Review article

Clozapine v. first- and second-generation antipsychotics in treatment-refractory schizophrenia: systematic review and meta-analysis

Dan Siskind, Lara McCartney, Romi Goldschlager and Steve Kisely

Background

Although clozapine is the 'gold standard' for treatmentrefractory schizophrenia, meta-analyses of clozapine for this condition are lacking.

Aims

We conducted a systematic review and meta-analysis of clozapine treatment for people with treatment-refractory schizophrenia.

Method

We searched the Cochrane Schizophrenia Group's trial register, PubMed and EMBASE and hand-searched key papers for randomised controlled trials of clozapine for treatment-refractory schizophrenia.

Results

Twenty-one papers with 25 comparisons were included. The number needed to treat was 9. Clozapine was superior for

positive symptoms in both the short and long term. In the short term only clozapine was superior for total and negative symptoms, with higher response rates. Both funding source and dosage affected results. Higher baseline psychosis scores predicted better outcomes for clozapine in a meta-regression.

Conclusions

Clozapine is superior for treatment-refractory disorder but if there is no response by 6 months medications with lower adverse reactions should be considered.

Declaration of interest

None.

Copyright and usage

© The Royal College of Psychiatrists 2016.

The development of clozapine over 50 years ago was seen as a major breakthrough in the treatment of schizophrenia. However, initial enthusiasm for its use was tempered by the risks of adverse drug reactions, notably a cluster of agranulocytosis cases detected in Finland in the 1970s.¹ This led to the drug's removal from the market in many jurisdictions. In spite of this, continued evidence of its effectiveness for psychotic symptoms led to its widespread reintroduction in the 1990s.^{2,3} Clozapine is now generally reserved for people with treatment-refractory schizophrenia, usually defined as two failed trials of other antipsychotic medications of adequate dose and duration.⁴⁻⁷ In spite of the value of clozapine in these situations there is still a reluctance to prescribe it, with the result that usage rates vary widely.8 This reluctance may mean long delays before people with treatment-refractory disorder are given clozapine, resulting in poorer outcomes and exposure to potentially hazardous antipsychotic polypharmacy.9 One way to address this reluctance is to demonstrate the particular value of clozapine in treatment-refractory schizophrenia. However, most Cochrane reviews comparing clozapine with other antipsychotic medications have not focused on such patients. Furthermore, the sole meta-analysis that specifically examined the effectiveness of clozapine in this patient group included only comparisons with first-generation antipsychotic medications.¹⁰ That review found clozapine to be superior but also noted that the effect was greatest in studies of shorter duration and studies funded by manufacturers of clozapine. Since that time there have been several further randomised controlled trials (RCTs) in people with treatment-refractory schizophrenia that, importantly, compared clozapine with second-generation antipsychotics. These may be more relevant to current practice. We therefore undertook a systematic review and meta-analysis of RCTs comparing clozapine with other antipsychotics for treatment-refractory schizophrenia. Outcomes were grouped into short-term and long-term categories for total, positive and negative psychotic symptoms, adverse

drug reactions, study withdrawals and response to treatment. Additionally, we conducted sensitivity analyses for the effects of pharmaceutical industry funding, types of antipsychotic controls (first or second generation), dosage and initial psychosis score.

Method

The study was registered with the PROSPERO international database of prospectively registered systematic reviews (CRD42014013134).¹¹ Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) statement recommendations were followed for background, search strategy, methods, results, discussion and conclusions.¹²

Eligibility criteria

We included all RCTs that compared people with treatmentrefractory schizophrenia taking clozapine with those prescribed a first- or second-generation antipsychotic. Outcomes of interest included psychotic symptoms (total, positive and negative), adverse drug reactions, study withdrawal and response to treatment. Published data in all languages were included and translated into English.

Search strategy

We searched PubMed, EMBASE and the Cochrane Schizophrenia Group's Trials Register from inception to 6 February 2015. In the case of PubMed we used the following terms: (randomized controlled trial OR controlled clinical trial OR randomized OR placebo OR clinical trials OR randomly OR trial) AND (clozapin* OR clozaril OR zaponex OR denzapin* or clopine). Experts in the field were contacted and asked about unpublished data.

Study selection

Studies were included if they were randomised and double- or rater-blinded. Diagnoses included schizophrenia, schizoaffective disorder or schizophreniform disorder. Participants had to have demonstrated a resistance to treatment as defined by a failure to respond to at least one trial (and preferably two) of a first- or second-generation antipsychotic of at least 6 weeks' duration at dosage equivalents greater than 600 mg chlorpromazine. Studies were included if they compared clozapine with any other firstor second-generation antipsychotic medication. Studies were excluded if there was extensive crossover between the clozapine and control groups. All identified studies were screened at the title and abstract level by two authors (L.M. and R.G.). Studies that met the inclusion criteria on title and abstract review, or that could not be excluded on the basis of information provided in the abstract, were reviewed at full-text level. Snowball searches of key papers and the included studies' reference lists were conducted. Narrative and systematic reviews, posters, conference abstracts, case reports, letters to editors and other articles that did not meet the inclusion criteria were cross-referenced for additional potential sources of RCTs. Attempts were made to contact first authors of included studies in cases where information was missing.

Data collection

Data extraction was conducted by two independent researchers (L.M. and R.G.). All discrepancies during each stage of study selection, data extraction and quality assessment were resolved by re-checking source papers. Extracted data were validated by D.S. Data analysis was conducted by two authors (D.S. and S.K.). We extracted data on the following: study duration, setting, diagnostic tool and type of interventions (e.g. control medication, first- or second-generation antipsychotic, mean age and standard deviation, number commenced in study arm, mean dose of clozapine or control medication, and dose of clozapine or control medication). Doses of clozapine and control medications were converted to chlorpromazine and olanzapine equivalents.^{13,14} These were used in separate meta-analyses comparing dose equivalents for clozapine and the control medication for each study. Where data in studies were missing or unclear, attempts were made to contact the study's corresponding author.

Outcomes

386

Where possible, end-points were measured from commencement of intervention. Data from studies were divided into short term (less than 3 months) and long term (3 months or more). These time frames were selected after data were extracted, based on an approach used in a previous meta-analysis.¹⁵ Where multiple outcome time points were reported in the same study, the data for the last outcome time point in each period (short or long term) were used. Analysis was also conducted for all time points, with the data for the last outcome time point in each study included.

The primary outcome was a change in overall psychotic symptoms as measured by the Brief Psychiatric Rating Scale (BPRS) or the Positive and Negative Syndrome Scale (PANSS).^{16,17} Where standard deviations for change in psychotic symptoms were not reported, the change score was calculated from baseline and end-point scores and the standard deviation imputed.¹⁸ Secondary outcomes included changes in positive and negative symptom scales. For changes in positive symptoms we used scores from the Scale for the Assessment of Positive Symptoms (SAPS),¹⁹ and the positive subscales of the PANSS and BPRS. For changes in negative symptoms, scores for the

PANSS negative symptom subscale and the Scale for the Assessment of Negative Symptoms (SANS) were used.²⁰ We also collected data on response, based on the definition by Kane *et al* of a 20% decrease in BPRS total score plus either a post-treatment Clinical Global Inventory (CGI) Scale rating of mildly ill (\geq 3) or a post-treatment BPRS score of 35 or less.² We have noted where studies used other response criteria. Finally, we collected data on those leaving the study and the following adverse drug reactions: sialorrhoea, tachycardia, seizures, fever, dizziness, sedation, constipation, nausea or vomiting, insomnia, dry mouth, hypotension, headache and weight gain.

Study quality

We assessed the quality of included studies using the following criteria adapted from Cochrane Collaboration guidelines:

- (a) adequate generation of allocation sequence;
- (b) masking of allocation to conditions to participant and/or assessor;
- (c) adequate random sequence generation;
- (d) pre-specified primary outcome measures;
- (e) appropriate reporting on missing data;
- (f) use of intention-to-treat analysis;
- (g) other sources of potential bias including pharmaceutical company funding.

Statistical analysis

We used Review Manager version 5.3 for Mac for the metaanalyses and Comprehensive Meta-Analysis version 3.3 for the meta-regression. We calculated the standardised mean difference (SMD) for continuous data that used different scales. We reported the risk ratio (RR) for any dichotomous outcome. Where possible, intention-to-treat analyses were used. We conducted sensitivity analyses for the effect of dosages, use of first- or second-generation control medications, pharmaceutical company sponsorship and community or hospital study settings. We used meta-regression to assess the effect of baseline psychosis score as a continuous variable.²¹ We assessed heterogeneity using the I^2 statistic, a measure that does not depend on the number of studies in the meta-analysis and hence has greater power to detect heterogeneity when the number of studies is small; it provides an estimate of the percentage of variability due to heterogeneity rather than chance alone. An estimate of 50% or greater indicates possible heterogeneity, and scores of 75-100% indicate considerable heterogeneity. The I^2 estimate is calculated using the chi-squared statistic (Q) and its degrees of freedom.¹⁸ The random effects model was used for all the analyses as we could not definitely exclude between-study variation even in the absence of statistical heterogeneity, given the range of medications under review. We tested for publication bias using funnel plot asymmetry where low P values suggest publication bias.18

Results

A total of 2589 articles were identified in the initial search of the electronic databases. Of these, 2402 were excluded at the title and abstract level and a further 167 were excluded after review of the full text (see online Fig. DS1). One additional paper was included after a review of reference lists of key articles. Twenty-one papers were included for the review. The sum of enrolled patients was 1131 commenced on clozapine and 1233 on control medications with 801 clozapine and 799 control participants at final follow-up.

Study characteristics

Study quality was fair (see online Table DS1). Seventeen papers reported adequate allocation concealment, 18 were double-blind and 3 were blinded only to assessor. Adequate random sequence generation was reported in 18 papers. All papers reported the primary outcome measures and adequately described missing data. Seventeen papers reported intention-to-treat data. Three papers did not provide any information about the funding source. Eleven papers reported financial support from a pharmaceutical company (8 were funded by the manufacturer of the control medication, 2 by the manufacturer of clozapine and 1 by a manufacturer of both clozapine and the control medication). Among long-term studies, all pharmaceutical funding was from the manufacturers of control medications.

Twenty-one papers were included in the meta-analysis.^{2,22–41} They provided data on comparisons with 25 control groups, hereafter referred to as studies. In papers where clozapine was compared with more than one control medication, the number of participants in the clozapine group was divided by the number of control medications, rounded down to the nearest integer, and used as the number of clozapine participants in analyses comparing clozapine with each control medication. This was done to avoid double-counting the individuals taking clozapine. Papers were published between 1988 and 2009. Studies reported data at time points ranging from 6 weeks to 78 weeks. Seventeen papers reported data on 21 short-term studies. Nine papers reported data on 13 long-term studies.

Six papers had strict adherence to our definition of treatmentrefractory schizophrenia. Five papers included participants with only one failed trial of an antipsychotic, three papers had trial durations less than 6 weeks or did not report on trial duration, and nine papers reported that previous antipsychotic trials had a chlorpromazine-equivalent dose of less than 600 mg or did not report a dose. Eleven papers included some participants who had left previous antipsychotic trials owing to treatment intolerance rather than specifically treatment failure (online Table DS1).

Control medications comprised first-generation antipsychotics including chlorpromazine and haloperidol and second-generation antipsychotics including olanzapine, risperidone, quetiapine and ziprasidone (Table DS1). There was no statistically significant difference between clozapine and control groups in terms of age or baseline psychosis score in any of the included studies.

Psychotic symptoms

Twenty short-term studies had usable data for change in total psychotic symptoms for 604 people given clozapine and 708 people given a control medication. The standardised mean difference (SMD) favoured clozapine (Fig. 1). Eleven long-term studies had usable data with 368 people given clozapine and 451 people given a control medication. In contrast to the short-term results, there was no statistically significant difference in SMD between the groups (Fig. 1). However, clozapine was associated with a greater improvement in score when all time frames were combined, using the last reported time point in each study (SMD = -0.29, 95% CI -0.49 to -0.09, P < 0.005; 24 studies, n = 1858). Clozapine had a greater effect on positive symptoms with statistically superior outcomes at all time points (Fig. 2) compared with negative symptoms where benefits were only seen in the short term (Fig. 3).

Sensitivity analyses

In studies that were restricted to in-patients or had not received pharmaceutical company funding, clozapine had significantly better outcomes in both the short and long term. For instance, the SMD for long-term studies without pharmaceutical company funding was -0.67 (95% CI -1.15 to -0.19, P=0.006; 4 studies, n = 142). We also investigated the effect of dosing. Overall, patients on clozapine were given 228 mg less of chlorpromazine equivalents per day than controls (95% CI 188 to 267, P < 0.0001) and 9.8 mg less of olanzapine equivalents per day (95% CI 8.4 to 11.1, P<0.0001). When studies were ranked on degree of equivalence of dose and the half with the greatest difference excluded, the SMD more strongly favoured clozapine in each time frame, becoming significantly superior in the long term (SMD = -0.42, 95% CI -0.85 to -0.01, P = 0.05; 6 studies, n = 201). When studies that had included any treatment-intolerant participants were excluded, there was no difference in the overall results, with clozapine showing superior outcomes in the short term but not in the long term. Similarly, a sensitivity analysis on whether the study was single-blinded made no difference to the results.

There was no difference in results for studies that used first- or second-generation comparator antipsychotics, with clozapine showing superior outcomes in the short term but not in the long term. We examined clozapine against specific comparator medications for which there were two or more studies in each time frame. Clozapine was significantly superior to olanzapine, haloperidol and chlorpromazine in the short term; however, there was no significant difference between clozapine and risperidone in the short or long term, nor against olanzapine in the long term.

Three short-term studies looked specifically at people under age 18 years.^{29,30,37} When only these studies were included, the SMD more strongly favoured clozapine, whereas when the studies specifically looking at children and adolescents were excluded, the SMD less strongly favoured clozapine. Finally, we explored the effect of baseline mean psychosis score using meta-regression: higher scores predicted greater response for clozapine in the long term (regression coefficient 0.03, s.e.=0.01, P=0.0034, τ^2 =0.0008) but not the short term.

Response

Six studies defined response using the criteria outlined by Kane et al of a greater than 20% reduction in BPRS from baseline in the presence of a post-treatment CGI Scale score of 3 or less or a BPRS of 35 or less (online Table DS1).^{2,22,23,27,37,38} A further five studies defined response as an improvement on the BPRS or PANSS of greater than 20%.24,28,35,36,40 A final study defined response as a greater than 30% reduction in the BPRS plus a post-treatment CGI score of 2 or less.³⁰ Eight short-term studies had usable data for 598 participants taking clozapine and 620 control group participants. People taking clozapine were significantly more likely to respond in the short term (risk ratio (RR) = 1.17, 95% CI 1.07 to 2.73, P = 0.03; 8 studies, n = 1218). The absolute risk reduction was 12.48% (95% CI 7.52 to 17.43). Based on this response rate, the number needed to treat was 9. Five long-term studies had usable data for 479 participants in the clozapine group and 489 in the control group, with no significant difference between clozapine and control. For all time frames combined, results just failed to reach statistical significance (RR = 1.31, 95% CI 0.98 to 1.70, P = 0.07; 11 studies, n = 1692).

Sensitivity analyses

There were insufficient studies to do meaningful sensitivity analyses for the short- and long-term periods separately. Sensitivity analyses are limited to the data for all time frames combined. Sensitivity analysis on strictness of criteria of response or

Study or subgroup	Weight (%)	SMD IV, random, 95% Cl	SMD IV, random, 95% CI
, , ,		,	
Short term Azorin <i>et al</i> (2001) ²²	7.5	-0.41 (-0.66, -0.16)	
Bondolfi <i>et al</i> (1998) ²⁴	6.4	0.18 (-0.24, 0.61)	
Buchanan <i>et al</i> (1998) ²⁵	6.2	-0.05 (-0.50, 0.40)	
Cao <i>et al</i> (2003) ²⁶	5.8	0.08 (-0.43, 0.58)	
Hong <i>et al</i> (1997) ²⁷	4.7	-0.92(-1.59, -0.25)	
Kane <i>et al</i> $(1988)^2$	7.5	-0.72(-1.37, -0.23) -1.10(-1.36, -0.84)	
Kane <i>et al</i> (2001) ²⁸	6.0	-0.67(-1.15, -0.19)	
Kumra <i>et al</i> (1996) ²⁹	8.0 3.4		
Kumra <i>et al</i> (1996) Kumra <i>et al</i> (2008) ³⁰		-1.08(-2.01, -0.15)	
	4.9	-0.32 (-0.96, 0.31)	
McEvoy <i>et al</i> (2006) Olanzapine ³¹	4.4	-0.53 (-1.25, 0.19)	
McEvoy <i>et al</i> (2006) Quetiapine ³¹	4.1	-0.71 (-1.50, 0.07)	
McEvoy <i>et al</i> (2006) Risperidone ³¹	4.0	-0.98 (-1.77, -0.19)	· · · · · · · · · · · · · · · · · · ·
Meltzer <i>et al</i> (2008) ³²	4.5	-0.10 (-0.80, 0.61)	
Moresco <i>et al</i> (2004) ³³	2.7	-0.85 (-1.94, 0.24)	· · · · · · · · · · · · · · · · · · ·
Shaw <i>et al</i> (2006) ³⁷	3.9	-0.73 (-1.54, 0.09)	
Volavka <i>et al</i> (2002) Haloperidol ³⁹	4.9	-0.35 (-0.98, 0.29)	
Volavka <i>et al</i> (2002) Olanzapine ³⁹	5.0	-0.12 (-0.74, 0.51)	
Volavka <i>et al</i> (2002) Risperidone ³⁹	5.0	-0.25 (-0.88, 0.37)	
Wahlbeck et al (2000) ⁴⁰	3.2	0.69 (-0.26, 1.65)	
Wang <i>et al</i> (2002) ⁴¹	6.1	0.04 (-0.43, 0.51)	
Subtotal (95% CI)	100.0	-0.39 (-0.61, -0.17)	
Heterogeneity. $\tau^2 = 0.15$; $\chi^2 = 59.81$		0.00001); / ² = 68%	
Test for overall effect: $Z = 3.50$ ($P =$	0.0005)		
Long term			
Bitter <i>et al</i> (2004) ²³	15.1	-0.01 (-0.34, 0.32)	
McEvoy <i>et al</i> (2006) Olanzapine ³¹	4.2	-0.67 (-1.55, 0.22)	
McEvoy <i>et al</i> (2006) Quetiapine ³¹	4.7	-0.87 (-1.70, -0.03)	
McEvoy et al (2006) Risperidone ³¹	4.3	-1.29 (-2.17, -0.41)	
Meltzer <i>et al</i> (2008) ³²	4.9	0.01 (-0.80, 0.82)	
Naber <i>et al</i> (2005) ³⁴	13.4	0.08 (-0.30, 0.46)	
Sacchetti <i>et al</i> (2009) ³⁶	15.3	0.04 (-0.29) 0.36)	_
Tollefson <i>et al</i> (2001) ³⁸	16.5	0.14 (-0.15, 0.44)	
Volavka <i>et al</i> (2002) Haloperidol ³⁹	7.2	0.12 (-0.51, 0.75)	_
Volavka <i>et al</i> (2002) Olanzapine ³⁹	7.3	-0.18 (-0.81, 0.44)	
/olavka <i>et al</i> (2002) Risperidone ³⁹	7.1	-0.25(-0.89, 0.38)	
Subtotal (95% CI)	100.0	-0.11 (-0.31, 0.09)	-
Heterogeneity. $\tau^2 = 0.04$; $\chi^2 = 16.47$. , .	•
Test for overall effect: $Z = 1.06$ ($P =$		5.577, 1 = 5770	
	/		
1031 101 Overall encot. 2 – 1.00 (r –	0.27)		-0.2 -1 0

Fig. 1 Change in total psychotic symptoms. SMD, standardised mean difference.

equivalence of dose did not alter the absence of statistically significant difference between the groups. When only studies using first-generation antipsychotics as the comparator were included, clozapine was statistically significantly more likely to lead to a response (RR = 1.77, 95% CI 1.19 to 2.64, P = 0.008; 4 studies, n = 164). There was no statistically significant difference between the groups when only second-generation antipsychotics were included. When studies with pharmaceutical funding were excluded, response statistically significantly favoured clozapine (RR = 1.68, 95% CI 1.20 to 2.35, P = 0.002; 6 studies, n = 208).

Study completion

388

The number of participants at the completion of the study time frame was compared with the number of participants commencing the studies. For both the short- and long-term analyses there was no statistically significant difference between clozapine and control antipsychotics on study completion.

Adverse drug reactions

Meta-analyses on adverse reactions were conducted for any results reported by two or more studies. The data for the last time-point in each study was used. It was not feasible to separate adverse reactions into time groups as only two papers reported long-term data. Participants taking clozapine reported significantly greater rates of sialorrhoea, tachycardia, seizures, fever, dizziness, sedation, constipation, and nausea and vomiting (Table 1). The number needed to harm ranged from 4 for sialorrhoea to 19 for nausea and vomiting. Participants taking clozapine reported significantly lower rates of insomnia and dry mouth. There was no significant difference for hypotension, headache or weight gain.

Favours clozapine

2

Favours control

Publication bias

There were sufficient short-term studies to test for publication bias for the primary outcome of change in total psychosis scores.

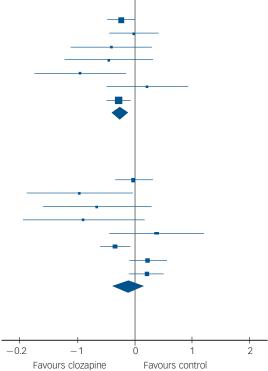
2

Favours control

Study or subgroup	Weight (%)	SMD IV, random, 95% Cl	SMD IV, random, 95% CI		
Short term					
Azorin <i>et al</i> (2001) ²²	26.3	-0.30 (-0.54, -0.05)			
Bondolfi <i>et al</i> (1998) ²⁴	14.5	0.17 (-0.25, 0.60)			
McEvoy et al (2006) Olanzapine ³¹	6.4	-0.43 (-1.15, 0.29)	_		
McEvoy <i>et al</i> (2006) Quetiapine ³¹	5.6	-0.65 (-1.43, 0.13)			
McEvoy et al (2006) Risperidone ³¹	5.5	-0.87 (-1.66, -0.09)			
Meltzer <i>et al</i> (2008) ³²	6.5	-0.37 (-1.09, 0.34)			
Rosenheck <i>et al</i> (1997) ³⁵	30.1	-0.15 (-0.35, 0.06)			
Shaw <i>et al</i> (2006) ³⁷	5.1	-0.77 (-1.59, 0.05)			
Subtotal (95% CI)	100.0	-0.27 (-0.47, -0.08)	◆		
Long term					
•					
Kane <i>et al</i> (2001) ²⁸	5.9	-0.61 (-1.35, 0.12)			
McEvoy <i>et al</i> (2006) Olanzapine ³¹	4.3	-0.36 (-1.22, 0.51)			
McEvoy <i>et al</i> (2006) Quetiapine ³¹	3.5	-0.85 (-1.81, 0.11)			
McEvoy <i>et al</i> (2006) Risperidone ³¹	3.0	-0.80 (-1.84, 0.25)			
Meltzer <i>et al</i> (2008) ³²	4.9	0.07 (-0.74, 0.88)			
Rosenheck <i>et al</i> (1997) ³⁵	48.6	-0.23 (-0.49, 0.03)			
Tollefson <i>et al</i> (2001) ³⁸	29.9	-0.13 (-0.46, 0.19)			
Subtotal (95% CI)	100.0	-0.25(-0.43, -0.07)	•		
Heterogeneity. $\tau^2 = 0.00$; $\chi^2 = 4.67$,		9); 1~=0%			
Test for overall effect: $Z = 2.77$ ($P =$	0.006)				
			-0.2 -1 0 1		

Fig. 2 Change in positive symptoms. SMD, standardised mean difference.

Study or subgroup	Weight (%)	SMD IV, random, 95% CI	
Study of Subgroup	Weigint (70)		
Short term			
Azorin <i>et al</i> (2001) ²²	31.0	-0.24 (-0.49, 0.00)	
Bondolfi <i>et al</i> (1998) ²⁴	11.6	-0.02 (-0.44, 0.41)	
McEvoy <i>et al</i> (2006) Olanzapine ³¹	4.2	-0.41 (-1.13, 0.30)	
McEvoy <i>et al</i> (2006) Quetiapine ³¹	3.7	-0.45 (-1.22, 0.32)	_
McEvoy et al (2006) Risperidone ³¹	3.5	-0.95 (-1.73, -0.16)	
Meltzer <i>et al</i> (2008) ³²	4.3	0.22 (-0.49, 0.93)	
Rosenheck <i>et al</i> (1997) ³⁵	41.6	-0.28 (-0.48, -0.07)	
Subtotal (95% CI)	100.0	-0.25 (-0.40, -0.10)	
Heterogeneity. $\tau^2 = 0.000$; $\chi^2 = 6.38$, d.f. = 6 ($P = 0$.	381); / ² =6%	
Test for overall effect: $Z = 3.3$ ($P = 0$).00091)		
Long term			
Bitter <i>et al</i> (2004) ²³	17.7	-0.02 (-0.35, 0.31)	
McEvoy <i>et al</i> (2006) Olanzapine ³¹	6.6	-0.96 (-1.87, -0.04)	
McEvoy <i>et al</i> (2006) Quetiapine ³¹	6.3	-0.65 (-1.59, 0.29)	
McEvoy et al (2006) Risperidone ³¹	5.4	-0.88 (-1.93, 0.17)	
Meltzer <i>et al</i> (2008) ³²	7.7	0.38 (-0.44, 1.20)	
Rosenheck <i>et al</i> (1997) ³⁵	19.7	-0.34 (-0.60, -0.09)	
Sacchetti <i>et al</i> (2009) ³⁶	17.9	0.23 (-0.10, 0.55)	
Tollefson <i>et al</i> (2001) ³⁸	18.7	0.21 (-0.09, 0.50)	
Subtotal (95% CI)	100.0	-0.11 (-0.39, 0.16)	
Heterogeneity. $\tau^2 = 0.08$; $\chi^2 = 19.55$, d.f. = 6 ($P = 0$.	007); / ² = 64%	
Test for overall effect: $Z = 0.81$ ($P =$			



SMD IV, random, 95% Cl

Favours clozapine

Fig. 3 Change in negative symptoms. SMD, standardised mean difference.

Table 1 A	dverse dri	ug reactions				
	Number of studies	Clozapine/ control participants <i>n/n</i>	RR (95% CI)	NNT/NNH		
Sialorrhoea	10	577/592	4.53 (2.50-8.19)***	NNH 4		
Seizures	3	167/164	3.84 (1.21–12.17)*	NNH 17		
Tachycardia	7	431/444	3.31 (1.38–7.93)**	NNH 7		
Fever	3	352/366	2.95 (1.45–5.98)**	NNH 19		
Dizziness	7	536/549	2.13 (1.31–3.44)**	NNH 11		
Sedation	11	620/635	1.84 (1.28–2.68)***	NNH 7		
Constipation	7	473/489	1.63 (1.03–2.56)*	NNH 12		
Nausea/vomiting	g 8	557/568	1.54 (1.00–2.39)*	NNH 19		
Insomnia	6	370/372	0.48 (0.25–0.90)***	NNT 13		
Dry mouth	4	293/309	0.36 (0.14–0.90)***	NNT 7		
Hypotension	3	283/295	0.91 (0.21–3.94)			
Headache	4	425/439	0.95 (0.58–1.56)			
Weight gain	4	184/182	1.37 (0.57–3.30)			
NNH, number needed to harm; NNT, number needed to treat; RR, risk ratio. * P < 0.05, ** P < 0.01, *** P < 0.001.						

The Egger's regression asymmetry test did not suggest publication bias (intercept -0.81, 90% CI -2.11 to 0.49, P=0.420).

Discussion

This study is the first systematic review and meta-analysis to look specifically at the pharmacotherapy of treatment-refractory schizophrenia with clozapine compared with all antipsychotics, not solely first-generation agents. We were able to include 21 studies with 2364 participants. These included 14 papers (n=1379) published since the data collection periods of the previous meta-analysis,¹⁰ all of which used a second-generation antipsychotic as a comparator. We also used a tighter definition of treatment-refractory schizophrenia than previous metaanalyses, based on the criteria described by Kane et al.² We found that clozapine was superior to other antipsychotics in reducing positive psychotic symptoms in both the short and long term for people with treatment-refractory disorder. In contrast, clozapine was not superior for negative symptoms in the long term although it was in the short term. This, in turn, may explain the lack of any difference in both total psychotic symptoms and response in the long term. It is unclear why the long-term advantages of clozapine are restricted to positive symptoms. Of the two previous meta-analyses of the effect of study duration on outcome, one reported that clozapine was superior in longterm studies,¹⁵ whereas the other reported that clozapine was superior in short-term studies.¹⁰ Clozapine was particularly effective for more severe baseline symptoms.

The source of funding did appear to have an effect on our results. Studies without pharmaceutical industry funding favoured clozapine more strongly and were statistically significant for all time frames, whereas those with such support favoured the comparator medication. This is in contrast to earlier findings that pharmaceutical industry funding either did not alter rates of improvement,¹⁵ or actually increased the likelihood of a study favouring clozapine.¹⁰ One explanation is that the earlier meta-analyses included comparisons with first-generation antipsychotics that were funded by manufacturers of clozapine. In contrast, all but two of the additional 14 papers included in this review were funded by the manufacturers of the second-generation agents.

Pharmaceutical industry funding is a known source of systematic bias either through suppression of non-favourable results or inappropriate comparator medications.^{42,43}

Sensitivity analyses for first- or second-generation comparator antipsychotic group did not appear to affect change in psychotic symptoms. With the exception of risperidone, clozapine was superior in the short term to individual second-generation antipsychotics but failed to reach statistical significance in long-term studies. However, this finding in the long term may be confounded by pharmaceutical industry funding of more recent comparisons with second-generation antipsychotics. When the five second-generation antipsychotic studies without pharmaceutical funding were examined, there was a trend favouring clozapine, but it failed to reach statistical significance. When dosage equivalents were compared, people taking clozapine were receiving significantly lower doses of medication. We attempted to validate this discrepancy using two different dosage equivalence formulas, and both demonstrated that clozapine doses were significantly lower than those of control group medication. It is therefore possible that lower clozapine doses may have biased the data against clozapine, although this is difficult to validate in the absence of reported serum clozapine levels in most of the included studies. Levels were reported in only three studies and ranged from 281 ng/ml to 715 ng/ml;^{24,30,37} clozapine is therapeutic at levels above 350 ng/ml.44,45 Reporting of serum clozapine levels in future RCTs would assist in confirming whether therapeutic doses were used.

Study limitations

There were several limitations of this study. Many of our results showed heterogeneity. Although we attempted to explore this further with sensitivity analyses and meta-regression as well as using a random effects model throughout to incorporate heterogeneity into our analysis, our results should still be treated with caution. As noted above, there are potential biases associated with pharmaceutical industry funding, class of control antipsychotic and comparative doses of clozapine and control medications. We attempted to address these by conducting sensitivity analyses and meta-regression. It is important to note the difficulty of masking in studies where clozapine is a comparator, given the significant adverse drug reactions associated with clozapine. It is possible that this may have systematically biased the overall results. Several studies included participants who had been intolerant to previous antipsychotic trials, as opposed to a strict definition of two or more failed adequate trials. A sensitivity analysis of studies with strict inclusion criteria did not alter the results. We were unable to report on relapse, as the included studies did not provide usable data on this variable. Although we attempted to locate unpublished findings, it is possible that there are unpublished data that we were unable to include.

Clinical implications

Our results suggest that clozapine should remain the treatment of choice for refractory schizophrenia, at least in the short term. Clozapine demonstrated superiority for positive symptoms across all time frames. Given the challenges associated with treating people with refractory disorder, our finding of a number needed to treat of 9 is moderately good.⁴⁶ However, this must be balanced against numbers needed to harm that ranged from 4 for sialorrhoea to 19 for fever. In addition, if there is no meaningful improvement of symptoms or function at 6 months, our findings suggest clozapine should be stopped and consideration given to an antipsychotic with a more favourable adverse reaction profile.

Pharmacological treatment should always be provided in concert with evidence-based psychosocial interventions.⁴⁷

Dan Siskind, MBBS, PhD, Metro South Addiction and Mental Health Services, Brisbane, and University of Queensland School of Medicine, Brisbane; Lara MCCartney, MBBS, Royal Melbourne Hospital, Melbourne; Romi Goldschlager, MBBS, St Vincent's Hospital, Melbourne; Steve Kisely, MD, PhD, Metro South Addiction and Mental Health Services, Brisbane, University of Queensland School of Medicine, Brisbane, and Griffith Health Institute, Brisbane, Australia

Correspondence: Dan Siskind, MIRT, 519 Kessels Road, MacGregor, Queensland 4109, Australia. Email: d.siskind@uq.edu.au

First received 13 Jul 2015, final revision 13 Dec 2015, accepted 27 Feb 2016

Funding

D.S. is funded in part through an NHMRC ECF, APP1111136, 2016-2019.

Acknowledgements

We would like to thank Evelyn Ma, Dagmar Hlincikova and Oliver Freudenreich for their assistance in translating articles.

References

- 1 Idanpaan-Heikkila J, Alhava E, Olkinuora M, Palva IP. Agranulocytosis during treatment with chlozapine. *Eur J Clin Pharmacol* 1977; **11**: 193–8.
- 2 Kane J, Honigfeld G, Singer J, Meltzer H. Clozapine for the treatment-resistant schizophrenic. A double-blind comparison with chlorpromazine. Arch Gen Psychiatry 1988; 45: 789–96.
- 3 Leucht S, Cipriani A, Spineli L, Mavridis D, Orey D, Richter F, et al. Comparative efficacy and tolerability of 15 antipsychotic drugs in schizophrenia: a multiple-treatments meta-analysis. *Lancet* 2013; 382: 951–62.
- 4 Lehman AF, Lieberman JA, Dixon LB, McGlashan TH, Miller AL, Perkins DO, et al. Practice guideline for the treatment of patients with schizophrenia, second edition. Am J Psychiatry 2004; 161 (suppl 2): 1–56.
- 5 National Institute for Health and Care Excellence. Psychosis and Schizophrenia in Adults: Prevention and Management. CG 178. NICE, 2014.
- 6 Royal Australian and New Zealand College of Psychiatrists Clinical Practice Guidelines Team for the Treatment of Schizophrenia and Related Disorders. Royal Australian and New Zealand College of Psychiatrists clinical practice guidelines for the treatment of schizophrenia and related disorders. *Aust NZ J Psychiatry* 2005; **39**: 1–30.
- 7 Warnez S, Alessi-Severini S. Clozapine: a review of clinical practice guidelines and prescribing trends. BMC Psychiatry 2014; 14: 102.
- 8 Forrester T, Siskind D, Winckel K, Wheeler A, Hollingworth S. Increasing clozapine dispensing trends in Queensland, Australia 2004–2013. *Pharmacopsychiatry* 2015; 48: 164–9.
- 9 Howes OD, Vergunst F, Gee S, McGuire P, Kapur S, Taylor D. Adherence to treatment guidelines in clinical practice: study of antipsychotic treatment prior to clozapine initiation. Br J Psychiatry 2012; 201: 481–5.
- 10 Moncrieff J. Clozapine v. conventional antipsychotic drugs for treatmentresistant schizophrenia: a re-examination. Br J Psychiatry 2003; 183: 161–6.
- 11 Booth A, Clarke M, Dooley G, Ghersi D, Moher D, Petticrew M, et al. The nuts and bolts of PROSPERO: an international prospective register of systematic reviews. *Syst Rev* 2012; 1: 2.
- 12 Moher D, Liberati A, Tetzlaff J, Altman DG. The PRISMA group (2009) preferred reporting items for systematic reviews and meta-analyses: the PRISMA statement. *PLoS Med* 2009; **6**: e1000097.
- 13 Andreasen NC, Pressler M, Nopoulos P, Miller D, Ho BC. Antipsychotic dose equivalents and dose-years: a standardized method for comparing exposure to different drugs. *Biol Psychiatry* 2010; 67: 255–62.
- 14 Leucht S, Samara M, Heres S, Patel MX, Furukawa T, Cipriani A, et al. Dose equivalents for second-generation antipsychotic drugs: the classical mean dose method. *Schizophr Bull* 2015; 41: 1397–402.
- **15** Wahlbeck K, Cheine M, Essali A, Adams C. Evidence of clozapine's effectiveness in schizophrenia: a systematic review and meta-analysis of randomized trials. *Am J Psychiatry* 1999; **156**: 990–9.
- 16 Overall JE, Gorham DR. The brief psychiatric rating scale. *Psychol Rep* 1962; 10: 799–812.
- 17 Kay SR, Fiszbein A, Opler LA. The positive and negative syndrome scale (PANSS) for schizophrenia. *Schizophr Bull* 1987; 13: 261–76.

- 18 Higgins JPT, Green S. Cochrane Handbook for Systematic Reviews of Interventions Version 5.1.0. Cochrane Collaboration, 2011.
- **19.** Andreasen NC. *The Scale for the Assessment of Positive Symptoms (SAPS).* University of Iowa, 1984.
- 20 Andreasen NC. The Scale for the Assessment of Negative Symptoms (SANS). University of Iowa, 1983.
- 21 Leucht S, Rothe P, Davis JM, Engel RR. Equipercentile linking of the BPRS and the PANSS. *Eur Neuropsychopharmacol* 2013; 23: 956–9.
- 22 Azorin JM, Spiegel R, Remington G, Vanelle JM, Pere JJ, Giguere M, et al. A double-blind comparative study of clozapine and risperidone in the management of severe chronic schizophrenia. *Am J Psychiatry* 2001; **158**: 1305–13.
- 23 Bitter I, Dossenbach MR, Brook S, Feldman PD, Metcalfe S, Gagiano CA, et al. Olanzapine versus clozapine in treatment-resistant or treatment-intolerant schizophrenia. *Prog Neuropsychopharmacol Biol Psychiatry* 2004; 28: 173–80.
- 24 Bondolfi G, Dufour H, Patris M, May JP, Billeter U, Eap CB, et al. Risperidone versus clozapine in treatment-resistant chronic schizophrenia: a randomized double-blind study. The Risperidone Study Group. Am J Psychiatry 1998; 155: 499–504.
- 25 Buchanan RW, Breier A, Kirkpatrick B, Ball P, Carpenter WT. Positive and negative symptom response to clozapine in schizophrenic patients with and without the deficit syndrome. *Am J Psychiatry* 1998; **155**: 751–60.
- 26 Cao HJ, You HF, Fan FL, Zhang J. The control study of risperidone and clozapine for the treatment-resistant schizophrenia. J Chinese Med Res 2003;
 4: 316-9.
- 27 Hong CJ, Chen JY, Chiu HJ, Sim CB. A double-blind comparative study of clozapine versus chlorpromazine on Chinese patients with treatmentrefractory schizophrenia. Int Clin Psychopharmacol 1997; 12: 123–30.
- 28 Kane JM, Marder SR, Schooler NR, Wirshing WC, Umbricht D, Baker RW, et al. Clozapine and haloperidol in moderately refractory schizophrenia: a 6-month randomized and double-blind comparison. Arch Gen Psychiatry 2001; 58: 965–72.
- 29 Kumra S, Frazier JA, Jacobsen LK, McKenna K, Gordon CT, Lenane MC, et al. Childhood-onset schizophrenia. A double-blind clozapine–haloperidol comparison. Arch Gen Psychiatry 1996; 53: 1090–7.
- 30 Kumra S, Kranzler H, Gerbino-Rosen G, Kester HM, Thomas C, Kafantaris V, et al. Clozapine and 'high-dose' olanzapine in refractory early-onset schizophrenia: a 12-week randomized and double-blind comparison. *Biol Psychiatry* 2008; 63: 524-9.
- 31 McEvoy JP, Lieberman JA, Stroup TS, Davis SM, Meltzer HY, Rosenheck RA, et al. Effectiveness of clozapine versus olanzapine, quetiapine, and risperidone in patients with chronic schizophrenia who did not respond to prior atypical antipsychotic treatment. *Am J Psychiatry* 2006; 163: 600–10.
- 32 Meltzer HY, Bobo WV, Roy A, Jayathilake K, Chen Y, Ertugrul A, et al. A randomized, double-blind comparison of clozapine and high-dose olanzapine in treatment-resistant patients with schizophrenia. J Clin Psychiatry 2008; 69: 274–85.
- 33 Moresco RM, Cavallaro R, Messa C, Bravi D, Gobbo C, Galli L, et al. Cerebral D2 and 5-HT2 receptor occupancy in schizophrenic patients treated with olanzapine or clozapine. J Psychopharmacol 2004; 18: 355–65.
- 34 Naber D, Riedel M, Klimke A, Vorbach EU, Lambert M, Kuhn KU, et al. Randomized double blind comparison of olanzapine vs. clozapine on subjective well-being and clinical outcome in patients with schizophrenia. *Acta Psychiatr Scand* 2005; 111: 106–15.
- **35** Rosenheck R, Cramer J, Xu W, Thomas J, Henderson W, Frisman L, et al. A comparison of clozapine and haloperidol in hospitalized patients with refractory schizophrenia. *N Engl J Med* 1997; **337**: 809–15.
- 36 Sacchetti E, Galluzzo A, Valsecchi P, Romeo F, Gorini B, Warrington L, et al. Ziprasidone vs clozapine in schizophrenia patients refractory to multiple antipsychotic treatments: the MOZART study. *Schizophr Res* 2009; 110: 80–9.
- 37 Shaw P, Sporn A, Gogtay N, Overman GP, Greenstein D, Gochman P, et al. Childhood-onset schizophrenia: a double-blind, randomized clozapineolanzapine comparison. Arch Gen Psychiatry 2006; 63: 721–30.
- 38 Tollefson GD, Birkett MA, Kiesler GM, Wood AJ. Double-blind comparison of olanzapine versus clozapine in schizophrenic patients clinically eligible for treatment with clozapine. *Biol Psychiatry* 2001; 49: 52–63.
- 39 Volavka J, Czobor P, Sheitman B, Lindenmayer JP, Citrome L, McEvoy JP, et al. Clozapine, olanzapine, risperidone, and haloperidol in the treatment of patients with chronic schizophrenia and schizoaffective disorder. *Am J Psychiatry* 2002; **159**: 255-62
- 40 Wahlbeck K, Cheine M, Tuisku K, Ahokas A, Joffe G, Rimon R. Risperidone versus clozapine in treatment-resistant schizophrenia: a randomized pilot study. *Prog Neuropsychopharmacol Biol Psychiatry* 2000; 24: 911–22.

- 41 Wang RZ, Geng YY, Pan DH, Zhang SJ. A comparative trial of efficacy of risperidone vs clozapine in treatment of refractory schizophrenia. *Chinese* J Pharmacoepidemiol 2002; 5: 230–1.
- 42 Turner EH, Matthews AM, Linardatos E, Tell RA, Rosenthal R. Selective publication of antidepressant trials and its influence on apparent efficacy. *N Engl J Med* 2008; 358: 252–60.
- 43 Lexchin J, Bero LA, Djulbegovic B, Clark O. Pharmaceutical industry sponsorship and research outcome and quality: systematic review. BMJ 2003; 326: 1167–70.
- 44 Stark A, Scott J. A review of the use of clozapine levels to guide treatment and determine cause of death. Aust NZ J Psychiatry 2012; 46: 816–25.
- **45** Potkin SG, Bera R, Gulasekaram B, Costa J, Hayes S, Jin Y, et al. Plasma clozapine concentrations predict clinical response in treatment-resistant schizophrenia. *J Clin Psychiatry* 1994; **55** (suppl B): 133–6.
- 46 Citrome L, Ketter TA. When does a difference make a difference? Interpretation of number needed to treat, number needed to harm, and likelihood to be helped or harmed. Int J Clin Pract 2013; 67: 407–11.
- 47 Dixon LB, Dickerson F, Bellack AS, Bennett M, Dickinson D, Goldberg RW, et al. The 2009 schizophrenia PORT psychosocial treatment recommendations and summary statements. *Schizophr Bull* 2010;
 36: 48–70.



poems by doctors

Suicide Junkie

Jo McFarlane

The last overdose I took was precipitated by the fear of abandonment. I had misinterpreted my key worker's kindness as a sign she was soon to be leaving, I wasn't trying to make her stay; I just couldn't imagine how I'd cope without her so death seemed the only viable option.

When I was younger I used to fantasise about being murdered. It seemed the obvious solution to my impossible predicament: Life too unbearable to go on but I wouldn't have to face the wrath of hell because the fatal knife plunged into me would be the doing of another's hand.

So I'd tank up on the cheapest and most potent booze then stagger round the streets all night, searching for a friendly psychopath to put me out of my misery. Some nights I slept on a dried patch of dog's piss, soaking up the fumes with a vampire's lust for the macabre.

There was the odd attempted hanging where I proved myself a parody of beefed-up incompetence. I even tried to drown myself by swimming out to sea. The life crew that picked me up were unaware of the irony in my 'thanks' When I jumped off Salisbury Crags some unseen force intervened and I came off lightly with a thousand bruises and a broken bone. Staring from the Forth Road Bridge into the black abyss, I should have known the fence would prove too much for my dyspraxia. Each botched attempt to silence the scream another notch upon the damning pages of my notes.

Lurching from crisis to crisis fed the adrenalin of being mad. I wasn't doing it for attention but release from the distress. It's hard to explain if you've not known the pain of desolation in the beauty of a sunset. Being addicted to despair is a sickness of the soul. The healing touch of heaven, I now see, comes not from death but the sunset quickening my senses, making me feel whole.

© Jo McFarlane. Reprinted with permission.

Selected by Femi Oyebode. From *Stigma & Stones: Living with a Diagnosis of BPD*, poems by Sally Fox & Jo McFarlane. Through their collection *Stigma & Stones*, writers/performers/partners Sally Fox and Jo McFarlane seek to promote understanding, improve treatment and reduce the stigma of living with a diagnosis of BPD.

The British Journal of Psychiatry (2016) 209, 392. doi: 10.1192/bjp.bp.116.181669