

## LOCAL UNIQUE FACTORIZATION IN THE SEMIGROUP OF PATHS IN $\mathbb{R}^n$

BY  
MOHAN S. PUTCHA

ABSTRACT. Let  $S$  denote the semigroup of all rectifiable, piecewise continuously differentiable paths in  $\mathbb{R}^n$  under concatenation. We prove a theorem to the effect that every finite collection of paths is contained in a subsemigroup of  $S$  which has the unique factorization property with respect to certain primes and straight lines. We also determine an abstract necessary sufficient condition for a subsemigroup of  $S$  to have this unique factorization property.

Throughout this paper,  $\mathbb{R}$ ,  $\mathbb{R}^+$ ,  $\mathbb{Z}^+$  will denote the sets of all real numbers, positive reals, positive integers, respectively.  $n$  will denote a fixed positive integer and  $\mathbb{R}^n$  the Euclidean  $n$ -space. Let  $\mathcal{M}$  denote the set of all rectifiable, piecewise continuously differentiable functions  $f$  from  $[0, 1]$  into  $\mathbb{R}^n$  such that  $f(0) = 0$  and  $f$  is not constant on any subinterval of  $[0, 1]$ . If  $f, g \in \mathcal{M}$ , then let  $fg \in \mathcal{M}$  be defined by

$$fg(x) = \begin{cases} f(2x) & 0 \leq x \leq \frac{1}{2} \\ f(1) + g(2x - 1), & \frac{1}{2} \leq x \leq 1 \end{cases}$$

If  $f, g \in \mathcal{M}$ , then define  $f \equiv g$  if  $g = f \circ \phi$  for some strictly increasing, continuous self-map  $\phi$  of  $[0, 1]$  with  $\phi(0) = 0$ ,  $\phi(1) = 1$ . Then  $S = \mathcal{M} / \equiv$  is a cancellative semigroup (see [2, 3] where  $\mathcal{D}_1^*$  was used to denote this semigroup). Let  $\mathcal{L}$  denote the set of all lines in  $S$ . If  $u \in S$ , then  $l(u)$  denotes the length of  $u$ . If  $a \in \mathcal{L}$ ,  $\alpha \in \mathbb{R}^+$ , then let  $a^\alpha$  denote the line parallel to  $a$  having length  $\alpha l(a)$ . If  $a, b \in \mathcal{L}$ , then we will write  $a \sim b$  if  $a$  is parallel to  $b$  (i.e.,  $b = a^\alpha$  for some  $\alpha \in \mathbb{R}^+$ ). If  $A \subseteq S$ , then let  $\langle A \rangle$  denote the semigroup generated by  $A$ . If  $\Gamma$  is a set, then let  $\mathcal{F} = \mathcal{F}(\Gamma)$  denote the free semigroup on  $\Gamma$ . If  $\Lambda \subseteq \Gamma$ , then let  $\mathcal{F}_{\mathbb{R}}(\Gamma | \Lambda)$  denote the semigroup of words in alphabet  $\Gamma$  such that for  $A \in \Gamma \setminus \Lambda$  the exponents of  $A$  in the word are allowed to be positive real numbers. So  $\mathcal{F}_{\mathbb{R}}(\Gamma | \Gamma) = \mathcal{F}(\Gamma)$  and  $\mathcal{F}_{\mathbb{R}} = \mathcal{F}_{\mathbb{R}}(\Gamma) = \mathcal{F}_{\mathbb{R}}(\Gamma | \emptyset)$  is the free product of  $|\Gamma|$  copies of positive reals under addition (see [3]). Let  $S^1$  be the semigroup  $S \cup \{1\}$ ,  $1 \notin S$  such that  $1$  is the identity element of  $S^1$ . If  $X \subseteq S$ , then  $X^1 = X \cup \{1\}$ .

If  $X \subseteq S$ , then the *power closure* of  $X$ ,  $\bar{X} = \{u^i \mid u \in X, i \in \mathbb{Z}^+\} \cup \{u^\alpha \mid u \in X \cap \mathcal{L}, \alpha \in \mathbb{R}^+\}$ . Let  $a, b \in S$ ,  $X, Y \subseteq S$ . Then  $a <_{X,Y} b$  is  $b = xay$  for some  $x, y \in S^1$  such that  $(x, y) \in (X^1 \times X^1) \setminus (Y^1 \times Y^1)$ . Let  $T$  be a subsemigroup of  $S$ . Then  $T$  satisfies the *descending chain condition* if there is

---

Received by the editors April 3, 1978 and, in revised form, October 18, 1978.

no sequence in  $T$  of the following type

$$\cdots <_{T, \mathcal{L}} a_3 <_{T, \mathcal{L}} a_2 <_{T, \mathcal{L}} a_1$$

$T$  is a *weakly unitary* subsemigroup of  $S$  if for all  $a \in S$ , the conditions  $aT \cap T \neq \emptyset$  and  $Ta \cap T \neq \emptyset$ , together imply  $a \in T$ . This condition, due to Schützenberger, comes up naturally in the study of free semigroups [1; p. 119].  $T$  is *power closed* if  $\bar{T} = T$ .  $T$  is *free-like* if  $T$  is weakly unitary, is power closed and satisfies the descending chain condition.

REMARK. Intersection of free-like subsemigroups of  $S$  is again free-like.

THEOREM 1. Let  $T$  be a free-like subsemigroup of  $S$ . Then  $T \cong \mathcal{F}_{\mathbb{R}}(\Gamma \mid \Lambda)$  for some  $\Gamma, \Lambda$ .

**Proof.** Let  $\mathcal{L}_t = T \cap \mathcal{L}$ . Then  $T \setminus \mathcal{L}_t$  is a subsemigroup of  $T$ . Let  $D = \{a \mid a \in T \setminus \mathcal{L}_t, a \neq bc \text{ for any } b, c \in T \setminus \mathcal{L}_t\}$ . We first show that  $T \setminus \mathcal{L}_t = \langle D \rangle$ . For suppose  $a \in T \setminus \mathcal{L}_t, a \notin \langle D \rangle$ . Then  $a = bc$  for some  $b, c \in T \setminus \mathcal{L}_t$ . So  $b <_{T, \mathcal{L}} a, c <_{T, \mathcal{L}} a$ . Either  $b \notin \langle D \rangle$  or  $c \notin \langle D \rangle$ . Thus there exists  $a_1 \in T \setminus \mathcal{L}_t$  such that  $a_1 \notin \langle D \rangle, a_1 <_{T, \mathcal{L}} a$ . Continuing, we find a sequence  $\{a_i\}_{i \in \mathbb{Z}^+}$  in  $T \setminus (\mathcal{L}_t \cup \langle D \rangle)$  such that

$$\cdots <_{T, \mathcal{L}} a_2 <_{T, \mathcal{L}} a_1 <_{T, \mathcal{L}} a.$$

This violates the descending chain condition of  $T$ . So  $T \setminus \mathcal{L}_t = \langle D \rangle$ . Let  $P_1 = \{a \mid a \in D, a \notin bT \text{ for any } b \in \mathcal{L}_t\}, P_2 = \{a \mid a \in D, a \notin Tb \text{ for any } b \in \mathcal{L}_t\}, P = P_1 \cap P_2$ . We claim that  $D \subseteq \langle \mathcal{L}_t \rangle^1 P_1^1$ . For suppose  $a \in D, a \notin \langle \mathcal{L}_t \rangle^1 P_1^1$ . Then there exists  $b_1 \in \mathcal{L}_t$  such that  $a = b_1 a_1, a_1 \in T \setminus \mathcal{L}_t, a_1 \notin b_1^\alpha T$  for any  $\alpha \in \mathbb{R}^+$ . Then clearly  $a_1 \in D$  and so  $a_1 \notin \langle \mathcal{L}_t \rangle^1 P_1^1$ . Continuing we find a sequence  $\{b_i\}_{i \in \mathbb{Z}^+}$  in  $\mathcal{L}_t, \{a_i\}_{i \in \mathbb{Z}^+}$  in  $D$  such that  $b_i x b_{i+1}$  for any  $i$  and for any  $i \in \mathbb{Z}^+, a_i = b_{i+1} a_{i+1}$ . So  $a_i = b_{i+1} b_{i+2} a_{i+2}$  and  $a_{i+2} <_{T, \mathcal{L}} a_i$ . So

$$\cdots <_{T, \mathcal{L}} a_6 <_{T, \mathcal{L}} a_4 <_{T, \mathcal{L}} a_2 <_{T, \mathcal{L}} a.$$

This violates the descending chain condition of  $T$ . Hence,  $D \subseteq \langle \mathcal{L}_t \rangle^1 P_1^1$ . Similarly  $D \subseteq P_2^1 \langle \mathcal{L}_t \rangle^1$ . Let  $a \in D$ . Then  $a = bc$  for some  $b \in \langle \mathcal{L}_t \rangle^1, c \in P_1^1$ . Now  $c = dh$  for some  $d \in P_2^1, h \in \langle \mathcal{L}_t \rangle^1$ . Since  $c \in P_1^1, d \in P_1^1 \cap P_2^1 = P^1$ . So  $a = bdh, b, h \in \langle \mathcal{L}_t \rangle^1, d \in P^1$ . Thus  $D \subseteq \langle \mathcal{L}_t \rangle^1 P^1 \langle \mathcal{L}_t \rangle^1$ . Since  $T \setminus \mathcal{L}_t = \langle D \rangle$  we see that  $T = \langle P \cup \mathcal{L}_t \rangle$ . Let  $\mathcal{L}_u = \{a \mid a \in \mathcal{L}_t, l(a) = 1\}$ . If  $a, b \in \mathcal{L}_u$ , then  $a \sim b$  implies  $a = b$ . Also  $\bar{\mathcal{L}}_u = \mathcal{L}_t$ . So clearly  $\langle \mathcal{L}_t \rangle \cong \mathcal{F}_{\mathbb{R}}(\mathcal{L}_u)$ . Also it is clear that  $T = \langle P \cup \bar{\mathcal{L}}_u \rangle$ . Let  $\Gamma = P \cup \mathcal{L}_u, \Lambda = P$ . We claim that  $T \cong \mathcal{F}_{\mathbb{R}}(\Gamma \mid \Lambda)$ . To see this, let  $a \in T, a = a_1 \cdots a_m = b_1 \cdots b_p$  where  $a_1, \dots, a_m, b_1, \dots, b_p \in P \cup \mathcal{L}_t$  such that if  $a_i, a_{i+1} \in \mathcal{L}_t$ , then  $a_i x a_{i+1}$  and if  $b_j, b_{j+1} \in \mathcal{L}_t$ , then  $b_j x b_{j+1}$ . We must show that  $m = p$  and  $a_i = b_i$  for all  $i$ . Let  $u = a_2 \cdots a_m, v = b_2 \cdots b_p$ . Then  $a_1 u = b_1 v$ . First suppose  $a_1 \in \mathcal{L}_t$ . We claim that  $b_1 \in \mathcal{L}_t$ . For suppose  $b_1 \in P$ . Since  $b_1 \notin \mathcal{L}_t$ ,

$l(b_1) > l(a_1)$ . So  $b_1 = a_1c$  for some  $c \in S$ . Then  $a_1, v, a_1c, cv = u \in T$ . Since  $T$  is weakly unitary,  $c \in T$ . This contradicts the fact that  $b_1 \in P$ . So  $b_1 \in \mathcal{L}_t$ . Then clearly  $a_1 \sim b_1$ . We claim that  $a_1 = b_1$ . Otherwise by symmetry assume  $l(a_1) < l(b_1)$ . Then  $b_1 = a_1c$  for some  $c \in \mathcal{L}$ . Since  $c \sim a_1$ ,  $T$  is power closed,  $c \in \mathcal{L}_t$ . Also,

$$a_2 \cdots a_m = cv.$$

As above,  $a_2 \in \mathcal{L}_t$ ,  $a_2 \sim c \sim a_1$ , a contradiction. So  $a_1 = b_1$ . Next assume  $a_1, b_1 \in P$ . Suppose  $l(a_1) < l(b_1)$ . Then  $b_1 = a_1c$  for some  $c \in S$ . So  $a_1c, a_1, cv = u, v \in T$  and so  $c \in T$ . If  $c \in \mathcal{L}_t$ , we get a contradiction to the fact that  $b_1 \in P$ . Otherwise we get a contradiction to the fact that  $b_1 \in D$ . Thus  $a_1 = b_1$  in all cases. We are now done by induction.

REMARK. Let  $T$  be a free-like subsemigroup of  $S$ ,  $T \cong \mathcal{F}_{\mathbb{R}}(\Gamma | \Lambda)$ . If the elements of  $\Lambda$  are thought of as primes, then  $T$  has the unique factorization property with respect to primes and lines.

COROLLARY 2. *Let  $T$  be a subsemigroup of  $S$  such that  $T \cap \mathcal{L} = \emptyset$ . Then  $T$  is free if and only if  $T$  is free-like.*

REMARK. The converse of Theorem 1 is false for the following reason. Let  $K$  be a proper subsemigroup of  $(\mathbb{R}^+, +)$  such that  $K \cong (\mathbb{R}^+, +)$ . Let  $u \in \mathcal{L}$  and set  $T = \{u^\alpha \mid \alpha \in \mathbb{R}^+\}$ . Then clearly  $T \cong (\mathbb{R}^+, +)$  but  $T$  is not free-like.

THEOREM 3. *Let  $T$  be a power-closed subsemigroup of  $S$ . If  $T \cong \mathcal{F}_{\mathbb{R}}(\Gamma | \Lambda)$  for some  $\Gamma, \Lambda$ , then  $T$  is free-like.*

**Proof.** Let  $\mathcal{L}_t = T \cap \mathcal{L}$ . Let  $\phi : T \rightarrow \mathcal{F}_{\mathbb{R}}(\Gamma | \Lambda)$  be the given isomorphism. Let  $a \in S, b, c \in T$  such that  $ab, ca \in T$ . Then  $(ca)b = c(ab)$ . So  $\phi(ca)\phi(b) = \phi(c)\phi(ab)$ . There exists  $u \in \mathcal{F}_{\mathbb{R}}(\Gamma | \Lambda)$  such that either  $\phi(ca) = \phi(c)u$  or  $\phi(c) = \phi(ca)u$ . Let  $a_1 = \phi^{-1}(u) \in T$ . Then  $caa_1 = c$  or  $ca = ca_1$ . First case being ruled out,  $a = a_1 \in T$ . So  $T$  is weakly unitary in  $S$ . Let  $\mathcal{K} = \{A^\alpha \mid A \in \Gamma \setminus \Lambda, \alpha \in \mathbb{R}^+\}$ . If  $a, b \in \mathcal{F}_{\mathbb{R}}(\Gamma | \Lambda)$ , then define  $a < b$  if  $b = xay$  for some  $x, y \in \mathcal{F}_{\mathbb{R}}(\Gamma | \Lambda)^1$  such that  $(x, y) \notin \mathcal{K}^1 \times \mathcal{K}^1$ . Clearly  $(\mathcal{F}_{\mathbb{R}}(\Gamma | \Lambda), <)$  satisfies the descending chain condition. Thus to show that  $T$  satisfies the descending chain condition, we must show that  $\phi(\mathcal{L}_t) = \mathcal{K}$ . If  $a \in \mathcal{L}_t$ , then for each  $i \in \mathbb{Z}^+$ , there exists  $a_i \in T$ , such that  $a_i^i = a$ . Moreover by the author [2], the elements of  $\mathcal{L}_t$  are characterized by this property. The same holds true for  $\mathcal{K}$  in  $\mathcal{F}_{\mathbb{R}}(\Gamma | \Lambda)$ . This proves that  $\phi(\mathcal{L}_t) = \mathcal{K}$ , completing the proof.

THEOREM 4. *Every finite subset of  $S$  is contained in a free-like subsemigroup of  $S$ .*

**Proof.** Let  $a_1, \dots, a_m \in S$ . Let  $k$  be the smallest non-negative integer such that there exist  $b_1, \dots, b_k \in S$  such that  $a_1, \dots, a_m \in \langle b_1, \dots, b_k, \mathcal{L} \rangle$  ( $k = 0$

means  $a_1, \dots, a_m \in \langle \mathcal{L} \rangle$ ). Let  $\mathcal{L}_u = \{a \mid a \in \mathcal{L}, l(a) = 1\}$ ,  $T = \langle b_1, \dots, b_k, \bar{\mathcal{L}}_u \rangle = \langle b_1, \dots, b_k, \mathcal{L} \rangle$ . We will show that  $T$  is free-like. Let  $\Gamma = \{b_1, \dots, b_k\} \cup \mathcal{L}_u$ ,  $\Lambda = \{b_1, \dots, b_k\}$ . We claim that  $T \cong \mathcal{F}_{\mathbb{R}}(\Gamma \mid \Lambda)$ . Suppose not. Then it is easily seen that there exist  $A_1, \dots, A_r, B_1, \dots, B_s \in \Lambda \cup \mathcal{L}$  such that

$$(1) \quad A_1 \cdots A_r = B_1 \cdots B_s$$

and either  $A_1$  or  $B_1 \in \Lambda$  and so that if both  $A_1, B_1 \in \Lambda$ , then  $A_1 \neq B_1$ . Let  $\{A_1, \dots, A_r, B_1, \dots, B_s\} \cap \mathcal{L} = \{c_1, \dots, c_t\}$ . Introduce variables  $x_1, \dots, x_k, y_1, \dots, y_t$  and words  $w_1 = w_1(x_1, \dots, x_k, y_1, \dots, y_t)$ ,  $w_2 = w_2(x_1, \dots, x_k, y_1, \dots, y_t)$  such that  $A_1 \cdots A_r$  is formally equal to  $w_1(b_1, \dots, b_k, c_1, \dots, c_t)$  and  $B_1 \cdots B_s$  is formally equal to  $w_2(b_1, \dots, b_k, c_1, \dots, c_t)$ . We can express  $\{1, \dots, t\}$  as a disjoint union of  $T_1, \dots, T_p$  such that for  $\alpha, \beta \in \{1, \dots, t\} c_\alpha \sim c_\beta$  if and only if  $\alpha, \beta$  lie in same  $T_j$ . For  $j = 1, \dots, p$ , let  $M_j = \{(y_j, l(c_j)) \mid j \in T_j\}$ . In the notation of [3], consider the constrained word equation  $\mathcal{A} = \{w_1, w_2; M_1, \dots, M_p\}$  in free variables  $x_1, \dots, x_k$  and constrained variables  $y_1, \dots, y_t$ . Then  $\mu = (b_1, \dots, b_k, c_1, \dots, c_t)$  is a solution of  $\mathcal{A}$ . By [3; Theorem 5.2],  $\mu$  follows from a solution  $\nu$  of  $\mathcal{A}$  in some  $\mathcal{F}_{\mathbb{R}}(\Gamma' \mid \Lambda')$ . Moreover a close examination of the proof of [3; Lemma 3.13], shows that in fact we can choose  $\Lambda'$  such that  $|\Lambda'| < k$  (this is because of the non-triviality of (1)). There exists  $\phi: \Gamma' \rightarrow S$ ,  $\phi(\Gamma' \setminus \Lambda') \subseteq \mathcal{L}$ , such that the natural extension  $\hat{\phi}: \mathcal{F}_{\mathbb{R}}(\Gamma' \mid \Lambda') \rightarrow S$  has the property that if  $\nu = (u_1, \dots, u_k, v_1, \dots, v_t)$ , then  $\hat{\phi}(u_i) = b_i$ ,  $\hat{\phi}(v_j) = c_j$ . Let  $\phi(\Lambda') = \{d_1, \dots, d_\theta\}$ . So  $\theta < k$ . Also  $b_1, \dots, b_k \in \hat{\phi}(\mathcal{F}_{\mathbb{R}}(\Gamma' \mid \Lambda')) = \langle \phi(\Lambda') \cup \phi(\Gamma' \setminus \Lambda') \rangle$ . So  $b_1, \dots, b_k \in \langle d_1, \dots, d_\theta, \mathcal{L} \rangle$ . Hence  $a_1, \dots, a_m \in \langle d_1, \dots, d_\theta, \mathcal{L} \rangle$  contradicting the minimality of  $k$ . This contradiction shows that  $T \cong \mathcal{F}_{\mathbb{R}}(\Gamma \mid \Lambda)$ . We are done by Theorem 3.

REMARK. Let  $a_1, \dots, a_m \in S$ . Then by the above theorem and the remark preceding Theorem 1, there is a unique minimal free-like subsemigroup of  $S$  containing  $a_1, \dots, a_m$ .

$\mathcal{F}_{\mathbb{R}}(\Gamma)$  is clearly embeddable in a group (in fact in the free product of  $|\Gamma|$  copies of reals under addition). So by [1, Theorem 12.6], we have,

THEOREM 5.  $S$  is embeddable in a group.

CONJECTURE. Let  $T$  be a subsemigroup of  $S$ . Then  $T$  can be embedded in  $\mathcal{F}_{\mathbb{R}}(\Gamma)$  for some  $\Gamma$  if and only if  $T$  satisfies the descending chain condition.

EXAMPLE. We give an example of a subsemigroup  $T$  of  $S$  such that  $T$  is embeddable in a free semigroup but  $T$  is not contained in a free-like subsemigroup of  $S$ . We can choose sequences  $a_1, a_2, \dots, b_1, b_2, \dots, c_1, c_2, \dots$ , in  $S \setminus \mathcal{L}$  such that the following properties are true: (1)  $a_{i+1}b_i = a_i$ ,  $i = 1, 2, \dots$ , (2)  $l(c_i) \geq 3l(a_i)$ ,  $i = 1, 2, \dots$ , (3) no segment of  $b_i$  is a segment of  $b_j$  for  $i \neq j$ , (4) no segment of  $c_i$  is a segment of  $c_j$  for  $i \neq j$ , and (5)  $b_i$  is not an initial segment of  $a_j$  for any  $i, j$ . Let  $T$  be the subsemigroup of  $S$  generated by  $c_i, c_i a_i, a_i c_i, c_{i+1} b_i$ ,

$b_i c_{i+1}$ ,  $i = 1, 2, \dots$ . We claim that  $T$  is not contained in any free-like subsemigroup of  $S$ . For suppose  $T \subseteq R \subseteq S$  and  $R$  is free-like. Then clearly  $a_i, b_i \in T$  for all  $i$ . So

$$\cdots <_{T, \mathcal{L}} a_3 <_{T, \mathcal{L}} a_2 <_{T, \mathcal{L}} a_1,$$

a contradiction. On the other hand  $T$  can be embedded in a free semigroup. To see this, let  $\mathcal{F}$  be the free semigroup on the letters  $A_1, A_2, \dots, B_1, B_2, \dots, C_1, C_2, \dots$ . Let  $K$  be the subsemigroup of  $\mathcal{F}$  generated by  $C_i, C_i A_i, A_i C_i, C_{i+1} B_i, B_i C_{i+1}$ ,  $i = 1, 2, \dots$ . Then it can be shown that  $T \cong K$  with  $c_i, c_i a_i, a_i c_i, c_{i+1} b_i, b_i c_{i+1}$ , corresponding to  $C_i, C_i A_i, A_i C_i, C_{i+1} B_i, B_i C_{i+1}$ , respectively.

#### REFERENCES

1. A. H. Clifford and G. B. Preston, *The algebraic theory of semigroups*, Vol. 2 Amer. Math. Soc., Providence, R.I., 1967.
2. M. S. Putcha, *Word equations of paths*, Journal of Algebra, (accepted).
3. —, *Word equations in some geometric semigroups*, Pacific Journal of Mathematics, **75** (1978) 243–266.

DEPARTMENT OF MATHEMATICS  
NORTH CAROLINA STATE UNIVERSITY  
RALEIGH, NORTH CAROLINA 27650  
U.S.A.