

1 **Prefrontal cortex stimulation prevents stress-induced HPA axis reactivity in people at**
2 **familial risk of schizophrenia**

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4 Ondine ADAM^{a,b}, Mélanie PERRET^{a,b}, Louis SIMON^{a,b,c}, Clément DONDÉ^{d,e,f,g}, Véronique

5 RAVEROT^{h,i}, William VALLET^{a,b}, Marine MONDINO^{a,b}, Jérôme BRUNELIN^{a,b}

6

7 ^a Le Vinatier Psychiatrie Universitaire Lyon Métropole, 95 boulevard Pinel, F-69500 Bron,

8 France

9 ^b Université Claude Bernard Lyon 1, CNRS, INSERM, Centre de Recherche en Neurosciences

10 de Lyon CRNL U1028 UMR5292, PsyR2 Team, F-69500, Bron, France

11 ^c Psychiatric Emergency Service, Hospices Civils de Lyon, F-69005, Lyon, France –

12 louis.simon@chu-lyon.fr

13 ^d Univ. Grenoble Alpes, F-38000 Grenoble, France

14 ^e INSERM, U1216, F-38000 Grenoble, France

15 ^f Psychiatry Department, CHU Grenoble Alpes, F-38000 Grenoble, France

16 ^g Psychiatry Department, Centre Hospitalier Alpes-Isère, F-38120 Saint-Egrève, France

17 ^h Hospices Civils de Lyon, Groupement Hospitalier Est, LBMMS, Centre de biologie et de

18 pathologie Est, Lyon, France

19 ⁱ Université Claude Bernard Lyon 1, CNRS, INSERM, Centre de Recherche en Neurosciences

20 de Lyon CRNL U1028, WAKING Team, F-69500, Bron, France

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21 **Corresponding author:** Jérôme BRUNELIN, jerome.brunelin@ch-le-vinatier.fr, Équipe de
22 recherche PsyR², Centre Hospitalier Le Vinatier, Pôle Est - Bâtiment 416 - 1er étage, 95
23 Boulevard Pinel - BP 300 39, 69678 BRON Cedex, France

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25

26 **Abstract**

27 **BACKGROUND:** Schizophrenia is a multifactorial disorder with a range of risk factors.
28 Dysregulation in the systems involved in the stress response is a key component of its
29 pathophysiology. Individuals at risk of developing schizophrenia exhibit hyperreactivity to
30 stress and altered cognitive performance, both known as vulnerability markers. This study
31 aims to determine whether stimulation of the prefrontal cortex can reduce reactivity to
32 stress in unaffected siblings of patients with schizophrenia.

33 **METHODS:** In a randomized, sham-controlled trial, 27 participants were assigned to receive
34 either active (n = 14) or sham (n = 13) transcranial direct current stimulation (tDCS) over the
35 prefrontal cortex for 30 minutes during exposure to an acute stressor. The stress response
36 was measured biologically, via salivary cortisol levels, and cognitively, through a reality
37 monitoring task, which serves as an intermediate cognitive vulnerability marker.

38 **RESULTS:** In contrast to the sham condition, active stimulation significantly reduced cortisol
39 release in response to stress ($F_{(9,216)} = 1.972$; $p = 0.04$) and prevented stress-induced
40 impairment in reality monitoring ($F_{(1,23)} = 9.954$; $p = 0.004$).

41 **CONCLUSIONS:** These findings suggest that tDCS should be a promising tool for reducing
42 stress-induced biological and cognitive reactivity in a population at risk of schizophrenia.

43 **Keywords**

44 tDCS; at risk; cortisol; stress; schizophrenia

45 **Introduction**

46

47 Schizophrenia accounts for a significant proportion of the global burden of mental disorders
48 in terms of years lived with disability, despite its relatively low prevalence [1]. Although the
49 etiology of schizophrenia remains incompletely understood, there is an increasing body of
50 evidence indicating a multifactorial pathology involving both environmental and genetic
51 components. The role of genetics has been highlighted by the progressive increase in the risk
52 of developing the disease with the genetic proximity of an individual to a patient [2]. Siblings
53 of patients are therefore considered to be at an elevated risk, displaying a tenfold increase in
54 the likelihood of developing schizophrenia compared to the general population. They also
55 exhibited reduced cognitive performance at an intermediate level between the deficits
56 observed in patients and the performances observed in healthy individuals. Deficits have
57 been observed in a range of broad cognitive domains, such as working memory, attention,
58 and executive function [3-6], as well as in specific cognitive processes associated with
59 psychotic symptoms, such as reality monitoring. Reality monitoring is a cognitive process
60 that enables individuals to differentiate between memories of imagined events and
61 memories of perceived real events [7,8].

62 However, the heritability of schizophrenia is limited to 80% [9], thereby suggesting the
63 presence of non-genetic risk factors. In this regard, the neural diathesis-stress model of
64 schizophrenia posits that in addition to the neurodevelopmental part, the interplay between
65 genetic vulnerability and environmental stressors is responsible for the triggering of
66 neurodegenerative processes which in turn increase the risk of developing this pathology
67 [10]. Indeed, evidence indicates an association between stress exposure and increased risk
68 of schizophrenia, particularly in vulnerable populations [11-13].

69 Alterations in the systems involved in the stress response [14-19], particularly in the activity
70 of the hypothalamic-pituitary-adrenal (HPA) axis, the main effector of the stress response
71 [20], have been frequently reported in patients with schizophrenia. The basal concentrations
72 of cortisol, a reliable marker of HPA axis activation, have been found to be systematically
73 increased in patients with first-episode psychosis or established schizophrenia [21,22], as
74 well as in clinical high-risk individuals with attenuated symptoms [23]. Abnormalities have
75 also been observed in the HPA axis stress reactivity. Patients with schizophrenia or first-
76 episode psychosis exhibited diminished reactivity, as evidenced by reduced cortisol release
77 [21,24], whereas individuals with prodromes showed HPA axis hyperreactivity, characterized
78 by exaggerated cortisol release [25,26]. Remarkably, hyperreactivity to stress has also been
79 reported in unaffected first-degree relatives of patients with schizophrenia [18,27],
80 suggesting that hyperreactivity could be an endophenotype of schizophrenia. Moreover,
81 altered brain network dynamics during stressful situations have recently been documented
82 in siblings of patients [28]. These impairments would reflect the interactions between genes
83 and the environment, positioning the activation of stress effector systems such as the HPA
84 axis as a core component of the physiopathology of schizophrenia [10].

85 Among the brain regions involved in the regulation of the stress response, the prefrontal
86 cortex exerts an inhibitory influence on the HPA axis through indirect neuronal connections
87 [29]. However, stress can disrupt the functioning and integrity of the prefrontal cortex [30].
88 Recent studies have suggested that stimulation of the prefrontal cortex using non-invasive
89 brain stimulation techniques, such as transcranial direct current stimulation (tDCS), can
90 reduce stress-related cortisol release in healthy individuals, thereby reinforcing the
91 prefrontal cortex's regulatory influence over the HPA axis [31]. tDCS is a promising tool that
92 delivers a weak electric current, modulating the activity of cortical regions beneath the

93 stimulation electrodes [32-34] and interconnected brain regions with the stimulated area
94 [35]. Additionally, prefrontal cortex stimulation with tDCS has been demonstrated to
95 modulate cognitive processes, including working memory [36] and reality monitoring [37].
96 The repeated application of tDCS has been associated with improvements in various
97 symptoms across different pathologies, particularly in patients with schizophrenia and
98 depression [38]. It has been postulated that these beneficial effects on stress-related
99 disorders may be mediated by the impact of the prefrontal cortex (PFC) stimulation on the
100 HPA axis activity [39]. This brain region is therefore a prime target for reducing the stress
101 response in individuals with dysfunctional stress response systems. In siblings of patients
102 with schizophrenia, enhancing prefrontal cortex activity could help restore inhibitory control
103 over an exacerbated response, the latter being a potential contributor to the
104 physiopathology of this disorder.

105

106 In this context, we aimed to evaluate the physiological and behavioral effects of stimulating
107 the PFC using tDCS in first-degree relatives of patients with schizophrenia when confronted
108 with an acute stressful situation. We hypothesized that active PFC stimulation, compared to
109 sham stimulation, would prevent the effects of stress, and that we would be able to measure
110 these effects at two different levels: i) a physiological level by restraining the stress-induced
111 release of cortisol, the end product of the HPA axis, and ii) a cognitive level by preventing
112 stress-induced changes in reality monitoring performances, which are known to be affected
113 by acute stress exposure [40,41].

114

115 **Methods**

116

117 *Participants*

118 We conducted a randomized sham-controlled, triple-blind trial involving 28 participants. The
119 participants were first-degree relatives, unaffected siblings of patients diagnosed with
120 schizophrenia, aged between 18 and 30 years old. Exclusion criteria were: a current
121 diagnosis or history of a psychiatric (interview with a psychiatrist), somatic or neurological
122 disorder; current any medication treatment (excluding contraception); pregnancy or
123 breastfeeding; and contraindications to tDCS (including head trauma, metal implants in the
124 head, history of stroke, or unexplained loss of consciousness).

125 Participants were randomly assigned to receive either a sham or active tDCS session
126 (randomization ratio of 1:1 with varying block sizes, 2, 4, and 6). The sample size was
127 calculated a priori to have 80% power with a hypothesized 35% elevation of cortisol in the
128 active group and 80% in the sham group, based on the results of a previous study in 30
129 healthy volunteers using the same design and outcomes [42]. Due to missing data
130 (insufficient saliva in 8 out of the 10 collected samples), a participant was not included in the
131 analysis. The final analysis sample consisted of 27 participants, 14 in the active group and 13
132 in the sham group. To minimize the influence of sex hormones, females were included
133 during the first phase of the menstrual cycle.

134

135 The participants were recruited from the siblings of patients who were hospitalized at Le
136 Vinatier Hospital (Bron, France) between 2019 and 2023. All participants gave written
137 informed consent before taking part in this study. This study complied with the Declaration
138 of Helsinki for trials involving human participants and has received approval from a local
139 ethics committee (Comité de Protection des Personnes Est IV, France, A00850, on April 10,

140 2017). The study protocol was pre-registered on a public database (<https://clinicaltrials.gov/>,
141 NCT03217357, on July 5, 2017).

142

143 *Overview of the experimental Procedure*

144 All experimental sessions took place in the morning, with participants arriving at 8:30 am. To
145 minimize inter-individual variations associated with the nycthemeral cortisol cycle, the
146 stress induction protocol began between 10:30 and 11 am for all participants. Upon arrival
147 at the laboratory, participants completed a series of self-report questionnaires and the
148 computerized reality monitoring task. An initial saliva sample was then collected as the basal
149 sample. Subsequently, a 30-minute tDCS session was initiated, followed by the beginning of
150 the instruction and anticipation phase of the MAST protocol, as done in a previous study
151 conducted with healthy volunteers [42]. Six saliva samples were collected at five-minute
152 intervals during the tDCS session (**Figure 1**). After the stimulation period, three additional
153 samples were collected at 15-minute intervals while participants filled in the self-report
154 questionnaires and the computerized reality monitoring task a second time.

155

156 *Transcranial Direct Current Stimulation*

157 The tDCS was administered using a DC-plus Stimulator (NeuroConn GmbH, Germany). The
158 current was delivered through two 3 x 3cm electrodes. Because of the key role of the PFC in
159 stress regulation [29,30], the electrodes were placed following the 10/20 international EEG
160 electrode placement system, with the anode over F3 and the cathode over F4
161 (corresponding to the left and right PFC, respectively). A conductive paste (Ten20, Weaver
162 and Company, USA) was applied to the surface of the electrodes in contact with the skin.
163 Stimulation was administered for 30 min at 2 mA, with a 30-second ramp-up and ramp-down

164 periods. The stimulation parameters (30min, 2mA) and electrodes montage were selected
165 based on our previous studies, in which tDCS not only reduced stress reactivity in healthy
166 volunteers [42], but also improved cognition [43] and alleviated symptoms in patients with
167 major depression [44]. Sham stimulation consisted of applying a 2-mA current only during
168 the first minute of the stimulation period (with 30 s ramp up/ramp down). Blinding was
169 ensured using the “Study Mode” of the tDCS device, which allows the entry of an individual
170 five-digit code corresponding to either active or sham stimulation. The device then delivers
171 the stimulation (active or sham based on the code) without the knowledge of the person
172 administering the stimulation or the participant. Each code was assigned to a participant by
173 a third party, thus ensuring blinding of participants, experimenters, and statisticians.

174

175 *Stress Induction Protocol*

176 Stress was induced using an adapted version of the Maastricht Acute Stress Test (MAST,
177 [45]), which combines psychogenic and physical stressors that we previously used in a study
178 with the same design [42]. After five minutes of anticipation, during which the experimenter
179 informed the participant that the stress exposure was imminent, the participant was
180 subjected to alternating periods of different durations of both hand immersion in water at
181 8°C, which constituted a physical stressor, and mental arithmetic, which constituted a
182 psychogenic stressor, for 10 minutes (see Figure 1 for details of periods duration). The order
183 of presentation and the duration of the physical and mental stressors were the same for
184 each subject, while the participants were not informed of the duration of each sequence.
185 During the mental arithmetic periods, participants were required to perform subtractions
186 (e.g., counting backward from 3125 in steps of 17) in the quickest possible time without

187 making any mistakes. Whenever they hesitated or made a mistake, the experimenter
188 provided negative feedback and restarted the trial from the beginning.

189

190 *Reality Monitoring*

191 Reality monitoring performance was assessed before and after the stress protocol using a
192 computerized version of the task previously developed and validated in the lab [46]. The task
193 consisted of a presentation phase immediately followed by a test phase. In the presentation
194 phase, 16 words were displayed on a computer screen in a sequential order for a duration of
195 three seconds each, with each word preceded by an instruction presented for three seconds.
196 The instructions were either to “*Imagine hearing the following word*” for half of the words or
197 to “*Listen to the following word*” for the other half. In the subsequent test phase,
198 participants were presented with 24 words in succession, including the 16 words from the
199 presentation phase (8 imagined and 8 heard) and 8 new words. Participants were asked to
200 determine the source of each word (i.e., “*Imagined*”, “*Heard*”, or “*New*”). To acquaint
201 themselves with the task requirements and to ensure proper understanding of the
202 instructions, all participants completed a short training session prior to the main task. Two
203 distinct lists of 24 words were used to avoid any learning effect between the pre- and post-
204 stress and stimulation assessments.

205

206 *Outcomes*

207 The primary outcome used to assess the reactivity to stress was cortisol levels, which were
208 estimated by measuring salivary cortisol concentration. Salivary cortisol is a reliable marker
209 of cortisol variations observed in the blood [47], thus allowing us to avoid the stress
210 associated with blood sampling. A total of ten saliva samples were collected throughout the

211 course of the experiment to monitor the kinetics of cortisol release. Saliva was sampled
212 using Salivettes (Sarstedt, Germany). The Salivettes were then centrifuged and stored at -
213 20°C until analysis. Cortisol levels were determined by liquid chromatography coupled with
214 tandem mass spectrometry relative to reference values [48].

215 Stress reactivity was also assessed by cognitive measures, comparing reality monitoring
216 performance before and after the period of stress and stimulation. Reality monitoring
217 performance was assessed as the total number of correct responses for each task condition:
218 imagined words (range 0-8), heard words (range 0-8), and new words (range 0-8).

219 Finally, schizotypal personality was assessed at baseline using the Schizotypal Personality
220 Questionnaire (SPQ) [49] in order to control this parameter, which could influence cortisol
221 levels. The level of depressive symptoms was assessed using the 13-item self-reported Beck
222 Depression Inventory – BDI [50].

223 In order to assess the safety of tDCS in siblings of patients with schizophrenia, participants
224 were asked to report any side effects they had experienced, based on the criteria
225 established by Antal and colleagues [51]. Moreover, they rated the potential pain associated
226 with the electrical current application using a visual analog scale. Blinding was assessed at
227 the end of the session by both the experimenter and the participants (guessing method).

228

229 1. Statistical Analyses

230 All statistical analyses were performed in Jasp (0.16.03 version, JASP team, 2022).

231 Distribution normality and homogeneity of variances assumptions were controlled with the
232 Shapiro-Wilk test and Levene's test, respectively. Baseline sociodemographic and clinical
233 characteristics as well as tDCS safety data of both groups were compared using Fisher's exact
234 tests for qualitative variables, and bilateral Student's t-test or Mann-Whitney U test for

235 quantitative variables. A Welch correction was applied when a deviation from the
236 assumption of equal variance was detected.

237 As primary analysis, we conducted a repeated measure Analysis of variance (rmANOVA) on
238 cortisol concentration with Time (10 time points corresponding to the 10 saliva samples) and
239 Group (active, sham) as factors. Age was introduced as a covariate in the analysis. Missing
240 cortisol data (insufficient quantities of saliva to measure cortisol) were imputed using spline
241 interpolation.

242 To evaluate the effects on reality monitoring performance, a rmANOVA was performed on
243 the number of correct responses, with Time (pre- and post-stimulation) and Task Condition
244 (hear, imagine, or new) as within-subject factors, and Group (active, sham) as a between-
245 subject factor.

246 The alpha level was set at .05, and partial eta squared (η_p^2) were reported as the measure of
247 effect size.

248

249 **Results**

250

251 Active and sham groups were comparable at baseline concerning socio-demographic and
252 clinical characteristics (**Table 1**).

253

254 ***Please insert the Table 1 around here***

255

256 *tDCS Effects on Cortisol Release*

257 The rmANOVA revealed a significant main effect of Time ($F_{(9,216)} = 2.174$; $p = 0.025$; $\eta_p^2 =$

258 0.083) and a significant interaction between group and time ($F_{(9,216)} = 1.972$; $p = 0.044$; $\eta_p^2 =$

259 0.076) (**Figure 1**). No significant effect of age ($F_{(1,24)} = 4.063$; $p = 0.055$; $\eta_p^2 = 0.145$), group
260 ($F_{(1,24)} = 2.651$; $p = 0.117$; $\eta_p^2 = 0.099$), or Time \times Age interaction ($F_{(9, 216)} = 1.509$, $p = 0.146$,
261 $\eta_p^2 = 0.059$) was observed. Post-hoc comparisons were conducted between the active and
262 sham groups at each time point to further examine the significant Time \times Group interaction.
263 Significant differences in cortisol elevation were observed at time points 7 and 8, with the
264 active group showing lower cortisol increases than the sham group (Mean Difference = -
265 8.385, SE = 2.670, $t = -3.140$, $p = 0.002$, Cohen's $d = -1.285$ for time point 7; Mean Difference
266 = -6.422, SE = 2.670, $t = -2.405$, $p = 0.019$, Cohen's $d = -0.984$ for time point 8). No other time
267 points showed statistically significant differences (all $p_{\text{corr}} < 0.05$). The mean cortisol levels
268 increased to 241% of the basal level in the active group, as compared to 385% in the sham
269 group (Figure 1).

270

271 ***Please insert the Figure 1 around here***

272

273 *tDCS Effects on Reality Monitoring*

274 Two participants were excluded from these analyses due to missing data, resulting in 25
275 participants, divided between the active ($n = 13$) and sham ($n = 12$) groups.

276 The rmANOVA revealed a significant interaction between Time and Group ($F_{(1,23)} = 9.954$; $p =$
277 0.004 ; $\eta_p^2 = 0.302$; Figure 2), and a significant interaction between Task and Group ($F_{(2,46)} =$
278 3.349 ; $p = 0.044$; $\eta_p^2 = 0.127$). No significant interactions were observed between Time and
279 Task ($F_{(2,46)} = 1.931$; $p = 0.16$; $\eta_p^2 = 0.077$) and between Time, Group, and Task ($F_{(2,46)} = 0.953$;
280 $p = 0.39$; $\eta_p^2 = 0.040$). The rmANOVA revealed a significant main effect of Task ($F_{(2,46)} =$
281 45.317 , $p < 0.001$, $\eta_p^2 = 0.663$). No significant main effects were found for Time ($F_{(1,23)} =$
282 1.741 , $p = 0.200$, $\eta_p^2 = 0.070$) or Group ($F_{(1,23)} = 0.002$, $p = 0.964$, $\eta_p^2 = 0.0001$).

283 Post hoc analyses for the interaction between Time and Group indicated a significant
284 reduction in the number of correct responses between pre- and post-stimulation in the
285 sham group (Mean Difference = 0.750, SE = 0.242, $t = 3.102$, Cohen's $d = 0.552$, $p = 0.005$;
286 Figure 2). The active group showed no statistically significant change in performance over
287 time (Mean Difference = -0.308, SE = 0.232, $t = -1.325$, Cohen's $d = -0.227$, $p = 0.198$).
288 Findings suggested that active tDCS may prevent stress-induced effects on reality monitoring
289 performance. This effect seems driven by a 22% decrease in the recognition of imagined
290 words in the sham group (8 % for heard words), whereas a 5% increase in performance was
291 observed in the active group (14% for heard words).

292

293 ***Please insert the Figure 2 around here***

294

295 ***Safety and blinding***

296 Stimulation was well tolerated by all participants, with mild discomfort reported in both
297 groups during application. Self-reported pain induced by tDCS, assessed on a Visual Analog
298 Scale (VAS) from 0 to 10, showed no significant difference between the groups: the sham
299 group reported an average pain level of 3.8 (SD = 3.2), while the active group reported an
300 average of 2.8 (SD = 2.8) ($p = 0.38$). Similarly, no significant difference was observed in the
301 frequency of tDCS-related side effects between the groups ($p = 0.33$).

302 Regarding blinding, neither the participants (log OR = -0.54, $p = 0.71$) nor the experimenters
303 (log OR = -1.64, $p = 0.07$) were able to correctly identify the stimulation condition to which
304 the participant had been subjected.

305

306 **Discussion**

307

308 This randomized sham-controlled study investigated the impact of bifrontal tDCS on stress
309 reactivity in unaffected siblings of patients with schizophrenia. To the best of our knowledge,
310 this is the first study to investigate this paradigm in a population at risk of psychosis, which is
311 thought to present an exaggerated response to stress. A single session of tDCS over the
312 prefrontal cortex (PFC) delivered during acute stress resulted in a reduction in stress-induced
313 cortisol release and cognitive changes in participants who received active stimulation
314 compared to those who received sham stimulation. These findings suggest that tDCS may
315 attenuate both biological and cognitive stress reactivity, which is often hyperactive in
316 individuals at risk for schizophrenia. For example, in a comparable study using tDCS the
317 during stress exposure with the MAST protocol conducted in healthy volunteers [42], we
318 observed a mean increase in cortisol of 179.8% in the sham group and a 138.5% increase in
319 the active group. In contrast, in the current study conducted in unaffected siblings of
320 patients with schizophrenia and suggesting an hyperreactivity to stress in this population, we
321 observed a 385% increase in cortisol in the sham group and a 241% increase in the active
322 group (see Figure 1).

323

324 The observed effects on cortisol release suggested that tDCS may enhance the inhibitory
325 control of the prefrontal cortex over the HPA axis stress reactivity in acute stress situations.
326 These results are consistent with lesion studies, which have identified the prefrontal cortex
327 as playing a crucial role in stress regulation [52], through indirect inhibitory projections on
328 the paraventricular nucleus of the hypothalamus [29]. These findings are also consistent
329 with other noninvasive brain stimulation studies that have reported a reduction in stress-
330 induced cortisol release following a single session of brain stimulation over the PFC in

331 healthy volunteers [31]. In stressful situations, the performance of executive functions is
332 disrupted [42,53,54], which also suggests an alteration in the activity of the prefrontal
333 cortex. This region might then no longer be able to exert its inhibitory control over the HPA
334 axis. Assuming that tDCS may have increased the PFC excitability in the current study, the
335 inhibitory control of the PFC over the effector structures of the stress response could be
336 reinforced, exerting its influence from the onset of stress. Our results suggested that this
337 improved regulation of the stress response would manifest itself in a reduced release of
338 cortisol by the HPA axis.

339

340 In addition to inhibiting stress-induced cortisol release, tDCS appears to mitigate the adverse
341 effects of stress on reality monitoring. Indeed, a significant detrimental reduction in
342 performance was observed in the sham group following stress induction, whereas no such
343 reduction was observed in the active group. These findings are in contrast with those of
344 previous studies involving healthy participants, which reported enhanced performance
345 following stress [40,41]. The ambivalent effect of stress on reality monitoring in healthy and
346 at-risk individuals may also be explained by the timing of stimulation with respect to the
347 task. This is evidenced by a previous study which reported decreased memory when stress
348 was induced before the encoding phase and improved memory when stress was induced
349 between the encoding and the retrieval phases [55]. Furthermore, our results do not
350 support the idea that stress specifically impairs recognition of a particular type of source;
351 rather, they suggest a global deficit in reality monitoring. Notably, although not statistically
352 significant, we observed that stress may impair recognition of imagined words more than
353 heard words. These results are consistent with previous studies reporting that acute stress
354 affects mental imagery [56] but not auditory perception [57]. Moreover, our results

355 indicated that active bifrontal tDCS would prevent the detrimental effect of stress on reality
356 monitoring. A recent review has highlighted the positive effects of prefrontal stimulation on
357 reality monitoring performance in healthy individuals [37]. Indeed, the prefrontal cortex is
358 considered a key region for reality monitoring [58], and a reduction in its activity has been
359 associated with impaired reality monitoring performance in patients with schizophrenia [59].
360 Consequently, the preservation of reality monitoring observed after active bifrontal tDCS
361 could be attributed to the prevention of stress-induced alterations in prefrontal cortex
362 activity, thereby sustaining the neural activation of this region during the task. This
363 perspective is of considerable interest, given that these cognitive alterations have been
364 associated with symptoms of schizophrenia [60].

365

366 Improving the biological and cognitive stress response in unaffected siblings of patients with
367 schizophrenia is crucial, as these individuals have elevated mean daily cortisol levels and an
368 exaggerated cortisol response to acute stress [18,61,62]. Altered cortisol levels have been
369 repetitively associated with an increased risk of psychosis. Indeed, individuals at clinical risk
370 of schizophrenia exhibited increased cortisol levels at baseline and in response to stress
371 [23,63]. Furthermore, individuals who developed psychosis had higher initial baseline
372 cortisol levels than those who remitted and controls [25]. By normalizing the stress response
373 of at-risk populations, it might be possible to prevent the degenerative processes that are
374 responsible for the onset of schizophrenia and the worsening of symptoms. The diathesis-
375 stress model proposes that environmental stresses will alter the HPA axis, as well as brain
376 regions involved in regulating the stress response [10]. The accumulation of these alterations
377 to a breaking point would then be responsible for the onset of the first symptoms. Acting on
378 the systems involved in the stress response in at-risk populations such as siblings of patients

379 would therefore appear to be the key to curb these pathological mechanisms. We chose to
380 investigate these mechanisms in young adults, believing that they had not yet reached their
381 peak risk for developing schizophrenia and could therefore still benefit from the effects of
382 tDCS [64].

383

384 This study has some limitations that need to be emphasized. Firstly, we included only siblings
385 of patients, which precluded comparison of stress response with a control group. Secondly,
386 although the sex distribution was balanced between the groups (11 females and 3 males in
387 the active group versus 9 females and 4 males in the sham group), it has been reported that
388 sex may influence stress response [65]. Given the limited sample size, we did not conduct a
389 subgroup analysis. However, the effects of this intervention should be explored separately in
390 these populations. Finally, although the bifrontal model is thought to be able to reach areas
391 of the brain close to the electrodes, we have not been able to verify which areas are actually
392 affected by the stimulation. Further studies combining tDCS, stress induction, and
393 neuroimaging are required to ascertain whether this region is indeed involved in regulating
394 the stress response. Moreover, the specific effect of the bifrontal montage on stress
395 response should be validated by comparison with other active control montages. Lastly, the
396 timing between the stress situation and the tDCS session appears to be a critical factor. A
397 recent review of the literature on this specific issue [31] indicates that, for beneficial effects
398 on cortisol release, stimulation sessions must be delivered either before or during the stress
399 situation. Delivering brain stimulation session after stress exposure did not result in
400 modulation of cortisol release. In our study, we chose to administer tDCS during stress
401 exposure [42]. Further research exploring the effects of delivering tDCS before stress

402 exposure is warranted to better understand its potential as a preventive tool in real-life
403 situations

404

405 In conclusion, this study highlights the potential of tDCS as an effective intervention to
406 prevent exaggerated stress-induced cortisol release and protect against cognitive alterations
407 induced by stress in first-degree relatives of patients with schizophrenia. These results offer
408 new insights into the development of early intervention strategies for individuals at risk for
409 psychosis, which display hyperreactivity to stress, but also in people at risk for other
410 psychiatric conditions where abnormal stress responses have been observed.

411

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416

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422

423 **Conflict of interest**

424 The authors have nothing to disclose.

425

426 **Data availability**

427 Data are available upon request from the corresponding author [J.B.].

428

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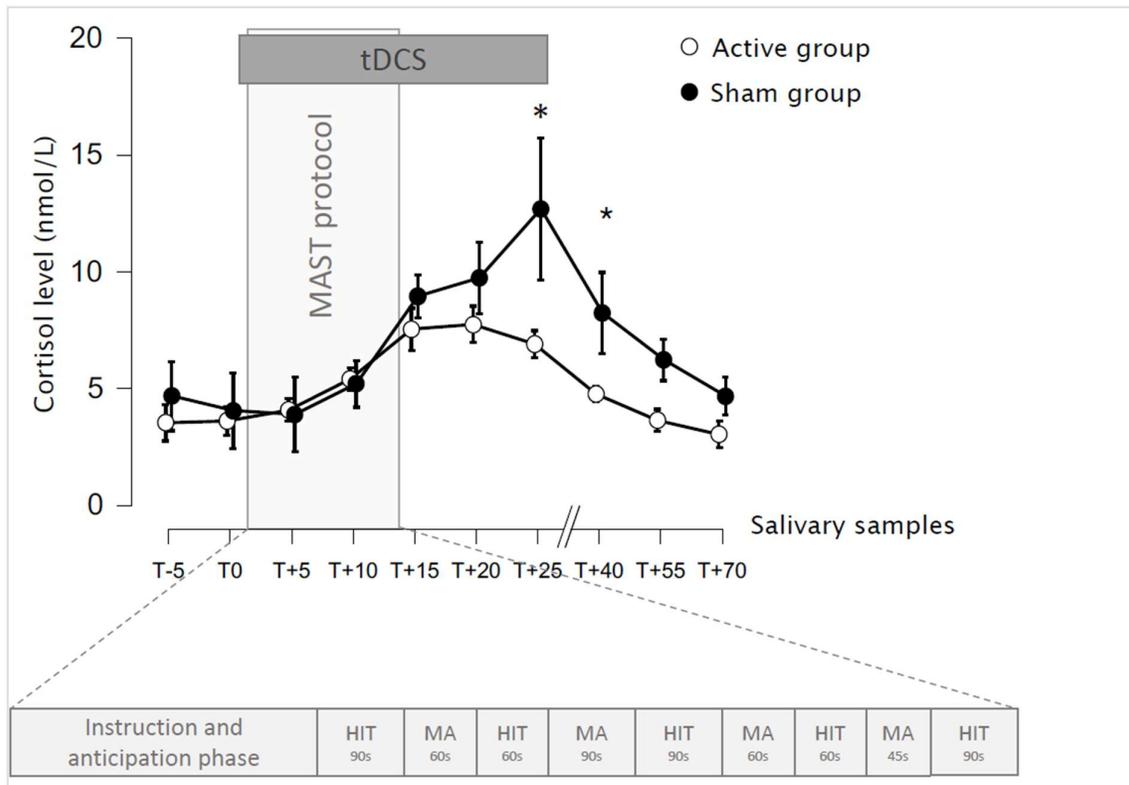
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624 **Figure Legends**

625 **Figure 1. Variations in cortisol concentrations during the experimental protocol.** The timing
 626 of the collection of salivary samples was noted in relation to the onset of the stimulation -
 627 tDCS- and stress -MAST- periods (T0). The repeated-measures ANOVA revealed a significant
 628 interaction between Time and Group. The mean cortisol levels increased to 241% of the
 629 basal level in the active group, as compared to 385% in the sham group. MAST protocol =
 630 Maastricht Acute Stress Test, which includes the Hand Immersion Test (HIT) in cold water
 631 and Mental Arithmetic (MA) stress tasks and their duration.

632 *p<0.05

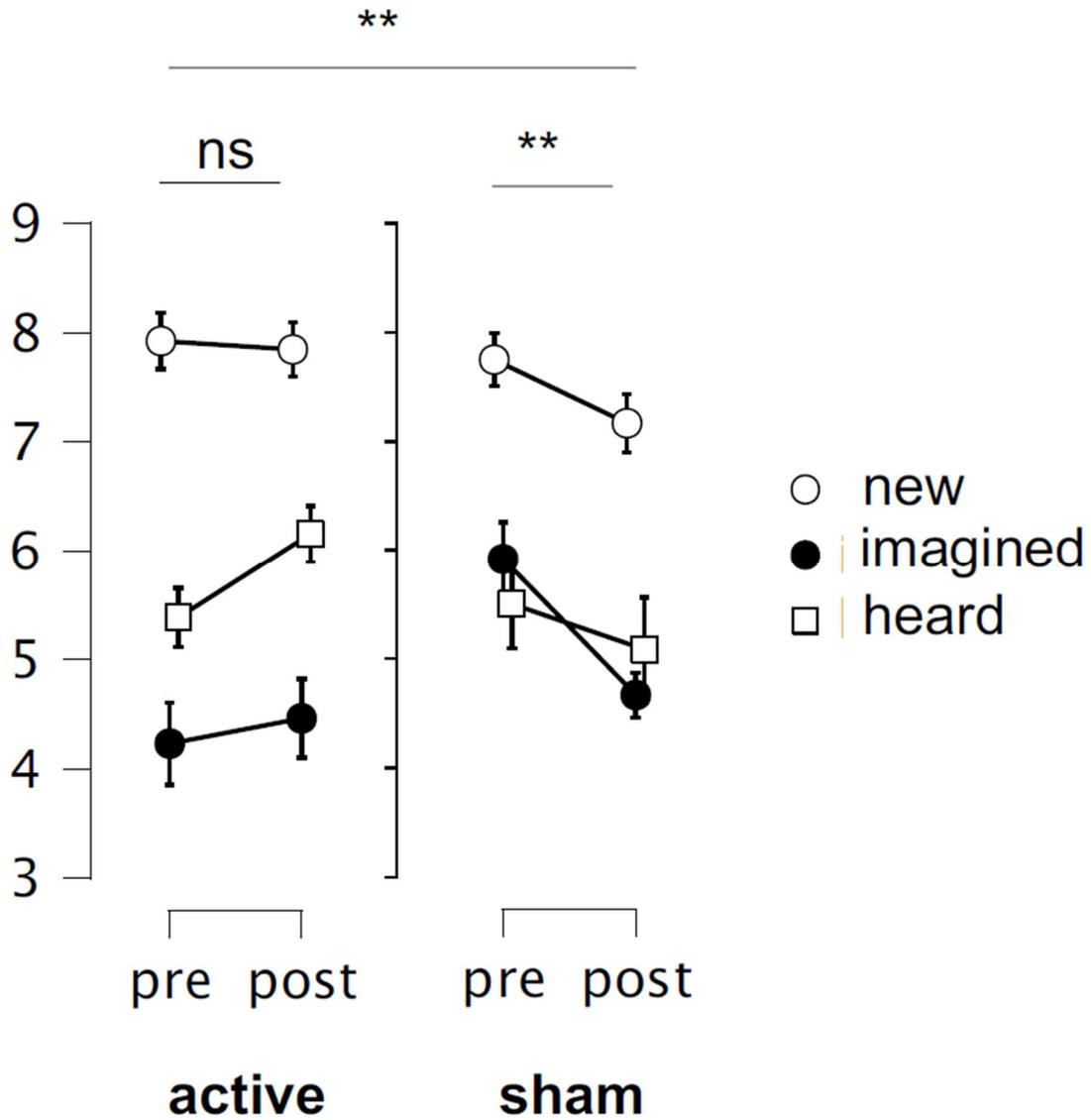


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635 **Figure 2. Variations in reality monitoring performances (number of correct responses).**

636 There was a significant interaction between Time (pre and post stress) and Group (active or
 637 sham tDCS). We observed a significant reduction in the number of correct responses
 638 between pre- and post- exclusively in the sham group, regardless of the task condition
 639 (imagined, heard, new). **p<0.01, ns: not significant



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641

642 **Table 1. Sociodemographic and clinical data of the participants.**

	Active group		Sham group		<i>p-Value</i>
	mean (SD)	n	mean (SD)	n	
n total		14		13	
Age (years)	22.3 (3.4)		24.7 (3.4)		0.09
Sex (F/M)		11/3		9/4	0.67
Laterality (R/L)		11/3		13/0	0.22
Education (years)	14.6 (2.7)		14.6 (2.7)		0.94
BDI ₁₃	3.6 (3.6)		2.4 (2.3)		0.33
SPQ	12.1 (10.5)		12.4 (7.9)		0.93

643 BDI₁₃, Beck Depression Inventory; SD, Standard Deviation; SPQ, Schizotypal Personality

644 Questionnaire; p values: Fisher's exact test (sex, laterality) and Student t test for other

645 variables

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