

## ON TRACES OF SEPARABLE SIMPLE SUBALGEBRAS IN MATRIX RINGS

*Dedicated to Professor Kazuo Kishimoto on his 60th birthday*

TAKASI NAGAHARA AND SEIYA YOKOTA

**ABSTRACT.** For the trace map on an irreducible semigroup of  $n \times n$  matrices over a field, I. N. Herstein presented a theorem in [3] which enables us to limit the nature of matrix groups of a certain kind. However, this is incorrect in general. For the theorem, we shall present a counter example, a revision, and some generalizations to non-irreducible semigroups.

Throughout this note,  $F$  will mean a commutative field,  $F^{(n)}$  an  $F$ -module which is a direct sum of  $n$ -copies of  $F$ , and  $F_n$  the ring of  $n \times n$  matrices over  $F$  where  $n$  is any positive integer. We identify  $F_n$  with  $\text{Hom}_F(F^{(n)}, F^{(n)})$ . For an  $F$ -subalgebra  $R$  of  $F_n$ ,  $F^{(n)}$  is said to be *irreducible* over  $R$  if  $F^{(n)}$  is an irreducible  $R$ -module, that is,  $F^{(n)} = vR$  for all  $v \neq 0 \in F^{(n)}$ . By  $\chi(F)$ , we denote the characteristic of  $F$ . For any  $a \in F_n$ ,  $\text{tr}_{F_n/F}(a)$  denotes the trace of  $a$  as a matrix. For a (multiplicative) sub-semigroup  $S$  of  $F_n$ ,  $FS$  denotes the  $F$ -subalgebra of  $F_n$  generated by  $S$  over  $F$ , that is,  $FS = \sum_{s \in S} Fs$ . Moreover, for a simple ring  $T$  and its a subset  $E$ , we denote by  $r(T)$  (resp. by  $|E|$  (resp. by  $V_T(E)$ )) the rank of  $T$  over its center (resp. the cardinality of  $E$  (resp. the set of elements  $a$  in  $T$  such that  $ax = xa$  for all  $x \in E$ )).

In [3], I. N. Herstein presented the following theorem.

**THEOREM A** [3, THEOREM 2.3.3]. *Let  $S$  be a sub-semigroup of  $F_n$  such that  $F^{(n)}$  is irreducible over  $FS$ , and  $K = \{\text{tr}_{F_n/F}(s) ; s \in S\}$ . Then  $|S| \leq |K|^{n^2}$ .*

He proved this theorem only in the case where  $F$  is algebraically closed. The proof is somewhat simpler than that of I. Kaplansky [7, p. 19, Theorem B]. However, in the other cases, this does not hold in general. In this note, we shall first present a counter example, and next, we shall prove that in Theorem A, the inequality  $|S| \leq |K|^{n^2}$  holds if and only if  $FS$  is a separable  $F$ -algebra such that  $r(V_{F_n}(FS))$  is not a multiple of  $\chi(F)$ , and whence this theorem holds, provided that  $\chi(F) = 0$  (Corollary 6 and Theorem 8). Moreover, this result will be generalized to a sub-semigroup  $S$  of  $F_n$  such that  $FS$  is a simple  $F$ -subalgebra of  $F_n$  containing  $F$  (Theorems 4, 5 and 7).

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Received by the editors December 17, 1992; revised August 16, 1993.

AMS subject classification: Primary: 16H05; secondary: 16S50.

Key words and phrases: trace maps, separable algebras, matrix rings.

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EXAMPLE 1. Let  $E$  be a field which is a purely inseparable simple extension of  $F$  of rank  $n = p^m > 1$  where  $\chi(F) = p > 0$ . Let  $\alpha$  be a generating element of  $E$  over  $F$ . Now, we consider a regular representation  $\varphi$  of  $E$  into  $F_n = \text{Hom}_F(F^{(n)}, F^{(n)})$  with respect to the  $F$ -basis  $\{1, \alpha, \dots, \alpha^{n-1}\}$  of  $E$ . Then  $S = \{\varphi(\alpha)^m ; m = 1, 2, \dots\}$  is a sub-semigroup of  $F_n$  with  $|S| \geq n > 1$ . Clearly  $FS = \varphi(E)$  which is an  $F$ -algebra isomorphic to  $E$ . Hence, for any  $v \neq 0 \in F^{(n)}$ ,  $v(FS)$  is an  $F$ -submodule of  $F^{(n)}$  of rank  $n$ , which implies  $v(FS) = F^{(n)}$ . Therefore  $F^{(n)}$  is irreducible over  $FS$ . We set  $(a_{ij}) = \varphi(\alpha) \in F_n$ . Then, since  $\alpha^n \in F$ , we have  $a_{1n} = \alpha^n$ ,  $a_{ii-1} = 1$  for  $i = 2, \dots, n$ , and the other  $a_{ij}$ 's are zero. Hence  $t_{F_n/F}(\varphi(\alpha)^m) = 0$  for  $m = 1, 2, \dots$ , that is,  $t_{F_n/F}(s) = 0$  for all  $s \in S$ . Therefore Theorem A does not hold. Next, we consider the algebraic closure  $\bar{F}$  of  $F$  and  $\varphi(E) = FS \subset F_n \subset \bar{F}_n$ . Clearly  $\bar{F}S$  is a commutative  $\bar{F}$ -subalgebra of  $\bar{F}_n$ . Hence  $\bar{F}S \neq \bar{F}_n$ . This implies that  $F^{(n)}$  is not irreducible over  $\bar{F}S$ . For example, if  $F = \text{GF}(2)(x)$  (the ring of rational functions of an indeterminate  $x$  over  $\text{GF}(2) = \{0, 1\}$ ) then, for  $\alpha = (a_{ij}) \in F_2$  with  $a_{11} = a_{22} = 0$ ,  $a_{21} = 1$  and  $a_{12} = x$ , the set  $S = \{\alpha^m ; m = 1, 2, \dots\}$  is a sub-semigroup of  $F_2$  such that  $FS$  is a subfield of  $F_2$  which is a purely inseparable simple extension of  $F$  of rank 2. Hence  $F^{(2)}$  is irreducible over  $FS$  and  $|S| = \infty > 1 = |\{t_{F_2/F}(s) ; s \in S\}|^4$ .

**Notations and Terminologies.** In what follows, we shall use the following conventions: For a ring  $A$  and a right  $A$ -module  $M$ ,

$l(M_A)$  = the length of composition series of right  $A$ -module  $M$  when  $M$  has such composition series,

$[M : A] = l(M_A)$  when  $A$  is a field,

$l(A) = l(A_A)$  when  $l(A_A)$  is defined,

$A_m$  = the ring of  $m \times m$  matrices over  $A$  where  $m$  is any positive integer. For integers  $r, s$ ,

$r \mid s$  (resp.  $r \nmid s$ ) means that  $r$  is (resp. is not) a divisor of  $s$ .

For a subset  $B$  of  $A$  and a map  $f: A \rightarrow C$  where  $C$  is a set,

$f|B$  = the restriction of  $f$  to  $B$ .

Now, let  $C$  be a commutative ring with the identity 1,  $A$  a  $C$ -algebra with identity which is finitely generated and projective over  $C$ . Then, there is a  $C$ -dual basis  $\{x_1, \dots, x_s\} \subset A ; \{f_1, \dots, f_s\} \subset \text{Hom}_C(A, C)$  such that  $\sum_{i=1}^s x_i f_i(x) = x$  for all  $x \in A$  (cf. [2, p. 4]). Define  $T_{A/C} \in \text{Hom}_C(A, C)$  by

$$T_{A/C}(x) = \sum_{i=1}^s f_i(xx_i), \quad x \in A.$$

If  $\{y_1, \dots, y_t\}$  is a system of generators for  $A$  over  $C$  and  $x_k = \sum_{i=1}^t y_i c_{ik}$  ( $k = 1, \dots, s$ ,  $c_{ik} \in C$ ) then for  $g_j = \sum_{k=1}^s c_{jk} f_k$ ,  $\sum_{j=1}^s y_j g_j(x) = x$  and  $\sum_{j=1}^s g_j(xy_j) = \sum_{i=1}^s f_i(xx_i)$  for all  $x \in A$ . For an other  $C$ -dual basis  $\{y_1, \dots, y_t\} \subset A ; \{h_1, \dots, h_t\} \subset \text{Hom}_C(A, C)$ , we have  $h_k = \sum_{j=1}^t h_k(y_j) g_j$  ( $1 \leq k \leq t$ ) and  $\sum_{k=1}^t h_k(xy_k) = \sum_{k=1}^t (\sum_{j=1}^t h_k(y_j) g_j)(xy_k) = \sum_{j=1}^t g_j(x \sum_{k=1}^t h_k(y_j) y_k) = \sum_{j=1}^t g_j(xy_j)$ . This shows that  $T_{A/C}$  is independent of the choice of a dual basis for  $A$ . We call  $T_{A/C}$  the trace from  $A$  to  $C$ . For any element  $\alpha \in A_m$ , we denote by  $t_{A_m/A}(\alpha)$  the sum of diagonal elements of  $\alpha$ , and we write

$t_{A_m/C}(\alpha) = T_{A/C}(t_{A_m/A}(\alpha))$ . Clearly  $t_{A_1/C}(\alpha) = T_{A/C}(\alpha)$  (for  $m = 1$ ). The bilinear map  $A_m \times A_m \rightarrow C$  defined by  $(x, y) \mapsto t_{A_m/C}(xy)$  will be called *non-degenerate* if  $t_{A_m/C}(A_mx) \neq \{0\}$  and  $t_{A_m/C}(xA_m) \neq \{0\}$  for all  $x \neq 0 \in A_m$ . Further,  $A$  will be called *separable* over  $C$  if the left and right  $A$ - $A$ -homomorphism  $A \otimes_C A \rightarrow A$  ( $x \otimes y \mapsto xy$ ) splits. For a simple algebra  $R$  over a field  $F$ ,  $R$  is separable over  $F$  if and only if  $R$  is a finitely generated  $F$ -module and the center of  $R$  is separable over  $F$  in the usual sense of field theory (cf. [2, p. 40 and p. 55, Theorem 2.3.8]).

REMARK 1. As in the preceding remarks, let  $A$  be a commutative  $C$ -algebra which is finitely generated and projective over  $C$ . Then, by [2, Theorem 3.2.1 and Corollary 3.2.2],  $A$  is separable over  $C$  if and only if the bilinear map  $T_{A/C}(xy)$  ( $x, y \in A$ ) is non-degenerate. Hence, as is well known, for a field extension  $E/F$ ,  $E$  is separable over  $F$  if and only if  $T_{E/F} \neq 0$ . Next, we refer to non-commutative separable algebras. Let  $F$  be a field with  $\chi(F) = 2$ . Then  $F_2$  is a separable  $F$ -algebra, and  $t_{F_2/F}(xy)$  ( $x, y \in F_2$ ) is non-degenerate. However,  $T_{F_2/F}(xy)$  ( $x, y \in F_2$ ) is not non-degenerate. Indeed, if  $d_1 = e_{11}, d_2 = e_{12}, d_3 = e_{21}, d_4 = e_{22}$  are the matrix units of  $F_2$  then  $\{d_i ; 1 \leq i \leq 4\}$  is an  $F$ -basis for  $F_2$ , and the  $4 \times 4$  matrix  $(T_{F_2/F}(d_i d_j))$  is zero, as claimed, cf. [5, Theorem 5.3.2]. Thus, the assertion is holds. Hence, given a non-commutative separable  $C$ -algebra  $A$ , it doesn't always follow that  $T_{A/C}(xy)$  ( $x, y \in A$ ) is non-degenerate.

Now, we shall start our study with the following

LEMMA 1. *Let  $D$  be a division  $F$ -algebra of finite rank, and  $m$  a positive integer. Then, the following conditions are equivalent.*

- (a)  $D_m$  is separable over  $F$  and  $\chi(F) \nmid r(D)$ .
- (b)  $t_{D_m/F} \neq 0$ .
- (c) The bilinear map

$$D_m \times D_m \rightarrow F ; (x, y) \mapsto t_{D_m/F}(xy)$$

is non-degenerate.

PROOF. Let  $B$  be the center of  $D$ , and  $M$  a maximal subfield. Then  $D \otimes_B M \cong M_q$  where  $q = \sqrt{[D : B]} = \sqrt{r(D)}$  (cf. [3, p. 96, Corollary]). Let  $\{e_{ij} ; 1 \leq i, j \leq q\}$  be the system of matrix units of  $M_q$ , and set  $d_{q(i-1)+j} = e_{ij}$  ( $1 \leq i, j \leq q$ ). Then  $\{d_k ; 1 \leq k \leq q^2\}$  is a free  $B$ -basis for  $M_q$ . If  $e_{uv} d_{q(i-1)+j} = d_{q(i-1)+j}$  then  $e_{uv} e_{ij} = e_{ij}$  and so  $u = v = i$  ( $1 \leq j \leq q$ ). This implies that  $T_{M_q/M}(e_{uv}) = \delta_{uv} q 1$  for all  $u, v$ , where  $\delta_{uv}$  denotes the Kronecker's delta. Hence  $T_{M_q/M}(e_{11}) = q 1 \neq 0$  if and only if  $T_{M_q/M} \neq 0$  which is equivalent to  $T_{D/B} \neq 0$ . Therefore, it follows that  $T_{D/B} \neq 0$  if and only if  $\chi(F) \nmid q$ , equivalently  $\chi(F) \nmid r(D)$ . (a)  $\Rightarrow$  (c): Clearly  $t_{D_m/D}(xy)$  ( $x, y \in D_m$ ) is non-degenerate. Since  $\chi(F) \nmid r(D)$ , we have  $T_{D/B} \neq 0$ . Since  $D_m$  is separable over  $F$ ,  $B$  is separable over  $F$ . Hence  $T_{B/F} \neq 0$ . Now, let  $a$  be a non-zero element of  $D_m$ . Then, there exists an element  $b$  in  $D_m$  such that  $t_{D_m/D}(ab) \neq 0$ . Clearly  $t_{D_m/D}(abD) = t_{D_m/D}(ab)D = D$ . This enables us to see that  $t_{D_m/F}(abD) = T_{D/F} t_{D_m/D}(abD) = T_{B/F} T_{D/B} t_{D_m/D}(abD) = F$ , and so  $t_{D_m/F}(aD_m) = F$ . Similarly, we have  $t_{D_m/F}(D_m a) = F$ . Therefore, it follows that  $t_{D_m/F}(xy)$  ( $x, y \in D_m$ ) is non-degenerate. (c)  $\Rightarrow$  (b): It is obvious. (b)  $\Rightarrow$  (a): Let  $d$  be an element of  $D_m$  with

$t_{D_m/F}(d) \neq 0$ . Then  $t_{D_m/F}(d) = T_{B/F}T_{D/B}t_{D_m/D}(d) \neq 0$ . Hence  $T_{D/B} \neq 0$  and  $T_{B/F} \neq 0$ . Therefore  $\chi(F) \nmid r(D)$  and  $B$  is separable over  $F$ . Therefore,  $D_m$  is separable over  $F$ .

LEMMA 2. Let  $D$  be a division  $F$ -algebra with  $s = [D : F]$ , and  $m, l$  any positive integers. Then

- (i) There is an  $F$ -algebra isomorphism  $\varphi$  of  $D_m$  into  $F_{ms}$  with  $\varphi(D_m) \supset F$ . For any  $F$ -algebra isomorphism  $\varphi_1$  of  $D_m$  into  $F_{ms}$  with  $\varphi_1(D_m) \supset F$ ,  $t_{D_m/F}(x) = t_{F_{ms}/F}(\varphi_1(x))$  for all  $x \in D_m$ .
- (ii) There is an  $F$ -algebra isomorphism  $\psi$  of  $D_m$  into  $F_{mst}$  with  $\psi(D_m) \supset F$ . For any  $F$ -algebra isomorphism  $\psi_1$  of  $D_m$  into  $F_{mst}$  with  $\psi_1(D_m) \supset F$ ,  $lt_{D_m/F}(x) = t_{F_{mst}/F}(\psi_1(x))$  for all  $x \in D_m$ .
- (iii) Let  $\sigma$  be an  $F$ -algebra automorphism of  $D_m = \sum_{i,j=1}^m e_{ij}D$  where  $\{e_{ij} ; 1 \leq i, j \leq m\}$  is a system of matrix units of  $D_m$  and  $D = V_{D_m}(\{e_{ij} ; 1 \leq i, j \leq m\})$ . Then  $t_{D_m/F}(x) = t_{D_m/F}(\sigma(x)) = t_{\sigma(D)_m/F}(x)$  for all  $x \in D_m$  where  $\sigma(D)_m = \sum_{i,j=1}^m \sigma(e_{ij})\sigma(D)$ .

PROOF. Let  $\xi$  be a regular representation of the  $F$ -algebra  $D$  into  $F_s$ . We consider the map

$$\varphi: D_m \rightarrow F_{ms} ; (a_{ij}) \mapsto (\xi(a_{ij})) \quad (a_{ij} \in D).$$

Then  $\varphi$  is an  $F$ -algebra isomorphism such that  $\varphi(D_m) \supset F$  and  $t_{D_m/F}(x) = t_{F_{ms}/F}(\varphi(x))$  for all  $x \in D_m$ . Let  $\varphi_1$  be an arbitrary  $F$ -algebra isomorphism of  $D_m$  into  $F_{ms}$  with  $\varphi_1(D_m) \supset F$ . Then, by the theory of simple algebras (cf. [3, Theorem 4.3.1], [6] and [8]), there is a regular element  $u$  in  $F_{ms}$  such that  $\varphi_1(x) = u\varphi(x)u^{-1}$  for all  $x$  in  $D$ . Hence  $t_{D_m/F}(x) = t_{F_{ms}/F}(\varphi(x)) = t_{F_{ms}/F}(\varphi_1(x))$  for all  $x$  in  $D_m$ . Next, we shall prove (ii). As is easily seen, there is an  $F$ -algebra isomorphism  $\eta: F_{ms} \rightarrow (F_{ms})_l = F_{mst}$  such that  $\eta(F_{ms}) \supset F$  and  $t_{F_{mst}/F}(\eta(y)) = lt_{F_{ms}/F}(y)$  for all  $y \in F_{ms}$ . By (i), there is an  $F$ -algebra isomorphism  $\varphi$  of  $D_m$  into  $F_{ms}$  with  $\varphi(D_m) \supset F$ . Then  $\eta\varphi$  is an  $F$ -algebra isomorphism of  $D_m$  into  $F_{mst}$  such that  $\eta\varphi(D_m) \supset F$ . Moreover, for  $x \in D_m$ ,  $t_{F_{mst}/F}(\eta\varphi(x)) = lt_{F_{ms}/F}(\varphi(x))$ . Now,  $\psi_1$  be an  $F$ -algebra isomorphism of  $D_m$  into  $F_{mst}$  such that  $\psi_1(D_m) \supset F$ . Then  $\psi_1(D_m)$  is  $F$ -algebra isomorphic to  $\eta\varphi(D_m)$ . Hence there is a regular element  $u$  of  $F_{mst}$  such that  $\psi_1(x) = u\eta\varphi(x)u^{-1}$  for all  $x \in D_m$ . Therefore, it follows that  $t_{F_{mst}/F}(\psi_1(x)) = t_{F_{mst}/F}(\eta\varphi(x)) = lt_{F_{ms}/F}(\varphi(x)) = lt_{D_m/F}(x)$  for all  $x \in D_m$ . To see (iii), let  $\varphi$  be an  $F$ -algebra isomorphism of  $D_m$  into  $F_{ms}$  with  $\varphi(D_m) \supset F$ . Then, for any  $x \in D_m$ , we have  $t_{D_m/F}(x) = t_{F_{ms}/F}(\varphi(x)) = t_{F_{ms}/F}(\varphi\sigma^{-1}(\sigma(x))) = t_{D_m/F}(\sigma(x))$  and  $t_{\sigma(D)_m/F}(x) = t_{F_{ms}/F}(\varphi(x)) = t_{D_m/F}(x)$ .

LEMMA 3. Let  $R$  be a simple  $F$ -subalgebra of  $F_n$  containing  $F$ , that is,  $R = D_m$  where  $D$  is a division ring, and set  $l = l(V_{F_n}(R))$ . Then  $t_{F_n/F}(x) = lt_{D_m/F}(x)$  for all  $x \in R$ , and  $V_{F_n}(R)$  is a simple ring with  $r(V_{F_n}(R)) = l^2r(D)$ .

PROOF. Clearly  $\text{Hom}_F(F^{(n)}, F^{(n)}) = F_n$ . We set  $T = V_{F_n}(R)$ . Then, it follows from [6, p. 132, Theorem 6.4.2] that  $T = D'_l$  for a division ring  $D'$  containing  $F$  which is  $F$ -linear anti-isomorphic to  $D$ ,  $r(T) = l^2r(D') = l^2r(D)$  and

$$n^2 = [F_n : F] = [R : F][T : F] = m^2[D : F]l^2[D' : F] = (ml[D : F])^2.$$

This implies  $n = ml[D : F]$ . Considering the inclusion map  $D_m \rightarrow R \subset F_n$ , we obtain our assertion by Lemma 2 (ii).

Now we are at the position to prove the following theorem which is essential in our study.

**THEOREM 4.** *Let  $R$  be a simple  $F$ -subalgebra of  $F_n$  containing  $F$ , and  $r = r(V_{F_n}(R))$ . Then, the following conditions are equivalent.*

- (a)  $R$  is separable over  $F$  and  $\chi(F) \nmid r$ .
- (b)  $t_{F_n/F}R \neq 0$ .
- (c)  $t_{F_n/F}(xy)$  ( $x, y \in R$ ) is non-degenerate.

If  $\chi(F) = 0$  then there hold the conditions (a)–(c).

**PROOF.** Since  $R$  is a simple ring, we may write  $R = D_m$  where  $D$  is a division ring containing  $F$ . We set  $l = l(V_{F_n}(R))$ . Then by Lemma 3, we have  $r = r(V_{F_n}(R)) = l^2r(D)$  and  $t_{F_n/F}(x) = lt_{D_m/F}(x)$  for all  $x \in R$ . (a)  $\Rightarrow$  (c): Since  $\chi(F) \nmid r$ , we have  $\chi(F) \nmid l$  and  $\chi(F) \nmid r(D)$ . By Lemma 1 ((a)  $\Rightarrow$  (c)),  $t_{D_m/F}(xy)$  ( $x, y \in R$ ) is non-degenerate. Hence  $t_{F_n/F}(xy)$  ( $x, y \in R$ ) is non-degenerate. (c)  $\Rightarrow$  (b): It is obvious. (b)  $\Rightarrow$  (a): Since  $t_{F_n/F}R = lt_{D_m/F} \neq 0$ , we have  $\chi(F) \nmid l$  and  $t_{D_m/F} \neq 0$ . By Lemma 1 ((b)  $\Rightarrow$  (a)),  $D_m$  is separable over  $F$  and  $\chi(F) \nmid r(D)$ , whence  $\chi(F) \nmid r$ .

**THEOREM 5.** *Let  $S$  be a sub-semigroup of  $F_n$  such that  $FS$  is a simple  $F$ -subalgebra of  $F_n$  containing  $F$ . Let  $r = r(V_{F_n}(S))$ , and  $K = \{t_{F_n/F}(s) ; s \in S\}$ . Then, the following conditions are equivalent.*

- (a)  $|S| \leq |K|^{[FS:F]}$ .
- (b)  $|S| \leq |K|^{n^2}$ .
- (c) Either  $FS$  is separable over  $F$  and  $\chi(F) \nmid r$  or  $S = \{1\}$  and  $\chi(F) \mid r$ .
- (d) Either  $t_{F_n/F}S \neq 0$  or  $t_{F_n/F}S = 0$  and  $S = \{1\}$ .

If  $\chi(F) = 0$  then  $|S| \leq |K|^{[FS:F]}$ .

**PROOF.** If  $|S| \leq |K|^{[FS:F]}$  then  $|S| \leq |K|^{n^2}$ . We assume that  $|S| \leq |K|^{n^2}$ . We shall distinguish two cases. Case  $|S| = 1$ : In this case, we see that  $FS = F$  which is separable over  $F$ . Since  $S$  is a semigroup, we have  $S = \{1\}$ . If  $\chi(F) \nmid r$  then there holds the first part of (c). Case  $|S| > 1$ : In this case, we have  $|K| > 1$  which implies  $K \neq \{0\}$ . Hence, it follows that  $t_{F_n/F}S \neq 0$  and so  $t_{F_n/F}FS \neq 0$ . Clearly  $V_{F_n}(S) = V_{F_n}(FS)$ . Applying Theorem 4 ((b)  $\Rightarrow$  (a)), we see that  $FS$  is separable over  $F$  and  $\chi(F) \nmid r$ . Thus we obtain (c). Now, in case  $S = \{1\}$ , we have  $r = n^2$ , and so if  $\chi(F) \mid r$  then  $t_{F_n/F}(S) = t_{F_n/F}(1) = n = 0$ . From this and Theorem 4, it follows that (c) implies (d). Next, we assume that  $t_{F_n/F}S \neq 0$ , the first part of (d). For convenience, we set  $R = FS$ ,  $t = t_{F_n/F}$  and  $q = [FS : F]$ . Then, there is a  $F$ -basis  $\{s_1, \dots, s_q\}$  of  $R$  which is a subset of  $S$ . We consider the map  $\varphi$  of  $R$  into  $F^q$  defined by

$$\varphi(x) = (t(s_1x), \dots, t(s_qx)), \quad x \in R.$$

Clearly  $\varphi$  is an  $F$ -linear homomorphism. Let  $x \in \text{Ker } \varphi$ . Then  $t(s_ix) = 0$  for  $i = 1, \dots, q$ , and whence  $t(yx) = 0$  for all  $y \in R$  which implies  $x = 0$ , since  $t(yx)$  ( $x, y \in R$ ) is non-degenerate by Theorem 4. Hence  $\varphi$  is injective and so  $|S| = |\varphi(S)| \leq |K|^q$ . The other assertions will be easily seen.

Now, we shall prove the following corollary which contain a revision of Hersteins' theorem [3, Theorem 2.3.3] (Theorem A).

**COROLLARY 6.** *Let  $S$  be a sub-semigroup of  $F_n$  such that  $F^{(n)}$  is irreducible over  $FS$ , equivalently  $FS$  is a simple  $F$ -subalgebra of  $F_n$  containing  $F$  and  $V_{F_n}(S)$  is a division ring. Let  $r = r(V_{F_n}(S))$  and  $K = \{t_{F_n/F}(s) ; s \in S\}$ . Then*

- (i) *The following conditions are equivalent.*
  - (a)  $|S| \leq |K|^{[FS:F]}$ .
  - (b)  $|S| \leq |K|^{n^2}$ .
  - (c)  $FS$  is separable over  $F$  and  $\chi(F) \nmid r$ .
  - (d)  $t_{F_n/F}|S| \neq 0$ .

*If  $\chi(F) = 0$  or  $F$  is algebraically closed, then  $|S| \leq |K|^{[FS:F]}$ .*

- (ii) *For an element  $a$  of  $F_n$  with  $t_{F_n/F}(a) \neq 0$ ,  $FS[a]$  (the subring of  $F_n$  generated by  $a$  over  $FS$ ) is a simple separable  $F$ -algebra with  $\chi(F) \nmid r(V_{F_n}(FS[a]))$ . In particular, if  $e$  is a primitive idempotent of  $F_n$  then  $t_{F_n/F}(e) = 1$  and  $FS[e] = F_n$ .*
- (iii) *Let  $S$  be abelian. Then,  $|S| \leq |K|^{[FS:F]}$  if and only if  $FS$  is separable over  $F$ .*

**PROOF.** By [3, p. 41, Theorem 2.1.2 (Density Theorem)], we see that  $F^{(n)}$  is irreducible over  $FS$  if and only if  $FS$  is a simple ring with  $FS \supset F$  and  $V_{F_n}(S)$  is a division ring. If  $F$  is algebraically closed then  $V_{F_n}(S) = F$  and  $FS = F_n$ . In case  $S = \{1\}$ , we have  $FS = F$  and  $V_{F_n}(FS) = F$  which implies  $\chi(F) \nmid r$  and  $t_{F_n/F} \neq 0$ . Hence the assertion (i) is a direct consequence of Theorem 5. For any element  $a$  in  $F_n$ ,  $F^{(n)}$  is irreducible over  $FS[a]$ . Hence the first assertion in (ii) follows from Theorem 4 ((b)  $\Rightarrow$  (a)). Now, we shall show that  $FS[e] = F_n$  for any primitive idempotent  $e$  of  $F_n$ . By [6, Proposition 3.7.5], there exists a system  $\{e_{ij} ; 1 \leq i, j \leq n\}$  of matrix units for  $F_n$  such that  $\sum_{i,j=1}^n e_{ij}F = F_n$  and  $e_{11} = e$ . Then  $t_{F_n/F}(e) = 1$  (cf. Lemma 2). Hence, it suffices to prove that if  $T$  is a simple subring of  $F_n$  containing  $F$  and  $e_{11}$  then  $T = F_n$ . Let  $\{t_{ij} ; 1 \leq i, j \leq m\}$  be a system of matrix units of  $T$  such that  $T = \sum_{i,j} t_{ij}D = D_m$  where  $D$  is a division ring containing  $F$ . Clearly  $e_{11}F_n$  is a minimal right ideal of  $F_n$ . Since  $\sum_{i=1}^m t_{ii} = 1$ , we have  $t_{kk}e_{11} \neq 0$  for some  $k$ . Then

$$e_{11}F_n \cong t_{jk}e_{11}F_n \text{ (as right } F_n\text{-modules), } j = 1, \dots, m.$$

Since  $t_{ij}T \supset t_{jk}e_{11}T$  and  $t_{ij}T$  is a minimal right ideal of  $T$ , it follows that  $t_{ij} \in t_{ij}T = t_{jk}e_{11}T \subset t_{jk}e_{11}F_n$  ( $1 \leq j \leq m$ ), and so  $\sum_{j=1}^m t_{jk}e_{11}F_n = F_n$  which implies that  $l(F_n) = m = l(T)$ . Hence  $n = m$  and

$$n^2 = [F_n : F] \geq [T : F] = [T : D][D : F] = n^2[D : F].$$

Thus we obtain  $[F_n : F] = [T : F]$  and  $F_n = T$ . Next, to see (iii), let  $S$  be abelian. Since  $FS$  is a commutative simple ring,  $FS$  is a field. Hence by [6, Theorem 6.4.2], we have  $V_{F_n}(FS) = FS$  and  $r = 1$ . Therefore, our assertion follows immediately from (i).

Next, we shall look at the case where  $S$  is a finite sub-semigroup of  $F_n$ .

**THEOREM 7.** *Let  $S$  be a finite sub-semigroup of  $F_n$  such that  $FS$  is a simple  $F$ -subalgebra of  $F_n$  containing  $F$ . Let  $r = r(V_{F_n}(S))$  and  $K = \{t_{F_n/F}(s) ; s \in S\}$ . Then*

- (i)  $FS$  is separable over  $F$ , and if  $\chi(F) \neq 0$  then  $FS = B_m$  and  $V_{F_n}(S) = B_r$  ( $mr[B : F] = n$ ) for the center  $B$  of  $FS$ .
- (ii)  $|S| \leq |K|^{[FS:F]}$  if and only if either  $\chi(F) \nmid r$  or  $S = \{1\}$  and  $\chi(F) \mid r$ .

PROOF. By Theorem 5, it suffices to prove the theorem for the case  $\chi(F) \neq 0$ . We set  $FS = D_m$  where  $D$  is a division ring. Let  $B$  be the center of  $FS$ . Then  $D \supset B \supset F$ . Let  $P$  be the prime field of  $F$ , and set  $T = P[S]$ , the subring of  $F_n$  generated by  $S$  over  $P$ . Then  $FT = FS$  and  $T$  is a finite ring whose Jacobson radical  $N$  is nilpotent. Clearly  $FN$  is a nilpotent ideal of  $FT = FS$  (simple). This implies that  $FN = \{0\}$  and so  $N = \{0\}$ . Thus  $T$  is semi-simple. If  $e$  a central idempotent of  $T$  with  $e \neq 1$  then  $e$  is a central idempotent of  $FT = FS$  (simple) with  $e \neq 1$  and so  $e = 0$ . Therefore, it follows that  $T$  is a simple ring. Let  $C$  be the center of  $T$ . Then  $C$  is a finite field and  $FC$  is contained in the center  $B$  of  $FT = FS = D_m$ . Clearly  $FC$  is separable over  $F$ . Since  $FC \otimes_C T$  is a simple ring, the ring homomorphism  $FC \otimes_C T \rightarrow FC \cdot T = FS$  ( $\sum a_i \otimes b_i \mapsto \sum a_i b_i$ ) is an isomorphism. Hence  $FC$  coincides with the center  $B$  of  $FS$ . Since  $T$  is a finite simple ring,  $T$  is a total matrix ring over  $C$ . Therefore, it follows that  $B = FC = D$  and  $FS = B_m$  which is a separable  $F$ -algebra. Moreover, by [6, p. 132, Theorem 6.4.2], we have  $V_{F_n}(S) = B_r$  and  $mr[B : F] = n$ . The other assertions follow from Theorem 5.

In virtue of Theorem 7, we shall prove the following theorem which is a revision of Theorem A.

THEOREM 8. Let  $S$  be a finite sub-semigroup of  $F_n$  such that  $F^{(n)}$  is irreducible over  $FS$ , and  $K = \{t_{F_n/F}(s) ; s \in S\}$ . Then  $|S| \leq |K|^{[FS:F]} \leq |K|^{n^2}$ .

PROOF. By Corollary 6 (i), it suffices to prove the theorem for the case  $\chi(F) \neq 0$ . Let  $B$  be the center of  $FS$ . Then, since  $FS$  is a simple  $F$ -subalgebra of  $F_n$  containing  $F$ , it follows from Theorem 7 that  $FS$  is separable over  $F$ ,  $V_{F_n}(S) = B$  and so  $r(V_{F_n}(S)) = 1$ . Therefore the assertion follows from Corollary 6 (i).

EXAMPLE 2. Let  $F = GF(2)(x)$  and  $B = GF(4)(x)$  where  $x$  is an indeterminate. By a regular representation of  $GF(4)$  into  $GF(2)_2$ , we may set  $GF(4) \subset GF(2)_2$ . Let  $r$  be an arbitrary positive integer. Then

$$B_2 \otimes_B B_r = B_{2r} = (F \otimes_{GF(2)} GF(4))_{2r} \\ = F_{2r} \otimes_{GF(2)} GF(4) \subset F_{2r} \otimes_{GF(2)} GF(2)_2 = F_{4r}.$$

Moreover, we have  $V_{F_{4r}}(B_2) = B_r$  and  $V_{F_{4r}}(B_r) = B_2$ . Clearly  $F_{4r}$  is irreducible over  $B_2$  if and only if  $r = 1$ . Now, we set  $S = GF(4)_2 \subset B_2$ . Then  $S$  is a finite sub-semigroup of  $B_2$  and  $B_2 = FS$  which is separable over  $F$ . By Theorem 5, we see that  $2 \nmid r$  if and only if  $t_{F_{4r}/F}|S| \neq 0$ . Further, if  $r = 1$  then  $|S| = 2^8 = |\{t_{F_4/F}(s) ; s \in S\}|^{[FS:F]}$ , since  $B_2 = F \cdot GF(4)_2 = F \otimes_{GF(2)} GF(4)_2$  and so  $t_{GF(4)_2/GF(2)}(s) = t_{B_2/F}(s) = t_{F_4/F}(s)$  ( $\in \{0, 1\}$ ) for all  $s \in GF(4)_2 = S$  (Lemma 3). Next, let  $e_{12} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ ,  $e_{21} = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$ ,  $\alpha = \begin{pmatrix} \alpha & 0 \\ 0 & \alpha \end{pmatrix} \in GF(4)_2$  where  $GF(2)[\alpha] = GF(4)$ , and  $S'$  the (multiplicative) sub-semigroup of  $GF(4)_2$  generated by  $\{e_{12}, e_{21}, \alpha\}$ . Then  $GF(2)S' = GF(4)_2$  and  $FS' = B_2$ . Hence, if  $r = 1$  then  $13 = |S'| < |S'|^{[FS':F]} = 2^8$ .

REMARK 2. As in Remark 1, let  $A$  be a commutative separable  $C$ -algebra which is projective over  $C$ . Then, by [2, Theorem 3.2.1 and Corollary 3.2.2], the bilinear map  $T_{A/C}(xy)$  ( $x, y \in A$ ) is non-degenerate. Hence, for any positive integer  $m$ ,  $t_{A_m/C}(xy)$  ( $x, y \in A_m$ ) is non-degenerate. Now, let  $S$  be a sub-semigroup of  $A_m$  such that  $A_m = CS = Cs_1 + \cdots + Cs_q$  for some  $s_1, \dots, s_q$  in  $S$ , and set  $K = \{t_{A_m/C}(s) ; s \in S\}$ . Then we can prove that  $|S| \leq |K|^q$  by making use of the same methods as in the proof of Theorem 5.

ACKNOWLEDGEMENT. The authors would like to express their indebtedness and gratitude to Prof. K. Kaneta for his helpful suggestions and valuable comments.

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*Department of Mathematics  
Okayama University  
Okayama 700  
Japan*