Prevalence of Glaucoma in Hospitalized Older Adults with Alzheimer's Disease

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ABSTRACT: *Objective:* To determine the prevalence of glaucoma in older adults with dementia of the Alzheimer's type (DAT). *Methods:* Retrospective chart review: The prevalence of glaucoma was determined in older adults with a diagnosis of DAT or mixed dementia (DAT with vascular contribution) admitted to the geriatric and neurology units of the Centre hospitalier de l'Université de Montréal and the Hôpital Maisonneuve-Rosemont between April 2008 and April 2009 (n=220; DAT group). They were matched for age and date of hospitalisation to the first 220 individuals without dementia (control group) recruited from other medical units in the same hospitals: gastroenterology, internal medicine or cardiology. A diagnosis of glaucoma was deemed positive if recorded in the chart or if there was a medication compatible with this diagnosis. Chi-square tests were used for between-group comparisons. *Results:* Subjects' age ranged from 66 to 101 years. The prevalence of glaucoma was 6.8% in our study population (n=30/440). Glaucoma was significantly more prevalent in the DAT group (n= 21; 9.5%) than in the control group (n= 9; 4.1%) [$\chi_1^2 = 5.15$; p = 0.023]. *Conclusions:* The prevalence of glaucoma was higher in a group of older adults with DAT than in a comparable control group. These results underscore the importance of providing regular eyecare for persons affected by DAT.

RÉSUMÉ: Prévalence du glaucome chez des personnes âgées hospitalisées atteintes de la maladie d'Alzheimer. Objectif: Déterminer la prévalence du glaucome chez des personnes âgées atteintes de démence de type Alzheimer (DTA). Méthode: Revue rétrospective de dossiers: La prévalence du glaucome a été déterminée chez des personnes âgées, chez qui un diagnostic de DTA ou de démence mixte (DTA avec composante vasculaire) avait été posé, admis à l'unité gériatrique ou à l'unité neurologique du Centre hospitalier de l'Université de Montréal ou à l'Hôpital Maisonneuve-Rosemont entre avril 2008 et avril 2009 (groupe DTA n = 220). Ils ont été appariés pour l'âge et la date d'hospitalisation aux 220 premiers individus sans démence (groupe témoin) admis dans d'autres unités médicales des mêmes hôpitaux, soit la gastroentérologie, la médecine interne ou la cardiologie. Le diagnostic de glaucome a été considéré comme positif s'il avait été inscrit au dossier ou ou s'il y avait prise de médication compatible avec ce diagnostic. Nous avons utilisé le chi-carré pour comparer les groupes. Résultats: L'âge des sujets variait de 66 à 101 ans. La prévalence du glaucome était de 6,8% dans la population à l'étude (n = 30/440). La prévalence du glaucome était significativement plus élevée dans le groupe atteint de DTA (n = 21; 9,5%) que dans le groupe témoin (n = 9; 4,1%) [$\chi_1^2 = 5,15$; p = 0,023]. Conclusion: La prévalence du glaucome était plus élevée dans un groupe de personnes âgées atteintes de DTA que dans un groupe témoin comparable. Ces résultats soulignent l'importance de fournir des soins oculovisuels réguliers aux individus atteints de DTA.

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Statistics indicate that 14% of the Canadian population was 65 years-of-age and older in 2009¹, and that this proportion will nearly double by the year 2036². The Canadian Study of Health and Aging (CSHA) further indicated that 8% of Canadians 65 years-of-age and older, and 33% of those 85 years-old (y.o.) and older suffer from dementia³. Dementia of the Alzheimer's type (DAT) is the most common type of dementia in older adults³, and is often found in combination with vascular cerebral lesions, a condition referred to as mixed dementia.

Canadian statistics also indicate that the prevalence of ocular diseases increases with age, with glaucoma affecting 6% of Canadians 65 y.o. and older⁴. Glaucoma is the second leading cause of blindness worldwide⁵, and represents the first irreversible cause of vision loss in industrialised countries⁶.

Many studies have reported similarities between DAT and open-angle glaucoma (OAG), although controversy remains as to whether or not there is a potential link between the two

diseases. Both DAT and glaucoma are more prevalent with age and in women^{7,8}. Whereas a loss of retinal ganglion cells and their axons, as well as a thinning of the retinal nerve fiber layer are well described in glaucoma⁹, these changes have also been reported in DAT^{10,11}. This has been correlated with functional loss^{12,13} although it is not universally accepted¹⁴⁻¹⁶. The apolipoprotein E (ApoE) 4 allele is an important genetic risk

From the School of Optometry (AAP, MET, HK), Faculty of Medicine (TB, MJK, FM, JL, CC), Institut universitaire de gériatrie de Montréal (MJK, FM, JL, CC, HK), Centre hospitalier de l'Université de Montréal (FM, JL, CC), Hôpital Maisonneuve-Rosemont (CC), l'Université de Montréal, Montréal, Ouébec, Canada.

RECEIVED JULY 19, 2013. FINAL REVISIONS SUBMITTED SEPTEMBER 17, 2013. Correspondence to: Hélène Kergoat, School of Optometry, University of Montreal, Succ. Centre-Ville; Montréal, Québec, H3C 3J7, Canada. Email: helene.kergoat@umontreal.ca factor for DAT¹⁷ and it has also been positively associated with OAG^{18,19}. However, this remains a controversial issue²⁰⁻²² as no difference in the percentage of individuals having the ApoE4 allele and suffering from DAT and glaucoma was found compared to those having DAT without glaucoma²³.

Studies using experimental animal models of ocular hypertension or glaucoma have found an increased level of amyloid beta (Aß), a protein found in senile plaques of the brain of individuals with DAT, in the retinal ganglion cells and optic nerve^{24,25}. Moreover, the presence of abnormal tau protein molecules, which are found in the brain of individuals with DAT, was found in the retinal tissue of patients with glaucoma²⁶. Wostyn²⁷ has suggested that a decreased cerebrospinal fluid pressure (CSFP), found in some patients with DAT, could be a potential mechanism leading to glaucoma in those individuals. This hypothesis is derived from results showing that both an elevated intraocular pressure (IOP) or a reduced CSFP was found in glaucoma patients28, and if found alone or in combination can lead to optic nerve damage²⁸. Finally, although some post-mortem²⁹ and clinical studies have concluded that individuals with DAT were at greater risk of developing glaucoma^{23,30-32}, epidemiological studies have failed to confirm such results³³⁻³⁵.

In view of these controversial results and in the context of global aging of the population, it is of prime importance to verify if there is an association between DAT and glaucoma. Therefore, the objective of this study was to determine if glaucoma is more prevalent in older individuals with DAT compared with agematched controls, in a Canadian population.

METHODS

Hospitals

This study was conducted at the Centre hospitalier de l'Université de Montréal (CHUM) and the Hôpital Maisonneuve-Rosemont (HMR). These hospitals are both large academic centers where it was possible to identify a target number of case subjects and the corresponding number of agematched controls within the same period of hospitalisation. This study was approved by the Research Ethics Committees of the CHUM and the HMR.

Clinical chart review

We retrospectively reviewed all charts of patients 65 y.o. and older, admitted to these hospitals between April 2008 and April 2009 in the geriatric or neurology units. Case subjects had to have a diagnosis of DAT or mixed dementia given either before or during the period of hospitalisation. In these units, special attention is given to cognitive impairment. Patients with a presumed diagnosis of dementia are clinically evaluated to insure that the diagnosis is valid according to published criteria^{36,37}. In patients without a diagnosis of dementia, cognitive evaluation on history and physical examination is done routinely. Exclusion criteria for cases were: other form of dementia, massive stroke, and long-term use of corticosteroids (because of its potential effect on intraocular pressure). The control subjects were matched for age and period of hospitalisation (± 3 months) from the cardiology, gastroenterology or internal medicine units of the same hospital on a one-to-one basis. Control subjects had to be free of any type of dementia, massive stroke or long-term use of corticosteroids. Data collection included: date of birth, age, sex, date of hospitalisation, reason for admission, Mini-Mental State Examination (MMSE) score, presence or absence of dementia, presence or absence of glaucoma, and medication linked to dementia or glaucoma. The data were entered in FileMaker Pro (File Maker, Inc., Santa Clara, CA) on a portable Macintosh computer. The data were interrogated and analysed directly in FileMaker Pro or after exportation in Excel.

Statistical Analyses

Mean, standard deviation and percentage were calculated. Differences in prevalence of glaucoma between groups (DAT vs control groups) were obtained using Chi-square tests. An alpha level of 0.05 was selected for statistical significance.

RESULTS

Over the one year study period, 220 patients fulfilling our inclusion/exclusion criteria were admitted to the hospital and were diagnosed with DAT or mixed dementia. These patients were age-matched on a one-to-one basis with control subjects admitted to the same hospital within the same period of time. Each of these 440 clinical charts were thoroughly reviewed to collect the study data. Of the 220 case subjects, 65.5% took a medication consistent with the disease (donepezil, rivastigmine, galantamine or memantine). Overall, 71.4% of these case subjects were females. Their ages varied from 66 to 101 y.o., with a mean of 83.7 ± 6.3 years. A MMSE score was available for 181 case subjects and varied from 2 to 29, with a mean of 19.6 ± 5.6 . Amongst the 220 control subjects without dementia, 65.0% were females and their age varied from 66 to 100 y.o. with a mean of 83.6 ± 7.6 years. A MMSE score was rarely available for these control subjects. However, none of them had or received a diagnosis of dementia during hospitalisation or was on any medication used against dementia.

Altogether, 30 of the 440 subjects were classified as having glaucoma, based on self-reported history, medication compatible with the diagnosis or results of an eye exam (see Table). All controls with the diagnosis were on antiglaucoma medication while six DAT subjects with the disease were not, including one for whom the results of an eye exam was in the chart. The overall prevalence of glaucoma was 6.8% for our study population. Of those 30 subjects, 21 were from the case group and nine from the control group, representing respectively a prevalence of glaucoma of 9.5% vs 4.1% ($\chi_1^2 = 5.15$; p = 0.023).

DISCUSSION

To our knowledge, no study similar to the one presented here has been conducted in the Canadian population. Our data support the hypothesis that the prevalence of glaucoma is increased in individuals with DAT. Our results are in agreement with previous data from Germany and Japan which have found prevalence of glaucoma of 25.9% vs 5.2%³⁰, 24.5% vs 6.5%³¹ and 23.8% vs 9.9%²³, respectively, in individuals with DAT compared to controls. They have further shown, although in a very small number of individuals, that progression of glaucoma is more severe in individuals with DAT³². It has been

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Table: Summary break down indicating on what basis the subjects were classified as having a diagnosis of glaucoma, and the number of subjects in each category.

Test group	History	Medication	File
DAT	21	15	5
Controls	9	9	1

DAT=dementia of the Alzheimer's type

hypothesized that the optic nerve head in individuals with DAT is less resistant to increased IOP, predisposing the eye to develop glaucoma rather than ocular hypertension³⁰.

The main limitations of our study are its retrospective and cross-sectional design. This could lead to underestimation of the prevalence of both glaucoma and dementia. Also, causality and direction of the association cannot be assessed with such a design. The lower prevalence values of glaucoma obtained in our study compared to those cited above might be related to its retrospective nature. Glaucoma develops and progresses insidiously and thus may remain asymptomatic for a while. Therefore, it is possible that glaucoma was present, but undiagnosed, in more study subjects in either group, than found by chart review. However, it is unlikely that this would have affected significantly the study outcomes since the prevalence of glaucoma was 2.35 times higher in the case vs control groups, and that there is no reason to believe that glaucoma is more recognized in individuals with dementia than in controls. In fact, it is more likely that glaucoma is underestimated in DAT subjects compared to control subjects because individuals with DAT may not be able to report their symptoms as well or to specifically ask for regular eyecare. The type of glaucoma could not always be confirmed by clinical chart. However, in Canada, about 90% of glaucoma is of the OAG type³⁸, which most likely then was the cause of glaucoma in our study subjects. It is also possible that some subjects from the control group had undiagnosed DAT or mixed dementia, and the fact that no control subject was on medication used against dementia does not preclude such diagnoses. However, and in spite of the limitations acknowledged herein, it remains that the combined results of the present study and previous reports, certainly stress the relevance of conducting a well-designed, larger-scale, clinical prospective case-control study to confirm if individuals with DAT are at higher risk of glaucoma.

Despite the study limitations highlighted above, the present results are important and they should, at the least, raise the awareness of all Canadian physicians and eyecare specialists who examine older adults with DAT. First, glaucoma is an insidious disease, potentially leading to blindness, that may remain undetected if an eye exam is not performed. Second, older persons with dementia may not be able to identify, or to express, any changes happening in their vision; alternatively they may not receive eyecare because of other more obvious health issues linked to dementia. Furthermore, with progression of dementia, many of those individuals will eventually be institutionalized. It has been reported that residents in long-term care have less access to eyecare³⁹, in Canada as well⁴⁰. Therefore, older residents with dementia having a diagnosis of

glaucoma should receive regular eyecare to optimize treatment and preserve their vision. On the other hand, residents with dementia but without a pre-existing diagnosis of glaucoma at the time of admission should receive regular preventative eyecare. This should not be underrated since it is well documented that having combined cognitive and visual deficits places these residents at higher risk of negative consequences⁴¹, including delirium⁴².

There have been two longitudinal population-based epidemiologic studies evaluating the risk of developing DAT in older individuals having glaucoma, one conducted in Denmark³³ and one in the United States³⁵, that failed to find an increased risk of developing DAT in older individuals with glaucoma. A third longitudinal population-based epidemiologic study did not find that individuals with normal tension glaucoma (NTG) were at higher risk of developing DAT³⁴. This latter study had focused on NTG specifically, with the hypothesis that NTG is a neurodegenerative disease while OAG associated with increased IOP might have another origin. It is important to note that these epidemiologic studies focused on the risk of developing DAT in individuals with glaucoma while the clinical studies have indicated that there was an increased prevalence of glaucoma in individuals with DAT. There might be risk factors in DAT placing these individuals at increased risk of developing glaucoma, while individuals with glaucoma may not have specific risk factors for DAT. Thus, future longitudinal epidemiologic studies should focus on the risk of developing glaucoma in patients with DAT. Although DAT and OAG seem to share common genetic and pathophysiological features, they may also present important differences making the eye of an individual with DAT more susceptible to glaucoma, without making an individual with glaucoma more at risk for DAT.

CONCLUSION

In conclusion, our results indicate that the prevalence of glaucoma is increased in individuals having DAT. It underscores the importance of conducting a large-scale prospective study able to confirm these results and generalize them to the population. If a consensus is reached linking DAT and glaucoma, best clinical practices will have to ensure that older adults with DAT are properly screened, followed-up and treated for glaucoma.

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