

Chasing Silver

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Abstract. We show that limits of CS iterations of the n -Silver forcing notion have the n -localization property.

1 Introduction

This paper is concerned with the n -localization property of the n -Silver forcing notion and countable support (CS) iterations of such forcings. The property of n -localization was introduced by Newelski and Rosłanowski [12, p. 826].

Definition 1.1 Let n be an integer greater than 1.

- (i) A tree T is an n -ary tree provided that $(\forall s \in T)(|\text{succ}_T(s)| \leq n)$.
- (ii) A forcing notion \mathbb{P} has the n -localization property if

$$\Vdash_{\mathbb{P}} \text{“} (\forall f \in {}^\omega\omega)(\exists T \in \mathbf{V})(T \text{ is an } n\text{-ary tree and } f \in [T]) \text{”}.$$

Later the n -localization property, the σ -ideal generated by n -ary trees, and the n -Sacks forcing notion \mathbb{D}_n (see Definition 2.1) were applied to problems on convexity numbers of closed subsets of \mathbb{R}^n , ([3–5]).

We do not yet have any result of the form “CS iteration of proper forcing notions with the n -localization property has the n -localization”. A somewhat uniform and general treatment of preserving the n -localization was recently presented in [15]. However, that treatment does not cover the n -Silver forcing notion \mathbb{S}_n (see Definition 2.1). As a matter of fact, at one point it was not clear if \mathbb{S}_n has the property at all. It was stated in [12, Theorem 2.3] that the same proof as for \mathbb{D}_n works also for CS iterations and products of the n -Silver forcing notions \mathbb{S}_n (see Definition 2.1(3)). Perhaps some old wisdom got lost, but it does not appear likely that *the same arguments work for the n -Silver forcing \mathbb{S}_n* . In the present paper we correct this gap and provide a full proof that CS iterations of \mathbb{S}_n (and other forcings listed in Definition 2.1) have the n -localization property, see Corollary 2.6.

Our main result, Theorem 2.5, seems to be very \mathbb{S}_n -specific and it is not clear to what extent it may be generalized. In particular, the following general problem remains open.

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Problem 1.2 Do CS iterations of proper forcing notions with the n -localization property have the n -localization property? What if we restrict ourselves to (s)nep forcing notions (see Shelah [17]) or even Suslin⁺ (see [6, 9])?

1.1 Notation

Our notation is rather standard and compatible with that of classical textbooks [7]. In forcing, however, we keep the older convention that a stronger condition is the larger one.

- (i) n is our fixed integer, $n \geq 2$.
- (ii) For two sequences η, ν we write $\nu \triangleleft \eta$ whenever ν is a proper initial segment of η , and $\nu \trianglelefteq \eta$ when either $\nu \triangleleft \eta$ or $\nu = \eta$. The length of a sequence η is denoted by $\text{lh}(\eta)$.
- (iii) A tree is a family of finite sequences closed under initial segments. For a tree T and $\eta \in T$ we define the successors of η in T and the maximal points of T by:

$$\text{succ}_T(\eta) = \{\nu \in T : \eta \triangleleft \nu \text{ and } \neg(\exists \rho \in T)(\eta \triangleleft \rho \triangleleft \nu)\},$$

$$\text{max}(T) = \{\nu \in T : \text{there is no } \rho \in T \text{ such that } \nu \triangleleft \rho\}.$$

For a tree T the family of all ω -branches through T is denoted by $[T]$.

- (iv) For a forcing notion \mathbb{P} , all \mathbb{P} -names for objects in the extension via \mathbb{P} will be denoted with a tilde below, e.g., $\tilde{\mathcal{T}}, \tilde{X}$.

Let us explain what is a possible problem with the n -Silver forcing; let us look at the “classical” Silver forcing \mathbb{S}_2 . Given a Silver condition f such that $f \Vdash_{\mathbb{S}_2} \tilde{\mathcal{T}} \in {}^\omega\omega$, standard arguments allow it to be assumed that the complement of the domain of f can be enumerated in the increasing order as $\{k_i : i < \omega\}$ and that for each $i \in \omega$ and $\rho : \{k_j : j < i\} \rightarrow 2$ the condition $f \cup \rho$ decides the value of $\tilde{\mathcal{T}} \upharpoonright i$, say $f \cup \rho \Vdash \tilde{\mathcal{T}} \upharpoonright i = \sigma_\rho$. Now one could take the tree

$$T^\oplus = \{\nu \in {}^\omega\omega : (\exists i < \omega)(\exists \rho \in \{k_j : j < i\} 2)(\nu \trianglelefteq \sigma_\rho)\}.$$

Easily $p \Vdash \tilde{\mathcal{T}} \in [T^\oplus]$, but T^\oplus does not have to be a binary tree! (It could well be that $\sigma_\rho = \sigma^*$ for all ρ of length 100 and then $\sigma_{\rho'}$ for ρ' of length 101 are pairwise distinct.) So we would like to make sure that σ_ρ for ρ 's of the same length are distinct, but this does not have to be possible. To show that \mathbb{S}_2 has the 2-localization property we have to be a little bit more careful. Let us give a combinatorial result which easily implies that \mathbb{S}_2 has the 2-localization property. Its proof is the heart of our proof of Theorem 2.5.

Fix $\Psi : {}^{\omega>}2 \rightarrow \omega$. We define $\Psi^* : {}^{\omega>}2 \rightarrow {}^{\omega>}\omega$ by induction. Let $\Psi^*(\langle \rangle) = \langle \rangle$ and define $\Psi^*(t \frown \langle i \rangle) = \Psi^*(t) \frown \langle \Psi(t \frown \langle i \rangle) \rangle$. If ξ is a partial function from ω to 2 and $\ell \leq \omega$, define $W^\ell(\xi) = \{t \in {}^m 2 : m < \min(\ell + 1, \omega) \text{ and } \xi \upharpoonright m \subseteq t\}$ and then define $T^\ell(\xi) = \{\Psi^*(t) : t \in W^\ell(\xi)\}$, $T(\xi) = T^\omega(\xi)$.

Theorem 1.3 For any $\Psi : {}^{\omega>}2 \rightarrow \omega$ there is a partial function $\xi : \omega \rightarrow 2$ with co-infinite domain such that $T(\xi)$ is a binary tree.

Proof To begin, two equivalence relations on ${}^{\omega}>2$ will be defined. First, define $s \equiv t$ if and only if $\Psi(t \smallfrown \theta) = \Psi(s \smallfrown \theta)$ for all $\theta \in {}^{\omega}>2$. Next, define $s \sim t$ if and only if $\Psi^*(s) = \Psi^*(t)$.

Now construct by induction on $m < \omega$ an increasing sequence

$$x_0 < x_1 < \dots < x_m < N_m$$

and $\xi_m: N_m \setminus \{x_0, x_1, \dots, x_m\} \rightarrow 2$ such that $T^{N_m}(\xi_m)$ is a binary branching tree and, moreover, if s and t are maximal elements of $W^{N_m}(\xi_m)$ and $t \sim s$, then $t \equiv s$. The induction starts with $x_0 = 0$. If the induction has been completed for m , then let $x_{m+1} = N_m$. Let $\Delta = \{d_0, d_1, \dots, d_j\}$ be a set of maximal elements of $W^{N_m}(\xi_m)$ such that precisely one member of each \sim equivalence class belongs to Δ . Now, by induction on $i \leq j$ define N^i and $\xi^i: N^i \setminus (N_m + 1) \rightarrow 2$ as follows. Let $N^0 = N_m + 1$ and let $\xi^0 = \emptyset$. Given N^i and ξ^i , if there is some $N > N^i$ and $\xi \supseteq \xi^i$ such that $d_i \smallfrown \langle 0 \rangle \smallfrown \xi \equiv d_i \smallfrown \langle 1 \rangle \smallfrown \xi$, then let $N^{i+1} = N$ and let $\xi^{i+1} = \xi$. Otherwise it must be the case that $d_i \smallfrown \langle 0 \rangle \smallfrown \xi^i \not\equiv d_i \smallfrown \langle 1 \rangle \smallfrown \xi^i$ and so it must be possible to find $N^{i+1} > N^i$ and $\xi^{i+1} \supseteq \xi^i$ such that $d_i \smallfrown \langle 0 \rangle \smallfrown \xi^{i+1} \not\sim d_i \smallfrown \langle 1 \rangle \smallfrown \xi^{i+1}$. Finally, let $N_{m+1} = N^j$ and $\xi_{m+1} = \xi_m \cup \xi^j$.

To see that this works, it must be shown that $T^{N_{m+1}}(\xi_{m+1})$ is a binary tree and that if s and t are maximal elements of $W^{N_{m+1}}(\xi_{m+1})$ and $t \sim s$, then $t \equiv s$. To check the first condition it suffices to take t a maximal element of $T^{N_m}(\xi_m)$ and check that the tree $T^{N_{m+1}}(\xi_{m+1})$ above t is binary. Then $t = \Psi^*(d_i)$ for some i , and the tree $T^{N_{m+1}}(\xi_{m+1})$ above t is generated by all $\Psi^*(d \smallfrown \langle a \rangle \smallfrown \xi^j)$ where $d \sim d_i$ and $a \in 2$. Note however that by the induction hypothesis, if $d \sim d_i$, then $d \equiv d_i$ and so

$$\Psi^*(d \smallfrown \langle a \rangle \smallfrown \xi^j) = \Psi^*(d_i \smallfrown \langle a \rangle \smallfrown \xi^j).$$

Therefore $\Psi^*(d \smallfrown \langle a \rangle \smallfrown \xi^j)$ depends only on a and not on d and so $T^{N_{m+1}}(\xi_{m+1})$ is binary above t .

To check the second condition, suppose that s and t are maximal elements of $W^{N_{m+1}}(\xi_{m+1})$ and $t \sim s$. This implies that $t \upharpoonright N_m \sim s \upharpoonright N_m$ and hence $t \upharpoonright N_m \equiv s \upharpoonright N_m$. Let i be such that $t \upharpoonright N_m \sim s \upharpoonright N_m \sim d_i$. If $t(N_m) = s(N_m) = y$, then $t = t \upharpoonright N_m \smallfrown \langle y \rangle \smallfrown \xi^j$ and $s = s \upharpoonright N_m \smallfrown \langle y \rangle \smallfrown \xi^j$ and, since $t \upharpoonright N_m \equiv s \upharpoonright N_m$, it is immediate that $t \equiv s$. So assume that $t(N_m) = 0$ and $s(N_m) = 1$. By the same argument it follows that $t \equiv d_i \smallfrown \langle 0 \rangle \smallfrown \xi^j$ and $s \equiv d_i \smallfrown \langle 1 \rangle \smallfrown \xi^j$. Hence it suffices to show that $d_i \smallfrown \langle 0 \rangle \smallfrown \xi^j \equiv d_i \smallfrown \langle 1 \rangle \smallfrown \xi^j$. Note that $d_i \smallfrown \langle 0 \rangle \smallfrown \xi^j \sim d_i \smallfrown \langle 1 \rangle \smallfrown \xi^j$ since $t \sim d_i \smallfrown \langle 0 \rangle \smallfrown \xi^j$ and $s \sim d_i \smallfrown \langle 1 \rangle \smallfrown \xi^j$. This means that it must have been possible to find ξ^i such that $d_i \smallfrown \langle 0 \rangle \smallfrown \xi^i \equiv d_i \smallfrown \langle 1 \rangle \smallfrown \xi^i$. It follows that $d_i \smallfrown \langle 0 \rangle \smallfrown \xi^j \equiv d_i \smallfrown \langle 1 \rangle \smallfrown \xi^j$.

After the construction is carried out we let $\xi = \bigcup_{m < \omega} \xi_m$. ■

2 The Result and Its Applications

Let us start by recalling the definitions of the forcing notions which have appeared in the literature in the context of the n -localization property.

Definition 2.1 (i) *The n -Sacks forcing notion \mathbb{D}_n consists of perfect trees $p \subseteq {}^{\omega}>n$ such that $(\forall \eta \in p)(\exists \nu \in p)(\eta \smallfrown \nu \text{ and } \text{succ}_p(\eta) = n)$. The order of \mathbb{D}_n is the*

reverse inclusion, i.e., $p \leq_{\mathbb{D}_n} q$ (q is \mathbb{D}_n -stronger than p) if and only if $q \subseteq p$. (See [12].)

- (ii) The uniform n -Sacks forcing notion \mathbb{Q}_n consists of perfect trees $p \subseteq {}^\omega n$ such that $(\exists X \in [\omega]^\omega)(\forall \eta \in p)(\text{lh}(\eta) \in X \Rightarrow \text{succ}_p(\nu) = n)$. The order of \mathbb{Q}_n is the reverse inclusion, i.e., $p \leq_{\mathbb{Q}_n} q$ (q is \mathbb{Q}_n -stronger than p) if and only if $q \subseteq p$. (See [14].)
- (iii) Let us assume that $G = (V, E)$ is a hypergraph on a Polish space V such that
 - $E \subseteq [V]^{n+1}$ is open in the topology inherited from V^{n+1} ,
 - $(\forall e \in E)(\forall v \in V \setminus e)(\exists w \in e)((e \setminus \{w\}) \cup \{v\} \in E)$,
 - for every non-empty open subset U of V and every countable family \mathcal{F} of subsets of U , either $\bigcup \mathcal{F} \neq U$ or $[F]^{n+1} \cap E \neq \emptyset$ for some $F \in \mathcal{F}$.

The Geschke forcing notion \mathbb{P}_G for G consists of all closed sets $C \subseteq V$ such that the hypergraph $(C, E \cap [C]^{n+1})$ is uncountably chromatic on every non-empty open subset of C . The order of \mathbb{P}_G is the inverse inclusion, i.e., $C \leq_{\mathbb{P}_G} D$ (D is \mathbb{P}_G -stronger than C) if and only if $D \subseteq C$. (See [3].)

- Definition 2.2**
- (i) The n -Silver forcing notion \mathbb{S}_n consists of partial functions f such that $\text{Dom}(f) \subseteq \omega$, $\text{Rng}(f) \subseteq n$ and $\omega \setminus \text{Dom}(f)$ is infinite. The order of \mathbb{S}_n is the inclusion, i.e., $f \leq_{\mathbb{S}_n} g$ (g is \mathbb{S}_n -stronger than f) if and only if $f \subseteq g$.
 - (ii) For an integer $i \in \omega$ and a condition $f \in \mathbb{S}_n$ we let $\text{FP}_i(f)$ to be the unique element of $\omega \setminus \text{Dom}(f)$ such that $|\text{FP}_i(f) \setminus \text{Dom}(f)| = i$. (The FP stands for *Free Point*.)
 - (iii) A binary relation \leq_i^* on \mathbb{S}_n is defined by $f \leq_i^* g$ if and only if $(f, g \in \mathbb{S}_n$ and $f \leq_{\mathbb{S}_n} g$ and $(\forall j \in \omega)(j < \lfloor i/4 \rfloor \Rightarrow \text{FP}_j(f) = \text{FP}_j(g))$.
 - (iv) For $f \in \mathbb{S}_n$ and $\sigma: N \rightarrow n$, $N < \omega$ we define $f * \sigma$ as the unique condition in \mathbb{S}_n such that $\text{Dom}(f * \sigma) = \text{Dom}(f) \cup \{\text{FP}_i(f) : i < N\}$, $f \subseteq f * \sigma$ and $f * \sigma(\text{FP}_i(f)) = \sigma(i)$ for $i < N$.

The following properties of forcing notions were introduced in [15] to deal with the n -localization of CS iterations.

Definition 2.3 Let \mathbb{P} be a forcing notion.

- (i) For a condition $p \in \mathbb{P}$ we define a game $\mathfrak{D}_n^\ominus(p, \mathbb{P})$ of two players, *Generic* and *Antigeneric*. A play of $\mathfrak{D}_n^\ominus(p, \mathbb{P})$ lasts ω moves, and during it the players construct a sequence $\langle (s_i, \bar{\eta}^i, \bar{p}^i, \bar{q}^i) : i < \omega \rangle$ as follows. At a stage $i < \omega$ of the play:
 - (α) First *Generic* chooses a finite n -ary tree s_i such that $|\text{max}(s_0)| \leq n$, and if $i = j + 1$, then s_j is a subtree of s_i such that

$$(\forall \eta \in \text{max}(s_i)) (\exists \ell < \text{lh}(\eta)) (\eta \upharpoonright \ell \in \text{max}(s_j)),$$
 and

$$(\forall \nu \in \text{max}(s_j)) (0 < |\{\eta \in \text{max}(s_i) : \nu \triangleleft \eta\}| \leq n).$$
 - (β) Next *Generic* picks an enumeration $\bar{\eta}^i = \langle \eta_\ell^i : \ell < k_i \rangle$ of $\text{max}(s_i)$ (so $k_i < \omega$), and then the two players play a subgame of length k_i , choosing

successive terms of a sequence $\langle p_{\eta_\ell}^i, q_{\eta_\ell}^i : \ell < k_i \rangle$. At a stage $\ell < k_i$ of the subgame:

$(\gamma)_\ell^i$ First Generic picks a condition $p_{\eta_\ell}^i \in \mathbb{P}$ such that If $j < i, \nu \in \max(s_j)$ and $\nu \triangleleft \eta_\ell^i$, then $q_\nu^j \leq p_{\eta_\ell}^i$ and $p \leq p_{\eta_\ell}^i$.

$(\delta)_\ell^i$ Then Antigeneric answers with a condition $q_{\eta_\ell}^i$ stronger than $p_{\eta_\ell}^i$.

After the subgame of this stage is over, the players put $\bar{p}^i = \langle p_{\eta_\ell}^i : \ell < k_i \rangle$ and $\bar{q}^i = \langle q_{\eta_\ell}^i : \ell < k_i \rangle$.

Finally, Generic wins the play $\langle (s_i, \bar{\eta}^i, \bar{p}^i, \bar{q}^i) : i < \omega \rangle$ if and only if

(\otimes) there is a condition $q \geq p$ such that for every $i < \omega$ the family $\{q_\eta^i : \eta \in \max(s_i)\}$ is predense above q .

(ii) We say that \mathbb{P} has the \ominus_n -property whenever Generic has a winning strategy in the game $\mathfrak{D}_n^\ominus(p, \mathbb{P})$ for any $p \in \mathbb{P}$.

(iii) Let $K \in [\omega]^\omega, p \in \mathbb{P}$. A strategy \mathbf{st} for Generic in $\mathfrak{D}_n^\ominus(p, \mathbb{P})$ is K -nice whenever

$(\boxtimes_{\text{nice}}^K)$ if so far Generic used \mathbf{st} , and s_i and $\bar{\eta}^i = \langle \eta_\ell^i : \ell < k \rangle$ are given to that player as innings at a stage $i < \omega$, then

– $s_i \subseteq \bigcup_{j \leq i+1} j(n+1), \max(s_i) \subseteq {}^{(i+1)}(n+1)$;

– if $\eta \in \max(s_i)$ and $i \notin K$, then $\eta(i) = n$;

– if $\eta \in \max(s_i)$ and $i \in K$, then $\text{succ}_{s_i}(\eta \upharpoonright i) = n$;

– if $i \in K$ and $\langle p_{\eta_\ell}^i, q_{\eta_\ell}^i : \ell < k \rangle$ is the result of the subgame of level i in which Generic uses \mathbf{st} , then the conditions $p_{\eta_\ell}^i$ (for $\ell < k$) are pairwise incompatible.

(iv) We say that \mathbb{P} has the nice \ominus_n -property if for every $K \in [\omega]^\omega$ and $p \in \mathbb{P}$, Generic has a K -nice winning strategy in $\mathfrak{D}_n^\ominus(p, \mathbb{P})$.

Theorem 2.4 (See [15, 3.1, 1.6, 1.4]) *The limits of CS iterations of the forcing notions defined in Definitions 2.1 and 2.2 have the nice \ominus_n -property.*

Now we may formulate our main result.

Theorem 2.5 *Assume that \mathbb{P} has the nice \ominus_n -property and the n -localization property. Let \mathbb{S}_n be the \mathbb{P} -name for the n -Silver forcing notion. Then the composition $\mathbb{P} * \mathbb{S}_n$ has the n -localization property.*

The proof of Theorem 2.5 is presented in the following section. Let us note here that this theorem implies n -localization for CS iterations of the forcing notions mentioned earlier.

Corollary 2.6 *Let $\bar{\mathbb{Q}} = \langle \mathbb{P}_\xi, \mathbb{Q}_\xi : \xi < \gamma \rangle$ be a CS iteration such that, for every $\xi < \gamma$, \mathbb{Q}_ξ is a \mathbb{P}_ξ -name for one of the forcing notions defined in Definitions 2.1 and 2.2. Then $\bar{\mathbb{P}}_\gamma = \text{lim}(\bar{\mathbb{Q}})$ has the n -localization property.*

Proof By induction on γ .

If $\gamma = \gamma_0 + 1$ and \mathbb{Q}_{γ_0} is a \mathbb{P}_{γ_0} -name for the n -Silver forcing notion, then Theorem 2.5 applies. (Note that \mathbb{P}_{γ_0} has the nice \ominus_n -property by Theorem 2.4 and it has the n -localization property by the inductive hypothesis.)

If $\gamma = \gamma_0 + 1$ and \mathbb{Q}_{γ_0} is a \mathbb{P}_{γ_0} -name for \mathbb{D}_n or \mathbb{Q}_n or \mathbb{P}_G , then [15, Theorem 3.5(2)] applies. (Note that \mathbb{P}_{γ_0} has the nice \ominus_n -property by Theorem 2.4 and it has the n -localization property by the inductive hypothesis.)

If γ is limit, then [15, Theorem 3.5(1)] applies. ■

The first immediate consequence of Corollary 2.6 is that if $n < m$, then the forcing notions \mathbb{D}_n and \mathbb{D}_m differ in a strong sense: CS iterations of the former forcing do not add generic objects for the latter forcing. A similar observation can be formulated for the Silver forcing notions, as in the following.

Corollary 2.7 *No CS iteration of \mathbb{S}_2 adds an \mathbb{S}_4 -generic real.*

Another application of Corollary 2.6 and the CS iteration of the Silver forcing notion is related to covering numbers of some ideals.

Definition 2.8 Let $2 \leq m < \omega$.

(i) For a function $\varphi: {}^{<\omega}m \rightarrow m$, put

$$A_\varphi = \{c \in {}^\omega m : (\exists k < \omega)(\forall \ell \geq k)(c(\ell) \neq \varphi(c \upharpoonright \ell))\}.$$

We let $\mathfrak{D}_m = \{A \subseteq {}^\omega m : A \subseteq A_\varphi \text{ for some function } \varphi: {}^{<\omega}m \rightarrow m\}$.

(ii) We define

$$\mathfrak{F}_m = \{A \subseteq {}^\omega m : (\forall K \in [\omega]^\omega)(\exists f \in {}^K m)(\forall c \in A)(f \not\subseteq c)\},$$

$$\mathfrak{R}_m = \{A \subseteq {}^\omega m : (\forall f \in \mathbb{S}_m)(\exists g \geq_{\mathbb{S}_m} f)(\forall c \in A)(g \not\subseteq c)\}.$$

(iii) The covering number $\text{cov}(\mathfrak{I})$ of an ideal \mathfrak{I} of subsets of a space \mathcal{X} is defined as

$$\text{cov}(\mathfrak{I}) = \min(|\mathcal{B}| : \mathcal{B} \subseteq \mathfrak{I} \text{ and } \bigcup \mathcal{B} = \mathcal{X}).$$

Note that \mathfrak{D}_{n+1} is a σ -ideal of subsets of ${}^\omega(n+1)$, moreover it is the σ -ideal generated by sets of the form $[T]$ for n -ary trees $T \subseteq {}^{<\omega}(n+1)$. The ideals \mathfrak{D}_m appeared implicitly in Mycielski’s proof of the determinacy of unsymmetric games on analytic sets in [10] and later were studied, for instance, in [4, 12, 13].

Also \mathfrak{F}_n and \mathfrak{R}_n are σ -ideals of subsets of ${}^\omega n$. The ideal \mathfrak{F}_n is one of the ideals motivated by the Mycielski ideals of [11]. It was introduced in [13] and later it was studied, for example, in [1, 2, 8, 14, 16, 18]. Shelah and Steprāns [18] showed that $\text{cov}(\mathfrak{F}_n) = \text{cov}(\mathfrak{F}_{n+1})$, $\text{cov}(\mathfrak{R}_n) \geq \text{cov}(\mathfrak{R}_{n+1})$, and consistently the latter inequality is strict. The consistency result in [18] was actually much stronger and it was obtained by means of finite support iteration of ccc forcing notions. However, if we are interested in the consistency of “ $\text{cov}(\mathfrak{R}_n) > \text{cov}(\mathfrak{R}_{n+1})$ ” only, then a CS iteration of \mathbb{S}_n will do the following.

Corollary 2.9 *Assume CH. Let $\langle \mathbb{P}_\alpha, \mathbb{Q}_\alpha : \alpha < \omega_2 \rangle$ be a countable support iteration such that $\Vdash_{\mathbb{P}_\alpha} \text{“}\mathbb{Q}_\alpha = \mathbb{S}_n\text{”}$ (for all $\alpha < \omega_2$). Then*

$$\begin{aligned} \Vdash_{\mathbb{P}_{\omega_2}} \text{“} 2^{\aleph_0} = \text{cov}(\mathfrak{R}_n) = \text{cov}(\mathfrak{F}_n) = \text{cov}(\mathfrak{F}_{n+1}) = \aleph_2, \\ \text{and } \text{cov}(\mathfrak{R}_{n+1}) = \text{cov}(\mathfrak{D}_{n+1}) = \aleph_1 \text{”}. \end{aligned}$$

3 Proof of Theorem 2.5

Let τ be a $\mathbb{P} * \mathbb{S}_n$ -name for a member of ${}^\omega\omega$. We may assume that for every \mathbb{P} -name ρ we have $\Vdash_{\mathbb{P} * \mathbb{S}_n} \tau \neq \rho$. If $G \subseteq \mathbb{P}$ is generic over \mathbf{V} , then we will use the same notation τ for \mathbb{S}_n -name in $\mathbf{V}[G]$ for a member of ${}^\omega\omega$ that is given by the original τ in the extension via $\mathbb{P} * \mathbb{S}_n$.

Let $(p, f) \in \mathbb{P} * \mathbb{S}_n$ and let \mathbf{st} be a winning strategy of Generic in $\mathcal{D}_n^\ominus(p, \mathbb{P})$ which is nice for the set $K = \{4j + 2 : j \in \omega\}$ (see Definition 2.3(iii)).

By induction on i we are going to choose for each $i < \omega$ $s_i, \bar{\eta}^i, \bar{p}^i, \bar{q}^i, \bar{f}_i$, and also for $m_i, \bar{\sigma}^i$ for odd $i < \omega$ such that the following conditions $(\boxtimes)_1 - (\boxtimes)_7$ are satisfied.

- $(\boxtimes)_1$ $\langle s_i, \bar{\eta}^i, \bar{p}^i, \bar{q}^i : i < \omega \rangle$ is a play of $\mathcal{D}_n^\ominus(p, \mathbb{P})$ in which Generic uses \mathbf{st} .
- $(\boxtimes)_2$ \bar{f}_i is a \mathbb{P} -name for a condition in \mathbb{S}_n , and we stipulate that $\bar{f}_{-1} = \bar{f}$.
- $(\boxtimes)_3$ $\bar{q}_\eta^i \Vdash_{\mathbb{P}} \bar{f}_{i-1} \leq_i^* \bar{f}_i$ for each $\eta \in \max(s_i)$.

For odd $i < \omega$:

- $(\boxtimes)_4$ $m_i < m_{i+2} < \omega, \bar{\sigma}^i = \langle \sigma_{\rho, \eta}^i : \eta \in \max(s_i) \text{ and } \rho \in [i/4]n \rangle, \sigma_{\rho, \eta}^i : m_i \rightarrow \omega$.
- $(\boxtimes)_5$ $(\bar{q}_\eta^i, \bar{f}_i * \rho) \Vdash_{\mathbb{P} * \mathbb{S}_n} \tau \upharpoonright m_i = \sigma_{\rho, \eta}^i$ for $\rho \in [i/4]n$ and $\eta \in \max(s_i)$.
- $(\boxtimes)_6$ If $\eta \in \max(s_i)$ and $\rho, \rho' : [i/4] \rightarrow n$ are distinct but $\sigma_{\rho, \eta}^i = \sigma_{\rho', \eta}^i$, then for every $q \geq \bar{q}_\eta^i$ and a \mathbb{P} -name \bar{g} for an n -Silver condition and m, σ, σ' such that

$$q \Vdash_{\mathbb{P}} \bar{f}_i \leq_i^* \bar{g}, \quad (q, \bar{g} * \rho) \Vdash_{\mathbb{P} * \mathbb{S}_n} \tau \upharpoonright m = \sigma, \quad (q, \bar{g} * \rho') \Vdash_{\mathbb{P} * \mathbb{S}_n} \tau \upharpoonright m = \sigma'$$

we have $\sigma = \sigma'$.

- $(\boxtimes)_7$ If $\eta, \eta' \in \max(s_i)$ are distinct, $\rho, \rho' : [i/4] \rightarrow n$, then $\sigma_{\rho, \eta}^i \neq \sigma_{\rho', \eta'}^i$.

So suppose that $i < \omega$ is even and we have already defined $s_{i-1}, \bar{q}^{i-1}, m_{i-1}$ and \bar{f}_{i-1} (we stipulate $s_{-1} = \{\langle \rangle\}, \bar{q}_{\langle \rangle}^{-1} = p, \bar{f}_{-1} = \bar{f}$ and $m_{-1} = 0$). Let $j = [i/4]$ (so either $i = 4j$ or $i = 4j + 2$).

The strategy \mathbf{st} and demand $(\boxtimes)_1$ determine s_i and $\bar{\eta}^i = \langle \eta_k^i : k < k_i \rangle$. To define \bar{p}^i, \bar{q}^i and \bar{f}_i we consider the following run of the subgame of level i of $\mathcal{D}_n^\ominus(p, \mathbb{P})$. Assume we are at stage $k < k_i$ of the subgame. Now, $p_{\eta_k^i}^i$ is given by the strategy \mathbf{st} (and $(\boxtimes)_1$, of course). Suppose for a moment that $G \subseteq \mathbb{P}$ is generic over \mathbf{V} , $p_{\eta_k^i}^i \in G$. Working in $\mathbf{V}[G]$ we may choose $\bar{\ell}, \bar{L}, \bar{g}^*, \bar{\sigma}^*, M$ such that

- $(\boxtimes)_8^\alpha$ $M = n^j, \bar{\ell} = \langle \ell_m : m \leq M \rangle$ and $j = \ell_0 < \dots < \ell_M, \bar{L} = \langle L_m : m \leq M \rangle$ and $m_{i-1} < L_0 < \dots < L_M$,
- $(\boxtimes)_8^\beta$ $\bar{g}^* \in \mathbb{S}_n, \bar{f}_{i-1}[G] \leq_i^* \bar{g}^*$ and $\bar{\sigma}^* = \langle \sigma_\rho^* : \rho \in {}^{\ell_M}n \rangle, \sigma_\rho^* \in {}^{L_M}\omega$ (for $\rho \in {}^{\ell_M}n$),
- $(\boxtimes)_8^\gamma$ $\bar{g}^* * (\rho \upharpoonright \ell_m) \Vdash_{\mathbb{S}_n} \tau \upharpoonright L_m = \sigma_\rho^* \upharpoonright L_m$ for each $m \leq M$ and $\rho \in {}^{\ell_M}n$,
- $(\boxtimes)_8^\delta$ if $\rho_0, \rho_1 \in {}^{\ell_M}n, \rho_0 \upharpoonright j \neq \rho_1 \upharpoonright j$ but $\sigma_{\rho_0}^* \upharpoonright L_0 = \sigma_{\rho_1}^* \upharpoonright L_0$, then there is no condition $\bar{g} \in \mathbb{S}_n$ such that $\bar{g}^* \leq_i^* \bar{g}$ and for some $L < \omega$ and distinct $\sigma_0, \sigma_1 \in {}^L\omega$ we have that $\bar{g} * \rho_0 \Vdash \tau \upharpoonright L = \sigma_0, \bar{g} * \rho_1 \Vdash \tau \upharpoonright L = \sigma_1$,
- $(\boxtimes)_8^\varepsilon$ for each $m < M$ and $\rho_0 \in {}^{\ell_m}n$ the set $\{\sigma_\rho^* \upharpoonright [L_m, L_{m+1}) : \rho_0 \triangleleft \rho \in {}^{\ell_m}n\}$ has at least $n^j \cdot k_i + 777$ elements.

It should be clear how the construction is done. (First we take care of clause $(\boxtimes)_8^\delta$ by going successively through all pairs of elements of ${}^j n$ and trying to force distinct values for initial segments of τ , if this is possible. Then we ensure $(\boxtimes)_8^\varepsilon$ basically by deciding longer and longer initial segments of τ on fronts/levels of a fusion sequence of conditions in \mathbb{S}_n and using the assumption that τ is forced to be “new”.) Now, going back to \mathbf{V} , we may choose a condition $q_{\eta_k^i}^i \in \mathbb{P}$ stronger than $p_{\eta_k^i}^i$ and a \mathbb{P} -name $g^{*,k}$ for a condition in \mathbb{S}_n and objects $\bar{\ell}^k, \bar{L}^k, \bar{\sigma}^{*,k}$ such that

$$q_{\eta_k^i}^i \Vdash_{\mathbb{P}} \text{“ } \bar{\ell}^k, \bar{L}^k, g^{*,k}, \bar{\sigma}^{*,k}, n^j \text{ satisfy clauses } (\boxtimes)_8^\alpha - (\boxtimes)_8^\varepsilon \text{ as } \bar{\ell}, \bar{L}, g^*, \bar{\sigma}^*, M \text{ there ”.}$$

The condition $q_{\eta_k^i}^i$ is treated as an inning of Antigenetic at stage k of the subgame of $\mathcal{D}_n^\ominus(p, \mathbb{P})$ and the process continues.

After the subgame of level i is completed, we have defined \bar{p}^i and \bar{q}^i . We also choose \bar{f}_i to be a \mathbb{P} -name for an element of \mathbb{S}_n such that $\Vdash_{\mathbb{P}} \text{“ } \bar{f}_{i-1} \leq_i^* \bar{f}_i \text{ ”}$ and $q_{\eta_k^i}^i \Vdash_{\mathbb{P}} \text{“ } \bar{f}_i = g^{*,k} \text{ ”}$ for all $k < k_i$ (remember that \mathbf{st} is nice, so the conditions $q_{\eta_k^i}^i$ are pairwise incompatible). This completes the description of what happens at the stage i of the construction (one easily verifies that $(\boxtimes)_{1-} - (\boxtimes)_3$ are satisfied) and we proceed to the next, $i + 1$, stage. Note that $\lfloor (i + 1)/4 \rfloor = j$.

We let $m_{i+1} = \max(L_M^k : k < k_i) + 5$ and let $\ell = \max(\ell_M^k : k < k_i) + 5$. Similarly as at stage i , s_{i+1} and $\bar{\eta}^{i+1} = \langle \eta_k^{i+1} : k < k_{i+1} \rangle$ are determined by the strategy \mathbf{st} and $(\boxtimes)_1$; note that $\max(s_{i+1}) = \{\nu \frown \langle n \rangle : \nu \in \max(s_i)\}$ so $k_{i+1} = k_i$. To define $\bar{p}^{i+1}, \bar{q}^{i+1}$ and \bar{f}_{i+1} we consider the following round of the subgame of level $i + 1$ of $\mathcal{D}_n^\ominus(p, \mathbb{P})$. At a stage $k < k_{i+1}$ of the subgame, letting $\eta = \eta_k^{i+1}$, the condition p_η^{i+1} is given by the strategy \mathbf{st} . Suppose for a moment that $G \subseteq \mathbb{P}$ is generic over \mathbf{V} , $p_\eta^{i+1} \in G$. In $\mathbf{V}[G]$ we may choose a condition $h^* \in \mathbb{S}_n$ such that

$$(\boxtimes)_9 \bar{f}_i[G] \leq_{4\ell}^* h^* \text{ and for every } \rho \in {}^\ell n \text{ the condition } h^* * \rho \text{ decides the value of } \tau \upharpoonright m_{i+1}, \text{ say } h^* * \rho \Vdash_{\mathbb{S}_n} \text{“ } \tau \upharpoonright m_{i+1} = \sigma_\rho \text{ ”.}$$

Then, going back to \mathbf{V} we choose a \mathbb{P} -name $\bar{h}^{*,\eta}$ for a condition in \mathbb{S}_n , a sequence $\bar{\sigma}^\eta = \langle \sigma_\rho^\eta : \rho \in {}^\ell n \rangle$ and a condition $q_\eta^{i+1} \geq p_\eta^{i+1}$ such that

$$q_\eta^{i+1} \Vdash_{\mathbb{P}} \text{“ } \bar{h}^{*,\eta}, \bar{\sigma}^\eta \text{ are as in } (\boxtimes)_9 \text{ ”.}$$

The condition q_η^{i+1} is treated as an inning of Antigenetic at stage k of the subgame of $\mathcal{D}_n^\ominus(p, \mathbb{P})$ and the process continues.

After the subgame of level $i + 1$ is completed, we have defined \bar{p}^{i+1} and \bar{q}^{i+1} . Since for every $\eta \in \max(s_{i+1})$ we have that $p_\eta^{i+1} \geq q_{\eta \upharpoonright (i+1)}^i$, we may use $(\boxtimes)_8^\varepsilon$ and choose $\rho(\eta) : [j, \ell] \rightarrow n$ (for $\eta \in \max(s_{i+1})$) such that

$$(\boxtimes)_{10} \text{ if } \eta, \eta' \in \max(s_{i+1}) \text{ are distinct and } \theta, \theta' \in {}^j n, \text{ and } \rho = \theta \frown \rho(\eta), \rho' = \theta' \frown \rho(\eta'), \text{ then } \sigma_\rho^\eta \neq \sigma_{\rho'}^{\eta'}.$$

Let \bar{f}_{i+1} be a \mathbb{P} -name for a condition in \mathbb{S}_n such that $\Vdash_{\mathbb{P}} \bar{f}_i \leq_{i+1}^* \bar{f}_{i+1}$ and

$$q_\eta^{i+1} \Vdash_{\mathbb{P}} \text{“ } \bar{h}^{*,\eta} \leq_{i+1}^* \bar{f}_{i+1} \text{ and } (\forall \theta \in {}^j n) (\bar{f}_{i+1} * \theta = \bar{h}^{*,\eta} * (\theta \frown \rho(\eta))) \text{ ”.}$$

Also, for $\eta \in \max(s_{i+1})$ and $\rho \in {}^j n$, we let $\sigma_{\rho,\eta}^{i+1} = \sigma_{\rho \smallfrown \rho(\eta)}^\eta$. This completes the description of what happens at the stage $i + 1$ of the construction (one easily checks that $(\boxtimes)_1 - (\boxtimes)_7$ are satisfied). Thus we have finished the description of the inductive step of the construction of $s_i, \tilde{\eta}^i, \tilde{p}^i, \tilde{q}^i, \tilde{f}_i$ (for $i < \omega$).

After the construction is carried out we may pick a condition $q \in \mathbb{P}$ stronger than p and such that for each $i < \omega$ the family $\{q_\eta^i : \eta \in \max(s_i)\}$ is predense above q (possible by $(\boxtimes)_1$).

Suppose that $G \subseteq \mathbb{P}$ is generic over \mathbf{V} , $q \in G$. Then there is $\eta \in {}^\omega(n+1)$ such that $\eta \upharpoonright (i+1) \in \max(s_i)$ and $q_{\eta \upharpoonright (i+1)}^i \in G$ for each $i < \omega$. Therefore we may use $(\boxtimes)_3$ to conclude that there is a condition $g \in \mathbb{S}_n$ stronger than all $f_i[G]$. Going back to \mathbf{V} , we may choose a \mathbb{P} -name \underline{g} for a condition in \mathbb{S}_n such that $q \Vdash_{\mathbb{P}} (\forall i < \omega)(\tilde{f}_i \leq \underline{g})$.

Note that for each $i < \omega$ the family $\{(q_\eta^i, \tilde{f}_i * \rho) : \eta \in \max(s_i) \text{ and } \rho \in {}^{[i/4]} n\}$ is predense in $\mathbb{P} * \mathbb{S}_n$ above (q, \underline{g}) , and hence (by $(\boxtimes)_5$)

$$(q, \underline{g}) \Vdash_{\mathbb{P} * \mathbb{S}_n} \text{“} \mathcal{T} \upharpoonright m_i \in \{\sigma_{\rho,\eta}^i : \eta \in \max(s_i) \text{ and } \rho \in {}^{[i/4]} n\} \text{ for every odd } i < \omega \text{”}$$

Also,

$$(\boxtimes)_{11} \text{ if } i \geq 3 \text{ is odd, } \eta \in \max(s_i), \rho \in {}^{[i/4]} n \text{ and } \eta' = \eta \upharpoonright (i-1) \text{ and } \rho' = \rho \upharpoonright [(i-2)/4], \text{ then } \eta' \in \max(s_{i-2}) \text{ and } \sigma_{\rho',\eta'}^{i-2} = \sigma_{\rho,\eta}^i \upharpoonright m_{i-2}.$$

[Why? Since \mathbf{st} is a nice strategy, $\eta \upharpoonright i \in \max(s_{i-1})$ and $\eta' \in \max(s_{i-2})$. It follows from $(\boxtimes)_1$ that $q_{\eta'}^{i-2} \leq q_{\eta \upharpoonright i}^{i-1} \leq q_\eta^i$ and by $(\boxtimes)_3$ we have $q_\eta^i \Vdash_{\mathbb{P}} \tilde{f}_{i-2} \leq_{i-1}^* \tilde{f}_i$. Therefore $q_{\eta'}^{i-2} \Vdash_{\mathbb{P}} \tilde{f}_{i-2} * \rho' \leq \tilde{f}_i * \rho$ and $(q_{\eta'}^{i-2}, \tilde{f}_{i-2} * \rho') \leq (q_\eta^i, \tilde{f}_i * \rho)$, so using $(\boxtimes)_5$ we may conclude that $\sigma_{\rho',\eta'}^{i-2} = \sigma_{\rho,\eta}^i \upharpoonright m_{i-2}$.]

Let $T = \{ \nu \in {}^\omega \omega : (\exists i < \omega \text{ odd})(\exists \eta \in \max(s_i))(\exists \rho \in {}^{[i/4]} n)(\nu \trianglelefteq \sigma_{\rho,\eta}^i) \}$. Then T is a perfect tree and $(q, \underline{g}) \Vdash_{\mathbb{P} * \mathbb{S}_n} \mathcal{T} \in [T]$. So the theorem will readily follow once we show that T is n -ary. To this end we are going to argue that

$$(\boxtimes)_{12} \text{ if } i \geq 3 \text{ is odd, } \eta \in \max(s_i), \rho \in {}^{[i/4]} n, \text{ then}$$

$$\left| \{ \sigma_{\pi,\nu}^i : \nu \in \max(s_i) \text{ and } \pi \in {}^{[i/4]} n \ \& \ \sigma_{\rho,\eta}^i \upharpoonright m_{i-2} = \sigma_{\pi,\nu}^i \upharpoonright m_{i-2} \} \right| \leq n.$$

Case A: $i = 4j + 1$ for some $j < \omega$. Suppose that $\eta, \nu \in \max(s_i), \rho, \pi \in {}^{[i/4]} n$ are such that $\sigma_{\rho,\eta}^i \neq \sigma_{\pi,\nu}^i$ but $\sigma_{\rho,\eta}^i \upharpoonright m_{i-2} = \sigma_{\pi,\nu}^i \upharpoonright m_{i-2}$. The latter and $(\boxtimes)_7$ (and $(\boxtimes)_{11}$) imply that $\eta \upharpoonright (i-1) = \nu \upharpoonright (i-1)$, and since $i-1, i \notin K$ we get that $\eta(i-1) = \nu(i-1) = n = \eta(i) = \nu(i)$ (remember that \mathbf{st} is nice for K), so $\eta = \nu$. If $\rho \upharpoonright (j-1) \neq \pi \upharpoonright (j-1)$, then let $\rho' = \rho \upharpoonright (j-1) \smallfrown (\pi \upharpoonright (j-1))$, otherwise $\rho' = \pi$.

Suppose $\rho' \neq \pi$. Let g be (a \mathbb{P} -name for) $\tilde{f}_i \cup \{(\text{FP}_{j-1}(\tilde{f}_i), \pi \upharpoonright (j-1))\}$ and $q = q_\eta^i$. Then $q \geq q_{\eta \upharpoonright (i-1)}^{i-2}$, $q \Vdash \tilde{f}_{i-2} \leq_{i-2}^* \underline{g}$, and

$$q \Vdash \text{“} \underline{g} * (\rho' \upharpoonright (j-1)) = \tilde{f}_i * \rho' \text{ and } \underline{g} * (\pi \upharpoonright (j-1)) = \tilde{f}_i * \pi \text{”}$$

Hence

$$(q, \underline{g} * (\rho' \upharpoonright (j-1))) \Vdash \text{“} \mathcal{T} \upharpoonright m_i = \sigma_{\rho',\eta}^i \text{”} \quad \text{and} \quad (q, \underline{g} * (\pi \upharpoonright (j-1))) \Vdash \text{“} \mathcal{T} \upharpoonright m_i = \sigma_{\pi,\eta}^i \text{”}$$

Now we use our assumption that $\sigma_{\rho,\eta}^i \upharpoonright m_{i-2} = \sigma_{\pi,\eta}^i \upharpoonright m_{i-2}$ (and $(\boxtimes)_{11}$) to conclude that $\sigma_{\rho',\eta}^i \upharpoonright m_{i-2} = \sigma_{\pi,\eta}^i \upharpoonright m_{i-2}$. Consequently, $\sigma_{\rho',\eta}^i = \sigma_{\pi,\eta}^i$ (remember $(\boxtimes)_6$ for $i-2$, $\eta \upharpoonright (i-1)$, $\rho' \upharpoonright (j-1)$ and $\pi \upharpoonright (j-1)$). Trivially the same conclusion holds if $\rho' = \pi$, so we have justified that

$$\begin{aligned} & \{ \sigma_{\pi,\nu}^i : \nu \in \max(s_i) \text{ and } \pi \in \lfloor i/4 \rfloor n \text{ and } \sigma_{\rho,\eta}^i \upharpoonright m_{i-2} = \sigma_{\pi,\nu}^i \upharpoonright m_{i-2} \} \\ & \subseteq \{ \sigma_{\pi,\eta}^i : \pi \in \upharpoonright j n \text{ and } \rho \upharpoonright (j-1) = \pi \upharpoonright (j-1) \} \end{aligned}$$

and the latter set is of size at most n .

Case B: $i = 4j + 3$ for some $j < \omega$. Again, let us assume that $\eta, \nu \in \max(s_i)$, $\rho, \pi \in \lfloor i/4 \rfloor n$ are such that $\sigma_{\rho,\eta}^i \neq \sigma_{\pi,\nu}^i$ but $\sigma_{\rho,\eta}^i \upharpoonright m_{i-2} = \sigma_{\pi,\nu}^i \upharpoonright m_{i-2}$. Then, as in the previous case, $(\boxtimes)_7$ implies $\eta \upharpoonright (i-1) = \nu \upharpoonright (i-1)$. Also $\lfloor i/4 \rfloor = j = \lfloor (i-2)/4 \rfloor$, so $\rho \upharpoonright \lfloor (i-2)/4 \rfloor = \rho$, $\pi \upharpoonright \lfloor (i-2)/4 \rfloor = \pi$. Now, if $\rho = \pi$, then trivially $\sigma_{\pi,\nu}^i = \sigma_{\rho,\nu}^i$. If $\rho \neq \pi$, then we use $(\boxtimes)_6$ (with $i-2, \rho, \pi, q_\eta^i, f_i$ here in place of i, ρ, ρ', q, g there, respectively) to argue that $\sigma_{\pi,\nu}^i = \sigma_{\rho,\nu}^i$. Consequently

$$\begin{aligned} & \{ \sigma_{\pi,\nu}^i : \nu \in \max(s_i) \text{ and } \pi \in \lfloor i/4 \rfloor n \text{ and } \sigma_{\rho,\eta}^i \upharpoonright m_{i-2} = \sigma_{\pi,\nu}^i \upharpoonright m_{i-2} \} \\ & \subseteq \{ \sigma_{\rho,\nu}^i : \nu \in \max(s_i) \text{ and } \eta \upharpoonright (i-2) = \nu \upharpoonright (i-2) \} \end{aligned}$$

and the latter set is of size at most n .

Now in both cases we easily get the assertion of $(\boxtimes)_{12}$, completing the proof of the theorem.

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