\mathcal{FM}$   $\mathcal{N}om_{ner}^{\mathscr{C}}$  consist of objects those functors F that are pseudo- $\mathcal{N}om$ enriched, by which we mean the morphism actions  $F_{C,C'}: \mathscr{C}(C,C') \to FC \Rightarrow_{fs} FC'$  are equivariant. The morphisms are the natural transformations  $\alpha: \mathscr{C}^A \to F: \mathscr{C} \to \mathcal{FM}$   $\mathcal{N}om$ . Then  $FA \cong_{\mathcal{N}om} \mathcal{FM}$   $\mathcal{N}om_{ner}^{\mathscr{C}}(\mathscr{C}^A, F)$ .

*Proof.* This result follows from instances of Theorem 3.1 for the first two bijections, and Theorem 4 for the second, since *ordinary* natural transformations are ordinary natural families.  $\Box$ 

We now present an internal Yoneda Lemma for  $\mathcal{FM}$  Nom and  $\mathcal{FM}$  Set (see page Section A.2 for a notation summary). We write  $\mathcal{V}$  for either of these categories: since  $\mathcal{FM}$  Nom is a full subcategory of  $\mathcal{FM}$  Set, the key proof details are similar in both cases (much the same in spirit as Theorem 3.1).

Let  $\mathbb{C}$  be an internal category in  $\mathscr{V}$  with structure morphisms  $(d_0, d_1, \iota, c)$  for source, target, identities and composition (where, for example,  $d_0: \mathbb{C}_1 \to \mathbb{C}_0$  and so on). Then the internal  $\mathscr{V}$ -valued hom-functor  $Y^A: \mathbb{C} \to \mathscr{V}$  for  $A \in \mathbb{C}_0$  is specified by  $Y^A \stackrel{\text{def}}{=} (d_0^{-1}(A), \hat{d}_1, \hat{c})$  where

$$\hat{d}_1 \stackrel{\text{def}}{=} d_{1_{|_{d_0^{-1}(A)}}} \colon d_0^{-1}(A) \to \mathbb{C}_0 \qquad \hat{c} \stackrel{\text{def}}{=} c_{|_{\mathbb{C}_1 \times \mathbb{C}_0 d_0^{-1}(A)}} \colon \mathbb{C}_1 \times_{\mathbb{C}_0} d_0^{-1}(A) \to d_0^{-1}(A).$$

This is a good definition: the morphisms are finitely supported by  $supp(d_1)$  and supp(c) respectively. The axioms for  $Y^A$  to be an  $\mathscr{V}$ -valued functor follow trivially: for example,  $\hat{d}_1 \circ \hat{c} = d_1 \circ pr_{\mathbb{C}_1}$  is immediate since  $d_1 \circ c = d_1 \circ pr_{\mathbb{C}_1}$  in  $\mathbb{C}$ . The internal functor category  $\mathscr{V}^{\mathbb{C}}$  follows the usual definition.

**Theorem** (Internal Yoneda Lemma for  $\mathscr{V}$ ). *If*  $\mathbb{C}$  *is an internal category in*  $\mathscr{V}$  *and*  $F \colon \mathbb{C} \to \mathscr{V}$  *is an*  $\mathscr{V}$ *-valued internal functor, then for*  $A \in \mathbb{C}_0$  *we have* 

$$\Phi: FA \cong_{\mathscr{V}} \mathscr{V}^{\mathbb{C}}(\mathsf{Y}^A, F), : \Psi$$

where  $F \stackrel{\text{def}}{=} (Q, q_0, q_1)$  and  $FA \stackrel{\text{def}}{=} q_0^{-1}(A)$ . If  $\alpha : Y^A \to F$  is specified by some finitely supported function  $\alpha : d_0^{-1}(A) \to Q$  then we put  $\Psi(\alpha) \stackrel{\text{def}}{=} \alpha(\iota(A))$  and  $\Phi(x \in FA) : d_0^{-1}(A) \to Q$  where  $\Phi(x)(f) \stackrel{\text{def}}{=} q_1(f, x)$ .

*Proof.* The verification of the theorem is a lengthy calculation, most of which we omit. We examine one small part of the proof. Let us show that  $\Phi$  is a morphism in  $\mathcal{V}$ , that is, it has finite support, namely  $supp(q_1)$ . Choose  $\tau # q_1$  and pick  $x \in \tau \cdot FA$ . Then, since  $\tau \cdot q_1 = q_1$ , and  $\tau \cdot \tau \cdot \xi = \xi$  for any  $\xi$ ,

$$(\tau \cdot \Phi)(x)(f) = (\tau \cdot (\phi(\tau \cdot x)))(f) = \tau \cdot [(\phi(\tau \cdot x))(\tau \cdot f)] = \tau \cdot [q_1(\tau \cdot f, \tau \cdot x)] = \Phi(x)(f).$$

# 6. Cartesian Closure of Functor Categories

The following theorem is interesting in its own right, building up our understanding of 'nominal functor categories'. In particular, it is the cartesian closure of the category  $\mathcal{FM}$   $\mathcal{N}om_{ner}^{\mathscr{C}}$  (defined in Theorem 5) that will play an important role in FM gluing, analogous to that of  $\mathcal{Set}^{\mathscr{C}}$  and  $\omega \mathcal{CPO}^{\mathscr{C}}$  in Crole (1996). We plan for this to appear in a future paper.

**Theorem.** The categories  $\mathcal{N}om^{\mathscr{C}}$  and  $\mathcal{FM} \mathcal{N}om^{\mathscr{C}}_{ner}$  are both cartesian closed.

*Proof.* We give details for  $\mathscr{FM}$   $\mathscr{Nom}_{ner}^{\mathscr{C}}$ ; the proof for  $\mathscr{Nom}^{\mathscr{C}}$  is very similar. Let us write  $\mathscr{F}$  for  $\mathscr{FM}$   $\mathscr{Nom}_{ner}^{\mathscr{C}}$ . Crucially, since we have proved that  $FA \cong_{\mathscr{Nom}} \mathscr{F}(\mathscr{C}^A, F)$ , we can use this to construct exponentials – in the same way as one proceeds in presheaf categories. Take any  $F, F' \in ob \mathscr{F}$ . If the exponential exists then we must have  $(F \Rightarrow F')(A) \cong_{\mathscr{Nom}} \mathscr{F}(\mathscr{C}^A, F \Rightarrow F') \cong_{\mathscr{Nom}} \mathscr{F}(\mathscr{C}^A \times F, F')$  from Theorem 5. This guides us to set

$$(F \Rightarrow F')(A) \stackrel{\text{def}}{=}$$
$$\mathscr{F}(\mathscr{C}^A \times F, F') \stackrel{\text{def}}{=} \left\{ \gamma \in \prod_{C \in ob \,\mathscr{C}} \mathscr{C}(A, C) \times FC \Rightarrow_{fs} F'C \, \operatorname{NF}(\gamma) \right\}$$

and if  $a: A \to A'$  in  $\mathscr{C}$  then  $(F \Rightarrow F')(a): (\gamma_C | C) \mapsto (\gamma_C \circ (a^* \times id_{FC}) | C)$ . One can check that  $(F \Rightarrow F')(A)$  is a nominal set with  $(\pi \cdot_{\int} \gamma)_C = \pi \cdot_{\Rightarrow_{fs}} \gamma_C$  where  $supp(\gamma) = supp(\gamma_A)$ . Observing the definition of  $\mathscr{F}$  we need to check that  $\pi \cdot (F \Rightarrow F')(a) = (F \Rightarrow F')(a)$ . Now, note in general that if  $\theta: C \to C'$ , then the requirement that  $G_{C,C'}(\theta) = \pi \cdot G_{C,C'}(\theta)$  holds just in case  $G_{C,C'}(\theta)$  is itself equivariant. Here, it is easiest to verify the equivariance of  $(F \Rightarrow F')(a)$ . We have, since  $a^* \times id_{FC}$  is an equivariant function,

$$[(F \Rightarrow F')(a)(\pi \cdot f \gamma)]_C = (\pi \cdot f \gamma)_C \circ (a^* \times id_{FC})$$
  
=  $(\pi \cdot if_{FC}) \circ (a^* \times id_{FC})$   
=  $(\pi \cdot if_{FC}) \circ (\pi \cdot (a^* \times id_{FC}))$   
=  $\pi \cdot if_{FC} (\gamma_C \circ (a^* \times id_{FC}))$   
=  $[\pi \cdot f (F \Rightarrow F')(a)(\gamma)]_C.$ 

The specifications of the bijections are analogous to those for presheaf toposes. Given  $(\alpha_A : GA \times FA \to F'A \mid A)$  then  $((\lambda \alpha)_A : GA \to (F \Rightarrow F')(A) \mid A)$  is defined by

$$((\lambda \alpha)_A(x))_C : (\theta, y) \mapsto \alpha_C((G\theta)(x), y)$$

and for  $(\beta_A \colon GA \to (F \Rightarrow F')(A) \mid A)$  then  $(\overline{\beta}_A \colon GA \times FA \to F'A \mid A)$  is defined by  $\overline{\beta}_A \colon (x, y) \mapsto (\beta_A(x))_A(id_A, y).$ 

The naturality properties hold with the proofs mimicking those in a presheaf topos (Johnstone 1977); the same is true of the computations that  $\overline{\lambda \alpha} = \alpha$  and that  $\lambda \overline{\beta} = \beta$ . However, we do need to make sure the bijections are well defined, and this we do in some detail for  $\alpha$ , verifying the support properties. We show, below, that each  $(\lambda \alpha)_A$  is finitely supported (by  $supp(\alpha_A)$ ); and that each  $((\lambda \alpha)_A(x))_C$  is finitely supported (by  $supp(\alpha_A) \cup supp(x)$ ). We leave the proof of  $supp(\overline{\beta}_A) = supp(\beta_A)$  to the reader. Choose  $\tau \# \alpha_A$ . Then

$$\begin{aligned} ((\tau \cdot (\lambda \alpha)_A)(x))_C(\theta, y) &= (\tau \cdot ((\lambda \alpha)_A(\tau \cdot x)))_C(\theta, y) \\ &= \tau \cdot (((\lambda \alpha)_A(\tau \cdot x)))_C(\theta, \tau \cdot y) \\ &= \tau \cdot (\alpha_C((G\theta)(\tau \cdot x), \tau \cdot y)) \\ &= (\tau \cdot \alpha_C)((\tau \cdot (G\theta))(x), y) \\ &= (\alpha_C)((G\theta)(x), y) \\ &= (((\lambda \alpha)_A(x))_C)(\theta, y). \end{aligned}$$

Choose  $\tau # \alpha_A$ , *x*. Then

$$\begin{aligned} (\tau \cdot ((\lambda \alpha)_A(x))_C)(\theta, y) &= \tau \cdot ((\lambda \alpha)_A(x))_C(\theta, \tau \cdot y) \\ &= \tau \cdot \alpha_C((G\theta)(x), \tau \cdot y) \\ &= (\tau \cdot \alpha_C)(\tau \cdot [(G\theta)(x)], y) \\ &= \alpha_C((G\theta)(\tau \cdot x), y) \\ &= \alpha_C((G\theta)(x), y) \\ &= ((\lambda \alpha)_A(x))_C(\theta, y). \end{aligned}$$

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## 7. Conclusions and Further Work

This paper grew from plans to use categorical gluing to establish the conservativity of pure NLC over NEL. In the early stages of this work, we realised that some of the categories that we might need to glue over were somewhat troublesome in that there was a lack of (co)completeness. We needed to verify cartesian closure of (some of) the categories and this led to our analysis of the Yoneda Lemma.

The computations in prelimary work are very 'fine grained' and so as a consequence we wanted to understand and make use of the FM Yoneda results not just abstractly, but concretely in the forms presented in this paper where we can compute explicitly with the permutation actions. Thus Theorem 4 is especially useful.

# Note

1 Note:  $U\mathbb{C}$  is not  $\mathbb{C}_0$ !

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## **Appendix A. Category Theoretic Notation**

## A.1 Enriched category theory

We follow Kelly (1982) with some mild notational changes. Let  $\mathscr{V}$  be a cartesian closed category. Recall that a category  $\mathbb{C}$  enriched over  $\mathscr{V}$  (or a  $\mathscr{V}$ -category) has: a collection of objects,  $ob \mathbb{C}$ ; if A and B are objects a morphism object  $\mathbb{C}(A, B) \in ob \mathbb{C}$ ; identities  $j_A : 1 \to \mathbb{C}(A, A)$  each a morphism in  $\mathscr{V}$ ; and composition morphisms  $M_{A,B,C} : \mathbb{C}(B, C) \times \mathbb{C}(A, B) \to \mathbb{C}(A, C)$  in  $\mathscr{V}$  all satisfying the usual equations. A  $\mathscr{V}$ -functor  $S : \mathbb{C} \to \mathbb{D}$  is specified by a mapping  $S : ob \mathbb{C} \to ob \mathbb{D}$ and a morphism  $S_{A,B} : \mathbb{C}(A, B) \to \mathbb{D}(SA, SB)$  in  $\mathscr{V}$  subject to the standard equations. A  $\mathscr{V}$ -natural transformation  $\alpha : S \to T : \mathbb{C} \to \mathbb{D}$  is a family ( $\alpha_C : 1 \to \mathbb{D}(SC, TC) | C \in ob \mathbb{C}$ ) of global elements in  $\mathscr{V}$  such that  $M \circ (\alpha'_C \times S) \circ \cong_R = M \circ (T \times \alpha_C) \circ \cong_L$  in  $\mathscr{V}$  where

$$\mathbb{C}(C,C') \xrightarrow{\cong_R} 1 \times \mathbb{C}(C,C') \qquad \qquad \mathbb{C}(C,C') \xrightarrow{\cong_L} \mathbb{C}(C,C') \times 1$$

We write  $\mathscr{V}$ -*Nat*(*S*, *T*) for the collection of such  $\mathscr{V}$ -natural transformations. If  $\mathscr{V}$  is locally small, the elements functor is  $El(+) \stackrel{\text{def}}{=} \mathscr{V}(1,+) \colon \mathscr{V} \to \mathscr{S}et$ .

Suppose that  $\mathscr{V}$  is a ccc. Write  $\mathscr{V}^{er}$  for the enrichment of " $\mathscr{V}$  over itself", so that  $\mathscr{V}^{er}(A, B) \stackrel{\text{def}}{=} A \Rightarrow B$  is the  $\mathscr{V}$  exponential, and  $j_A$  and  $M_{A,B,C}$  are given the usual definitions as exponential mates (eg if  $pr: 1 \times A \to A$  in  $\mathscr{V}$  then  $j_A \stackrel{\text{def}}{=} \lambda pr$ ). Note that Kelly writes [A, B] for our  $A \Rightarrow B$ ; we prefer the latter since the notation  $\Rightarrow$  is used in type theory, and also to distinguish the internal homs from functor category notation.

Suppose that  $\mathbb{C}$  and  $\mathbb{D}$  are  $\mathscr{V}$ -categories. Recall that the functor category  $[\mathbb{C}, \mathbb{D}]$  has objects  $S, T: \mathbb{C} \to \mathbb{D}$  which are  $\mathscr{V}$ -functors, and that morphism objects are ends

$$[\mathbb{C},\mathbb{D}](S,T)\in ob\ \mathscr{V}\stackrel{\mathrm{def}}{=}\int_{C\in ob\ \mathbb{C}}\mathbb{D}(SC,TC)$$

defined using the  $\mathcal{V}^{er}$ -hom functor  $\mathbb{D}(S(-), T(+))$ :  $\mathbb{C}^{op} \times \mathbb{C} \to \mathcal{V}^{er}$ . Since we wish to compute concretely with the above end, we recall its definition. For  $Y \in ob \mathscr{C}$  there is a subsidiary functor  $\mathbb{D}(S(-), TY)$ :  $\mathbb{C}^{op} \to \mathcal{V}^{er}$  which is the mate of  $M \circ (id \times S_{C,C'}) \circ \cong$  where  $\mathbb{C}^{op}(C', C) \times \mathbb{D}(SC', TY) \cong \mathbb{D}(SC', TY) \times \mathbb{C}^{op}(C', C)$  and

$$\mathbb{D}(SC', TY) \times \mathbb{C}^{op}(C', C) \xrightarrow{id \times S_{C,C'}} \mathbb{D}(SC', TY) \times \mathbb{D}(SC, SC') \xrightarrow{M} \mathbb{D}(SC, TY)$$

Taking  $Y \stackrel{\text{def}}{=} C'$  we define

$$\sigma_{C,C'} \stackrel{\text{def}}{=} \lambda(M \circ (id_{\mathbb{D}(SC',TC')} \times S_{C,C'})) \colon \mathbb{D}(SC',TC') \to \mathbb{C}(C,C') \Rightarrow \mathbb{D}(SC,TC')$$

Similarly we define, by way of  $\mathbb{D}(SY, T(+)): \mathbb{C} \to \mathscr{V}^{er}$  with  $Y \stackrel{\text{def}}{=} C$ ,

$$\rho_{C,C'} \stackrel{\text{def}}{=} \lambda(M \circ (T_{C,C'} \times id_{\mathbb{D}(SC,TC)}) \circ \cong') \colon \mathbb{D}(SC,TC) \to \mathbb{D}(SC,TC') \Rightarrow \mathbb{D}(SC,TC')$$

where  $\mathbb{D}(SC, TC) \times \mathbb{C}(C, C') \cong' \mathbb{C}(C, C) \times \mathbb{D}(SC, TC)$ . Thus we have

$$\Pi_{C \in ob \, \mathbb{C}} \, \mathbb{D}(SC, TC) \xrightarrow{\rho}_{\sigma} \, \Pi_{C, C \in ob \, \mathbb{C}} \, \mathbb{C}(C, C') \Rightarrow \mathbb{D}(SC, TC')$$

where  $\rho \stackrel{\text{def}}{=} \langle \rho_{C,C'} \circ pr_C \rangle$  and  $\sigma \stackrel{\text{def}}{=} \langle \sigma_{C,C'} \circ pr_{C'} \rangle$  and  $\int_{C \in ob \mathbb{C}} \mathbb{D}(SC, TC) \stackrel{\text{def}}{=} EQR(\rho, \sigma)$ .

We shall also write  $(-)_0: \mathscr{V} - \mathscr{CAT} \to \mathscr{CAT}$  for the underlying category functor; recall that  $[\mathbb{C}, \mathbb{D}]_0 = \mathscr{V} - \mathscr{CAT}(\mathbb{C}, \mathbb{D})$ , the category of  $\mathscr{V}$ -functors S, T and  $\mathscr{V}$ -natural transformations  $\alpha: S \to T$  between them.

### A.2 Internal category theory

Please see, for example, Borceux (1994a,b). Let  $\mathscr{S}$  be a category with pullbacks (such as  $\mathscr{Set}$ ,  $\mathscr{Nom}$ ,  $\mathscr{FM}$   $\mathscr{Nom}$  and  $\mathscr{FM}$   $\mathscr{Set}$ ). Recall that an internal category  $\mathbb{A}$  in  $\mathscr{S}$  has the following structure

- objects  $\mathbb{A}_0 \in ob \ \mathscr{S}$  and morphisms  $\mathbb{A}_1 \in ob \ \mathscr{S}$
- source and target  $d_0, d_1 \colon \mathbb{A}_1 \to \mathbb{A}_0 \in mor \mathscr{S}$
- identity  $\iota : \mathbb{A}_0 \to \mathbb{A}_1 \in mor \ \mathscr{S}$  and composition  $c : \mathbb{A}_1 \times_{\mathbb{A}_0} \mathbb{A}_1 \to \mathbb{A}_1 \in mor \ \mathscr{S}$ , where  $(\mathbb{A}_1 \times_{\mathbb{A}_0} \mathbb{A}_1, pr, pr')$  is the pullback of  $d_0$  and  $d_1$

satisfying the following axioms

- (1)  $d_0 \circ \iota = id_{\mathbb{A}_0} = d_1 \circ \iota$
- (2)  $d_1 \circ pr = d_1 \circ c$  and  $d_0 \circ pr' = d_0 \circ c$
- (3)  $c \circ h_0 = id_{\mathbb{A}_1} = c \circ h_1$ , where  $h_0$  and  $h_1$  are factorisations through the pullback  $(\mathbb{A}_1 \times_{\mathbb{A}_0} \mathbb{A}_1, pr, pr')$  for cones  $(\mathbb{A}_1, id_{\mathbb{A}_1}, \iota \circ d_0)$  and  $(\mathbb{A}_1, \iota \circ d_1, id_{\mathbb{A}_1})$
- (4)  $c \circ (id_{\mathbb{A}_1} \times_{\mathbb{A}_0} c) = c \circ (c \times_{\mathbb{A}_0} id_{\mathbb{A}_1})$  where

$$(id_{\mathbb{A}_{1}} \times_{\mathbb{A}_{0}} c) : \mathbb{A}_{1} \times_{\mathbb{A}_{0}} (\mathbb{A}_{1} \times_{\mathbb{A}_{0}} \mathbb{A}_{1}) \to \mathbb{A}_{1} \times_{\mathbb{A}_{0}} \mathbb{A}_{1}$$
$$(c \times_{\mathbb{A}_{0}} id_{\mathbb{A}_{1}}) : (\mathbb{A}_{1} \times_{\mathbb{A}_{0}} \mathbb{A}_{1}) \times_{\mathbb{A}_{0}} \mathbb{A}_{1}) \to \mathbb{A}_{1} \times_{\mathbb{A}_{0}} \mathbb{A}_{1}$$

are factorisations through the pullbacks.

Given two internal categories  $\mathbb{A}$  and  $\mathbb{B}$ , an internal functor  $F : \mathbb{A} \to \mathbb{B}$  consists of two morphisms  $F_0 : \mathbb{A}_0 \to \mathbb{B}_0$  and  $F_1 : \mathbb{A}_1 \to \mathbb{B}_1$  in  $\mathscr{S}$  which satisfy the following axioms

- (1)  $d_0 \circ F_1 = F_0 \circ d_0, d_1 \circ F_1 = F_0 \circ d_1$
- (2)  $F_1 \circ \iota = \iota \circ F_0$
- (3)  $F_1 \circ c = c \circ (F_1 \times_{F_0} F_1)$ , where  $(F_1 \times_{F_0} F_1) : \mathbb{A}_1 \times_{\mathbb{A}_0} \mathbb{A}_1 \to \mathbb{B}_1 \times_{\mathbb{B}_0} \mathbb{B}_1$  is the unique mediating morphism such that  $pr \circ (F_1 \times_{F_0} F_1) = F_1 \circ pr$  and  $pr' \circ (F_1 \times_{F_0} F_1) = F_1 \circ pr'$

Given  $\mathbb{A}$  an internal category in  $\mathscr{S}$ , an internal  $\mathscr{S}$ -valued functor  $\mathsf{P} : \mathbb{A} \to \mathscr{S}$  is a tuple  $(P, p_0, p_1)$  where

•  $P \in ob \mathscr{S}$  and  $p_0 : P \to A_0 \in mor \mathscr{S}$ •  $p_1 : A_1 \times_{A_0} P \to P \in mor \mathscr{S}$ , where  $(A_1 \times_{A_0} P, pr_{A_1}, pr_P)$  is the pullback of  $d_0, p_0$ 

such that the following axioms are satisfied:

(1)  $p_0 \circ p_1 = d_1 \circ pr_{A_1}$ (2)  $p_1 \circ (\iota \circ p_0, id_P) = id_P$ (3)  $p_1 \circ (id_{A_1} \times_{A_0} p_1) = p_1 \circ (c \times_{A_0} id_P)$ 

Let  $\mathbb{A}$  an internal category in  $\mathscr{S}$  and  $\mathsf{P}, \mathsf{Q} : \mathbb{A} \to \mathscr{S}$  two internal  $\mathscr{S}$ -valued functors specified by  $(P, p_0, p_1)$  and  $(Q, q_0, q_1)$  respectively. An internal natural transformation  $\alpha : \mathsf{P} \Rightarrow \mathsf{Q}$  is a morphism  $\alpha : \mathsf{P} \to Q$  in  $\mathscr{S}$  such that the following axioms hold:

(1) 
$$q_0 \circ \alpha = p_0$$
  
(2)  $\alpha \circ p_1 = q_1 \circ (id_{A_1} \times_{A_0} \alpha)$ 

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