

Probing DNA melting behaviour under vibrational strong coupling

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Manipulating matter by strong coupling to the vacuum field has attracted intensive interests over the last decade. In particular, vibrational strong coupling (VSC) has shown great potential for modifying ground state properties in solution chemistry and biochemical processes. In this work, the effect of vibrational strong coupling (VSC) of water on the melting behaviour of ds-DNA, an important biophysical process, is explored. Several experimental conditions, including the concentration of ds-DNA, cavity profile, solution environment, as well as thermal annealing treatment were tested. No significant effect of VSC was observed for the melting behaviour of the ds-DNA sequence used. This demonstrates yet again the robustness of ds-DNA to outside perturbations. Our work also provides a general protocol to probe the effects of VSC on biological systems inside microfluid Fabry-Perot cavities and should be beneficial to better understand and harness this phenomenon.

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Introduction

The last decade has witnessed a fast-growing interest in manipulating matter by strong coupling to the so-called vacuum electromagnetic field (Garcia-Vidal et al. 2021; Nagarajan et al. 2021). For instance, strong coupling between electronic transitions of molecules and optical modes has shown great potential for modifying photochemistry (Hutchison et al. 2012; Zeng et al. 2023), energy transport (Zhong et al. 2016; Zhong et al. 2017; Sandik et al. 2024) and charge carrier conductivity (Orgiu et al. 2015; Nagarajan et al. 2020). On the other hand, strong coupling between vibrational transitions of molecules and the vacuum field, known as vibrational strong coupling (VSC), has also shown great potential for modifying ground-state processes, such as chemical reactivity (Thomas et al. 2016; Thomas et al. 2019; Pang et al. 2020; Nagarajan et al. 2021; Ahn et al. 2023; Patrahau et al. 2024), supramolecular assemblies (Hirai et al. 2021; Joseph et al. 2021; Sandeep et al. 2022; Joseph et al. 2024) and electrochemistry (Fukushima et al. 2022; 2023). The strong coupling conditions are usually achieved by collective coupling of a large number (N) of molecules with an optical mode of a Fabry-Perot cavity, which gives rise to two hybrid polaritonic states (upper and lower polaritonic states, UP and LP respectively) and $N-1$ dark collective states (DS). It is important to note that strong coupling occurs even in the dark due to involvement of the electromagnetic fluctuations of the cavity (i.e. the vacuum field). VSC can be achieved either by coupling directly to the cavity a vibration of the target molecule or indirectly by the so-called cooperative coupling where solvent and solute vibrations are coupled simultaneously to the same cavity mode (Lather et al. 2019; Schütz et al. 2020).

In biology, VSC has also been harnessed to modify biochemical processes such as enzymatic activity in aqueous solution (Vergauwe et al. 2019; Lather and

George 2021; Gao et al. 2023; Gu et al. 2023). The ubiquity of water as the natural medium for biological activities makes it the optimal solvent for exploring biochemical processes. Furthermore, VSC of water is typically in the ultra-strong regime (where the energy separation of UP and LP is larger than 10% of the vibrational band) (Fukushima et al. 2021; Kadyan et al. 2024) and can be even observed in micro-sized water droplets without photonic structures (Canales et al. 2024). Thus, water is an excellent solvent to explore VSC induced effects in biochemical and biophysical processes.

Double-stranded deoxyribonucleic acid (dsDNA) is a key supramolecular structure in biological systems, comprising two sequence-complementary DNA strands held together in a double-helix by non-covalent interactions, e.g. hydrogen bonding between nucleotide base pairs. The non-covalent interactions provide dsDNA high thermodynamic stability in biological environments, which is crucial for their functionalities allowing DNAs to interact with a range of biological molecules, (Norden and Kurucsev 1994; Jensen et al. 1997; Vologodskii and Frank-Kamenetskii 2018). The stability of dsDNA helices depends among other things on the composition and sequence of the individual DNA strands, which in turn determine the strength of several non-covalent interactions, such as hydrogen bonding, dipole-dipole, dipole-induced dipole and London dispersion forces (Devoe and Tinoco 1962; Yakovchuk et al. 2006; Vologodskii and Frank-Kamenetskii 2018; Feng et al. 2019; Nordén 2019). The stability of dsDNA also depends on its solvation in solution, thus sensitive to the latter's composition, salt concentration and pH (Vologodskii and Frank-Kamenetskii 2018). The thermodynamic stability of dsDNA can be inferred from their melting behavior, i.e. the dissociation process of dsDNA into single-stranded (ss) DNA. As VSC has been shown to influence non-covalent interactions in chemical and biological systems (Joseph et al. 2021; Sandeep et al. 2022; Joseph et al. 2024; Patrahau et al. 2024), we sought to explore how VSC influences the stability of dsDNA. Notably, it was recently reported that VSC

affects the hybridization process of DNA, with the thermal stability of the resulting DNA was analyzed outside the optical cavity after VSC (Hou et al. 2024).

Probing dsDNA melting inside a Fabry-Perot cavity (an optical cavity consisting of two parallel mirrors) using common spectroscopy techniques remains a significant challenge due to several issues, including the limited optical pathlengths of the infrared (IR) cavities ($\sim 10 \mu\text{m}$), the low transmission in the UV-visible region due to the metallic mirrors (less than 10%) and the low sample concentrations usually used for biological samples (1-10 μM). Therefore, employing fluorescence spectroscopy represents a promising approach, thanks to its high sensitivity. In addition, fluorescence spectroscopy based on Förster resonance energy transfer (FRET) is widely used to study biological processes (Ha 2001; Quan et al. 2020), including the denaturation of nucleic acids (Johansson et al. 2002; Marras et al. 2002).

In this work, we used our newly developed microfluid cavities (Patrahau et al. 2024) as a platform to probe the effects of VSC on the melting behavior of dsDNA under equilibrium condition. These microfluid cavities made in fused silica substrates support multiple cavity modes in the IR region and allow for sufficient light outcoupling in the UV-visible region for luminescence measurements. Additionally, they offer several advantages such as fixed and homogeneous pathlength everywhere in the cavity with good thermal stability against temperature variations. A set of Fabry-Perot cavities with different pathlengths allows us to choose the right one for coupling the vibrational modes of water and thereby study systematically the effects induced by VSC.

Methods

Sample preparation

The DNA oligonucleotides used in this work were purchased from Integrated DNA Technologies (IDT) with HPLC purification. The sequences used for all experiments were as follows:

Strand 1: 5'-FAM-ACTCGCACCTAGT-3'

Strand 2: 5'-ACTAGGTGCGAGT-BHQ1-3'

where FAM and BHQ1 on strand 1 and strand 2 are, respectively, a fluorescein emitting moiety and a so-called black hole quencher. FAM was chosen as a fluorescence reporter for its high extinction coefficient ($\sim 76900 \text{ M}^{-1} \cdot \text{cm}^{-1}$) and its near-unity fluorescence quantum yield (~ 0.93) (Sjöback et al. 1995). BHQ-1 is a widely used quencher for FAM.

Oligonucleotides were rehydrated in Milli-Q water to a final concentration of $\sim 500 \mu\text{M}$. Concentrations were confirmed by UV absorbance at 260 nm using the extinction coefficients provided by IDT ($141,560 \text{ M}^{-1} \text{ cm}^{-1}$ for strand 1 and $138,700 \text{ M}^{-1} \text{ cm}^{-1}$ for strand 2). A stock solution of dsDNA was prepared by mixing equimolar amounts of strand 1 and strand 2 solution in a 10 mM sodium phosphate buffer (pH 7.0) in a final concentration of $10 \mu\text{M}$. The mixture was heated between 65 and 75 °C, i.e., above the melting temperature estimated for the dsDNA system ($T_m \approx 50 \text{ °C}$), for 15 minutes using a heating block (Thermo Fisher Scientific, Inc.). The solution was then allowed to slowly cool down to room temperature to ensure the assembly of dsDNA. Samples were either used immediately or stored at -4 °C .

To prepare $1 \mu\text{M}$ dsDNA solution samples, the $10 \mu\text{M}$ dsDNA stock solution was further diluted 10 times in 10 mM sodium phosphate buffer (pH 7.0). For dsDNA samples in $\text{D}_2\text{O}/\text{H}_2\text{O}$ (90%/10%) mixed solvent, the stock solution was diluted with D_2O .

Fabry-Perot microfluid cavities

The microfluid cavities were fabricated by LioniX International and consist of fused silica substrates coated with 10 nm gold (Au) mirrors. An additional SiO_x

layer (100 nm) was coated to protect the Au mirrors and to prevent any potential fluorescence quenching by physical contact with the gold. Reference structures without Au mirrors were also prepared. Schematic illustrations of the microfluidic cavities and the reference structures are shown in Fig. S1. More detailed descriptions about the microfluidic cavities can be found in previously published work (Patrahau et al. 2024).

Melting curve measurements

dsDNA solutions were gently injected into microfluidic cavities with a syringe equipped with a flat needle. The cavity was sealed at each end with round glass platelets ($\Phi = 3$ mm) and thin tape. Glue or wax as sealant was avoided not to contaminate the samples. The dsDNA melting curve measurements inside microfluidic cavities were performed in a home-built high-sensitivity fluorescence setup (Fig. S2). To perform temperature-dependent measurements, the cavities were fixed onto a commercial temperature-controlled sample holder (CD 250, Quantum Northwest, Inc., equipped with a temperature controller TC1, Quantum Northwest, Inc.). An electronic controlled optical beam shutter (SH1 and SC10, Thorlabs Inc.) was employed to minimize photobleaching and only allow laser illumination during the fluorescence measurements. Optical filters (Semrock, Inc.) optimized for the FAM fluorophore were used for collecting the signal and attenuating the excitation beam. Note that the shape of the FAM fluorescence spectrum (Fig. 1b) is modified by the use of a dichroic beam-splitter with an edge at 506 nm, and 540/50 nm bandpass for collection. The cutoff close to 570 nm is due to this bandpass filter.

To ensure thermal equilibrium at each temperature, cavities were held at the set temperature for 5 minutes before recording the emission spectrum, except when testing temperature ramping effects. By plotting the maximum emission intensity of the collected spectra as a function of temperature (Fig. 1b), melting

curves as shown in Fig. 1c were obtained. Measurements were repeated several times for each experimental condition to ensure reproducibility. It should be noted that the FAM emission intensity is by itself insensitive to VSC of water. It does show a small temperature variation of about 10% (Fig. S3).

Figure 1 should be here

Figure 1. Principle and protocol for probing melting behaviour of ds-DNA inside microfluidic cavity. (a) schematic illustration of the principle of measurement based on FRET process (yellow cavity field, green melting curve as a function of temperature). (b) measured fluorescence spectra at different temperatures of ds-DNA at 1 μM in the reference structure (R0) (c) extracted melting curves from four independent measurements in R0, with their corresponding first derivatives shown in (d).

Results

To begin we established a general experimental protocol, by measuring melting curves of dsDNA inside the reference structure R0. In the latter, the microfluidic channel is without mirrors and the two substrates are spaced by 12 μm . R0 thus acts as a control in our experiments. Fig. 1a demonstrates the principle of our measurements of melting curves based on the FRET mechanism. The fluorescein (FAM) and the black hole quencher (BHQ-1) (described in the Methods section) form a FRET pair. When the two fluorophores are in proximity, as in the case of the dsDNA involved in this study, the FAM fluorescence is quenched by BHQ-

1. At high temperatures, dsDNA disassembles into two ssDNA oligonucleotides, and the fluorescence of FAM is restored.

A 1 μM dsDNA solution was injected into the reference structure R0, which was fixed onto a temperature-controlled sample holder, then cooled down to 12 $^{\circ}\text{C}$ and held for an additional 1 hour before measurements, thereby ensuring that all DNA is in a double-stranded state. Even then some residual background emission can be detected with the high sensitivity of the setup. Therefore, this background spectrum collected at 12 $^{\circ}\text{C}$ was subtracted from all the spectra collected at higher temperatures. Fig. 1b shows an example of fluorescence spectra measured in R0 where the intensity increases monotonously with temperature. The emission intensities were further averaged over a large spectral range (from 510 nm to 550 nm), yielding the melting curves shown in Fig. 1c. The intensity reaches a plateau at ca. 55 $^{\circ}\text{C}$, suggesting that dsDNA is dissociated into ssDNA above this temperature. As shown in Fig. 1c, the melting curves extracted from four independent measurements are superimposable, demonstrating a high reproducibility of our protocol. The melting temperature is defined as the temperature at which half of dsDNA are dissociated into ss-DNA. To quantitatively extract the melting temperature and its standard deviation, a first-derivative analysis was performed (Owczarzy 2005). As shown in Fig. 1d, the analysis yields a melting temperature of 46.5 ± 1.0 $^{\circ}\text{C}$ for the ds-DNA for the non-optical cavity conditions of reference R0.

Figure 2 should be here

Figure 2. Melting behaviour of ds-DNA at 1 μM inside microfluid cavities. (a) FTIR spectra of cavity S1 filled with ds-DNA solution, also shown in black line is the IR band of OH stretching mode of water. The cavity mode position is indicated in grey dashed line. (b) The melting curves of ds-DNA in cavity S1 and reference structure R0.

We then performed similar measurements with cavity S1, which has the same depth as reference structure R0, i.e. 12 μm . The presence of the two gold mirrors in cavity S1 gives rise to multiple well-defined cavity modes in the infrared (IR) region, as shown in Fig. S3. The free spectral range (FSR) of cavity S1 is measured to be 415 cm^{-1} and full width of half-maximum (FWHM) of 64 cm^{-1} , resulting in a cavity quality factor Q of 52. The eight-cavity mode at 3320 cm^{-1} indicated by the grey dashed line in Fig. 2a has a good overlap with the broad OH stretching band of water at 3300 cm^{-1} measured by attenuated total reflection (ATR) (black line in Fig. 2a). After injecting the solution, two polaritonic bands emerge around the spectral region of the OH stretching band (green line in Fig. 2a), with LP band at 2922 cm^{-1} and UP band at 3683 cm^{-1} . The Rabi splitting is estimated to be $\sim 762 \text{ cm}^{-1}$. The Rabi splitting is much larger than either the FWHM of the OH stretching band (445 cm^{-1}) and the FWHM of the cavity mode (64 cm^{-1}), indicating that the system under investigation entered collective VSC.

The average melting curve of dsDNA inside cavity S1 is shown in Fig. 2b, together with that obtained with the reference structure R0. As shown, no significant difference was observed between the melting curves of dsDNA inside cavity S1 and that in R0. The first-derivate analysis gives a melting temperature of $47.0 \pm 0.0 \text{ }^\circ\text{C}$ for the dsDNAs inside cavity S1, which is within experimental error the same as inside the reference structure R0 ($46.5 \pm 1.0 \text{ }^\circ\text{C}$).

To explore if cavity detuning influences the effects of VSC on the studied system, we further performed melting curve measurements (Fig. S4) and the first derivative analysis (Fig. S5) with other cavities of different FSRs and cavity profiles (cavity S2-S4, shown in Table 1). However, no significant change of melting temperatures was observed across different cavity detuning, as shown in Table 1, and illustrated in Fig. S6.

Table 1 Melting temperatures of ds-DNA under various experimental conditions and determined by first-derivative analysis

Table 1 should be here

Besides the base composition and sequence of dsDNA, the melting behavior of dsDNA is also known to depend on the DNA concentration and solution composition (Vologodskii and Frank-Kamenetskii 2018). Furthermore, recent work demonstrated that the effects of VSC on supramolecular polymerization of porphyrins are highly dependent on concentration and solvent (Joseph et al. 2024). The modification of ionic conductivity in aqueous solution through VSC was also shown to be sensitive to the composition of the electrolytes (Fukushima et al. 2023). Therefore, we further extended our measurements to a concentration ten times higher (10 μM) and also explored the effect of D_2O . As shown Table 1, the melting temperature increases at higher dsDNA concentrations as expected (Breslauer 1994; Vologodskii and Frank-Kamenetskii 2018). Still, no change was observed under VSC. The corresponding melting curves of dsDNA at 10 μM inside the reference structure R0 and two representative cavities S1 and S4 are shown in Fig. S7.

Figure 3 should be here

Figure 3. Melting behaviour of ds-DNA under other conditions. (a) FTIR spectrum of $\text{D}_2\text{O}/\text{H}_2\text{O}$ (90%/10%) solution. (b) the melting curves of ds-DNA in reference structure R0 and cavity S1. (c) the melting curve after annealing in reference structure R0 and cavity S1. Also shown is the melting curve of ds-DNA without annealing in cavity S1.

We further prepared a 1 μM dsDNA solution in $\text{D}_2\text{O}/\text{H}_2\text{O}$ (90%/10%) mixed solvent. D_2O has stronger hydrogen bonding than H_2O , thus different solvent properties (Giubertoni et al. 2023). The FTIR spectra of $\text{D}_2\text{O}/\text{H}_2\text{O}$ (90%/10%) solution in reference structure R0 and cavity S1 are shown in Fig. 3a. In reference structure R0, the vibrational bands of OH stretching and OD stretching were clearly observed at 3411 cm^{-1} and around 2500 cm^{-1} , respectively. In cavity S1, several polaritonic modes were observed due to the coupling between different

cavity modes and solvent IR bands. The melting curves of dsDNA in the mixed D₂O/H₂O solution shifted to a lower temperature, compared with the melting curves measured in pure H₂O solution (Fig. 2b), as expected (Hou et al. 2024). Once again, no significant difference was observed under VSC (Fig. 3b).

During the measurement of the melting curves in D₂O/H₂O solution, we noticed that the proton exchange process between H₂O and D₂O is very slow (hour timescale) (Printz and Vonhippel 1965). As proposed in a theoretical study, the hydration spine present in the minor groove of dsDNA is frozen in the helix and behaves as an integral part of double helix, which increases the thermal stability of dsDNA (Chen and Prohofsky 1993). The slow proton exchange indeed influenced the melting behavior of fresh and aged samples (Fig. S8).

To ensure that such slow feature did not affect other experiments, we repeated the study of the melting curves in H₂O first annealing the samples under VSC. The dsDNA solution inside microfluidic cavities was heated up to 75 °C and annealed for 1 hour to fully dissociate the DNA into single stranded state and to fully exchange the proton between the water inside the minor grooves of dsDNA and the solution. Then the cavities were slowly cooled down to 12 °C and kept at that temperature for 1 hour, during which time the ssDNA re-hybridized into dsDNA again, but under VSC. Again, this protocol didn't introduce any difference on the melting temperatures of ds-DNA (Fig. 3c) whether measured in the reference structure R0 or in the cavity S1.

Discussion

In summary, no significant effect from VSC on the melting curves dsDNA was found for all tested conditions, which included cavity detuning, DNA concentration and solvent composition. One key difference between our work and the previous study (Hou et al. 2024) is that the melting behavior was measured in equilibrium condition in our case, while in the previous work by Hou et al. it was measured in a continuous manner at a rapid ramp rate (2°C/min).

Therefore, we repeated our experiments at a similar ramp rate and without equilibrating the DNA at each temperature, but again no significant difference was observed (Fig. S9), which further rules out the possibility of a kinetic effect from VSC in our system.

In fact, our experiments are fundamentally different from the earlier work on DNA under VSC by Hou et al. (2024). In that work, the ssDNA solution was first injected into the optical cavity and the formation of dsDNA was performed *in situ* at room temperature. The melting curves were then determined outside the cavity by fluorescence measurements and a decrease in T_m was found under VSC. This protocol assumes that the effects of VSC remain after extraction from the cavity. This would imply a stable structural change under VSC which we do not detect with our DNA strand composition. In other words, it is possible that the difference in the results stems from the nucleotide composition differences in the two studies.

The insensitivity of the thermal stability of dsDNA to VSC is in strong contrast to the supramolecular polymerization of porphyrins, where even the relative stability between monomer and polymer can be reversibly tuned by VSC (Joseph et al. 2024). The sensitivity of these porphyrin assemblies to VSC is probably due to the dynamic monomer addition and dissociation in solution and the related pathway complexity, which makes the system very sensitive to external stimuli (Mabesoone et al. 2018).

The fact that we detect no effect of VSC on the melting behavior of DNA cannot be due to lack of cooperative coupling between the stretching mode of H_2O and the vibrational modes of DNA. The vibrational frequency of OH stretching mode of H_2O at around 3300 cm^{-1} is well-known to be very sensitive to the strength of hydrogen bonding (Brubach et al. 2005). For liquid water, there are at least three Gaussian components within the spectral profile of the OH stretching mode at 3295 cm^{-1} , 3460 cm^{-1} and 3590 cm^{-1} , which correspond to subpopulations of water clusters (Brubach et al. 2005). The vibrational frequency

of NH stretching mode in DNA pairs also lies between 3300 cm^{-1} to 3600 cm^{-1} (Nir et al. 2002), having good overlap with the OH stretching mode of water. Therefore, the reason for the results must be other than an issue of cooperativity, reflecting the nature of the interaction forces at play in the DNA structure.

The thermal stability of dsDNA is mainly determined by base-stacking interactions which are partially from London dispersion forces and partially from hydrophobic interactions, and less by base pairing interactions, i.e. hydrogen bonding (Herskovits 1962; Yakovchuk et al. 2006; Vologodskii and Frank-Kamenetskii 2018; Feng et al. 2019; Nordén 2019). In our experiments, the water OH stretching mode is coupled to the vacuum field, where the hydrogen bonds between base pairs are also cooperatively coupled. The less important role of hydrogen bonding on thermal stability of ds-DNA is consistent with the absence of a VSC effect. Furthermore, these hydrogen bonds are buried inside the double helix, which might also be the reason why VSC doesn't alter the thermal stability of ds-DNA. Therefore, our observation of stability of dsDNA to VSC can be considered as another new piece of evidence for the robustness of dsDNA.

Supplementary Material

The supplementary material provides additional illustrations of the cavities used, FTIR spectra and various melting curves under different conditions in support of the results reported here.

Author contributions

BN suggested the experiments. FM and CB designed the DNA sequence and prepared the corresponding samples. WT built the emission setup and carried out most of the VSC experiments. BP helped in the initial experiments and TWE supervised the project.

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Data availability statement

The raw data is available upon reasonable request.

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Table 1 Melting temperatures of ds-DNA under various experimental conditions and determined by first-derivative analysis

Microfluid Cavity	Length (μm)	FSR (cm^{-1})	ds-DNA Concentration (μM)	T _m ($^{\circ}\text{C}$)
R0	12.0	N/A	1	46.5 \pm 1.0
S1	12.0	415	1	47.0 \pm 0.0
S2	12.5	395	1	47.5 \pm 1.0
S3	13.0	380	1	46.3 \pm 2.3
S4	6.0	832	1	46.5 \pm 1.2
R0	12.0	N/A	10	50.5 \pm 2.1
S1	12.0	415	10	50.0 \pm 1.7
S4	6.0	832	10	51.0 \pm 1.7

Figure 1

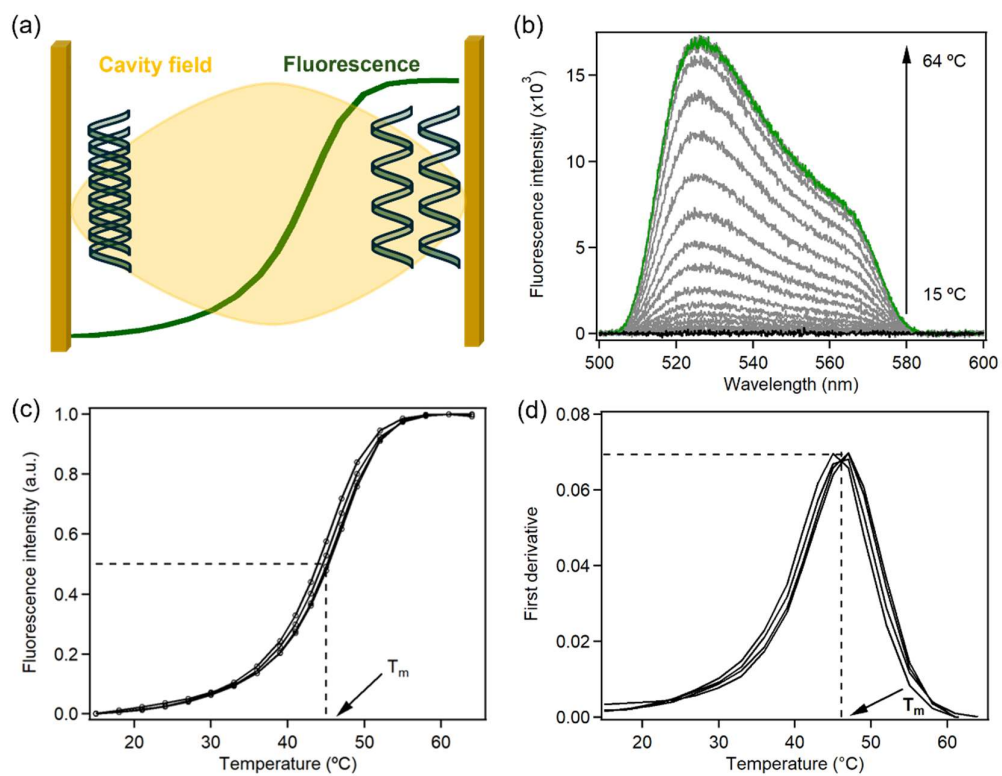


Figure 2

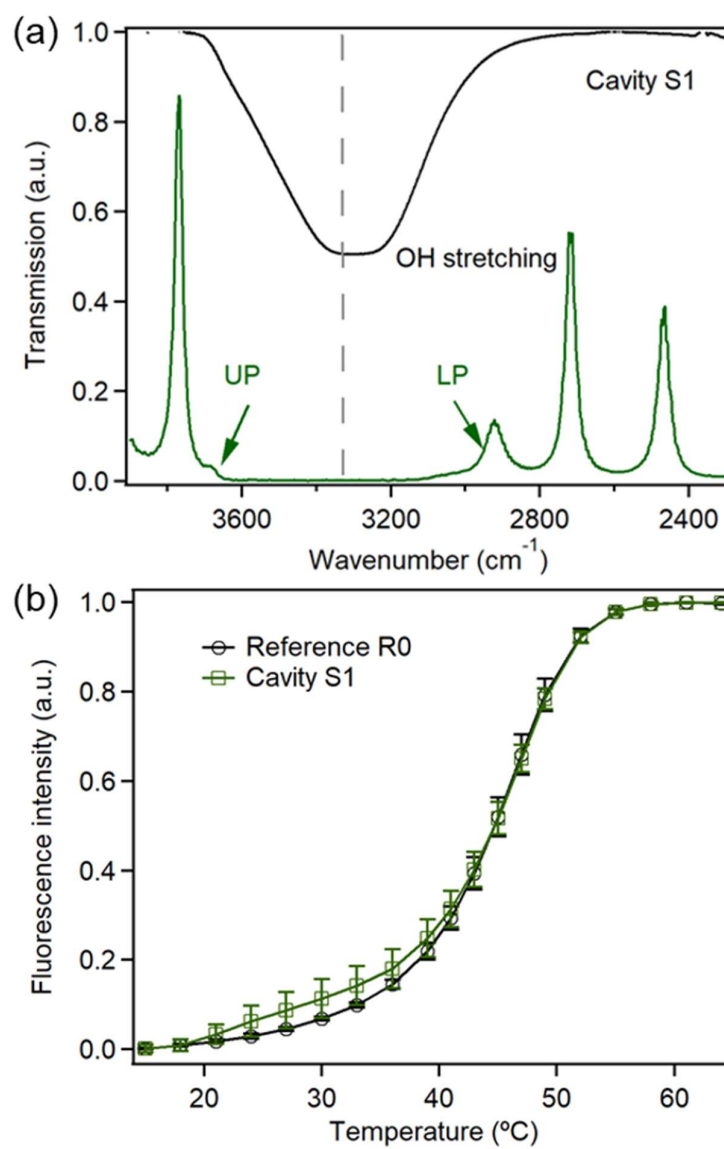


Figure 3

